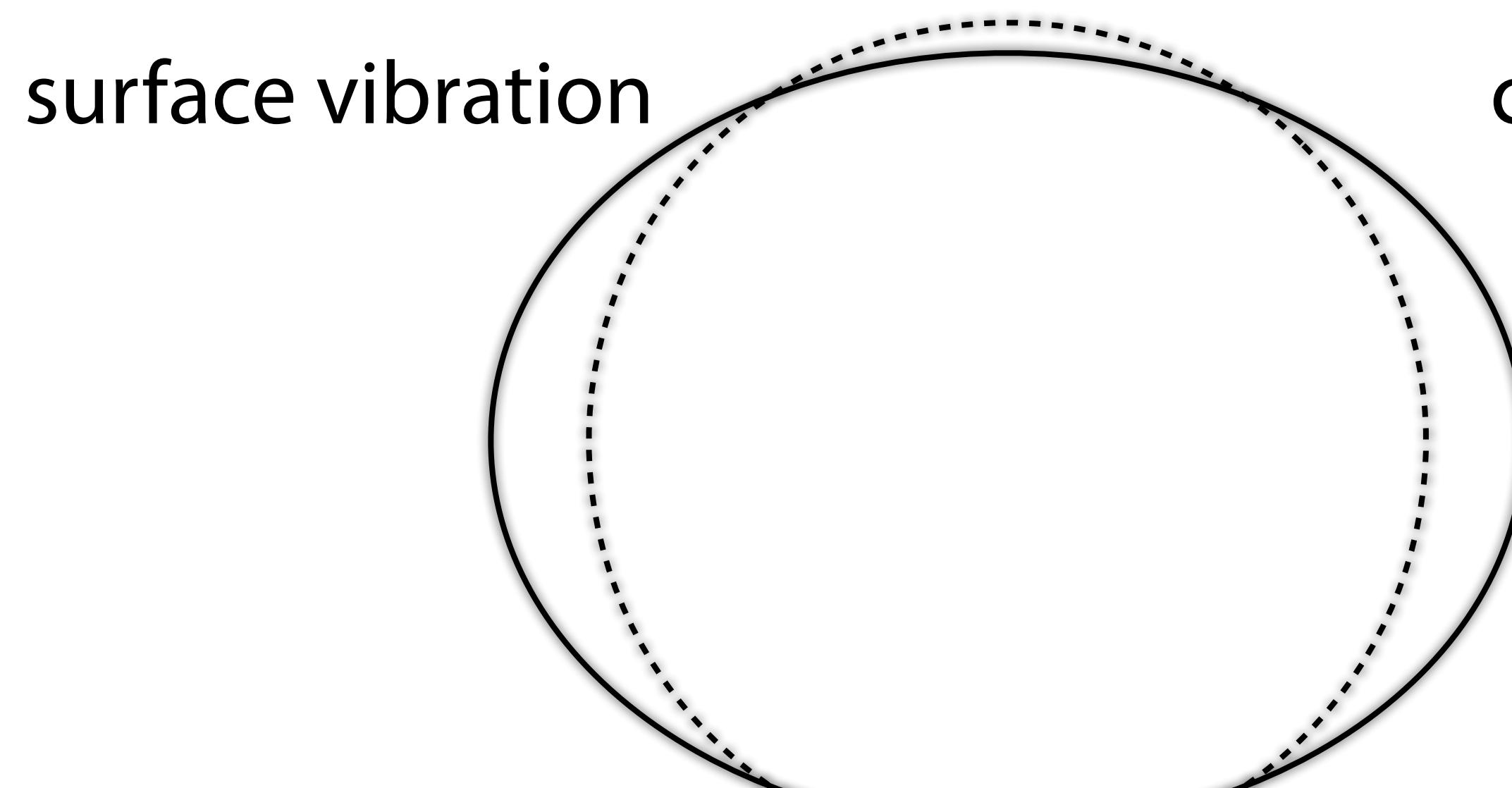


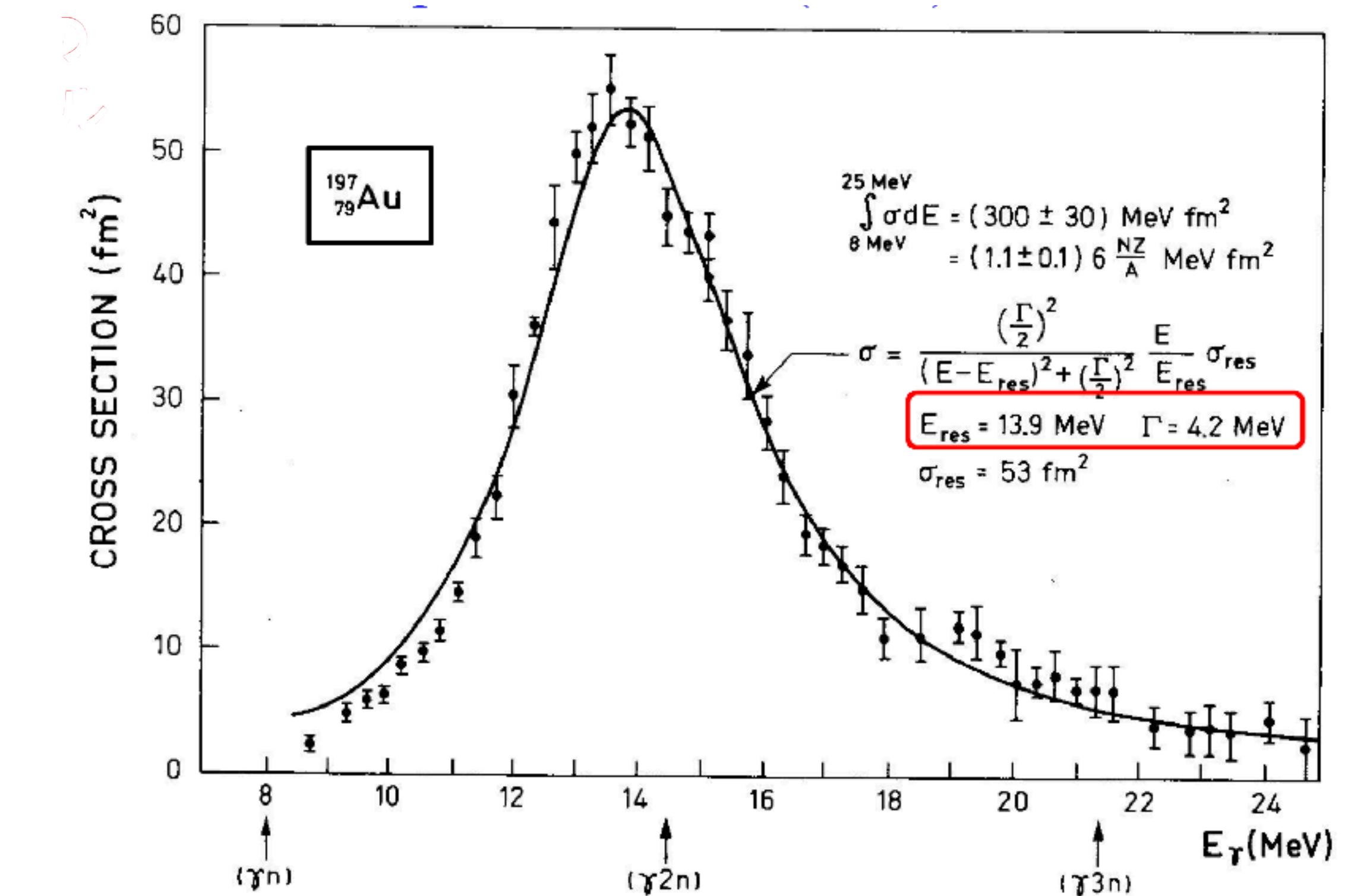
# **Collective motions in nuclei: vibration**

# Vibrational modes of excitation



classical picture

change of density in time  
time-dependent DFT



**Figure 6-18** Total photoabsorption cross section for  $^{197}\text{Au}$ . The experimental data are from S. C. Fultz, R. L. Bramblett, J. T. Caldwell, and N. A. Kerr, *Phys. Rev.* **127**, 1273 (1962). The solid curve is of Breit-Wigner shape with the indicated parameters.

# Excitations in the HF approximation

$$H_{\text{MF}} = \sum_i \varepsilon_i a_i^\dagger a_i$$

$$= \sum_m \varepsilon_m d_m^\dagger d_m - \sum_i \varepsilon_i b_i^\dagger b_i$$

$$H_{\text{MF}} d_k^\dagger b_l^\dagger |\Phi_{\text{HF}}\rangle = (\varepsilon_k - \varepsilon_l) d_k^\dagger b_l^\dagger |\Phi_{\text{HF}}\rangle$$

1p1h

one-particle-one-hole state

$$H_{\text{MF}} d_{k_1}^\dagger d_{k_2}^\dagger b_{l_1}^\dagger b_{l_2}^\dagger |\Phi_{\text{HF}}\rangle = (\varepsilon_{k_1} + \varepsilon_{k_2} - \varepsilon_{l_1} - \varepsilon_{l_2}) d_{k_1}^\dagger d_{k_2}^\dagger b_{l_1}^\dagger b_{l_2}^\dagger |\Phi_{\text{HF}}\rangle$$

2p2h

two-particle-two-hole state

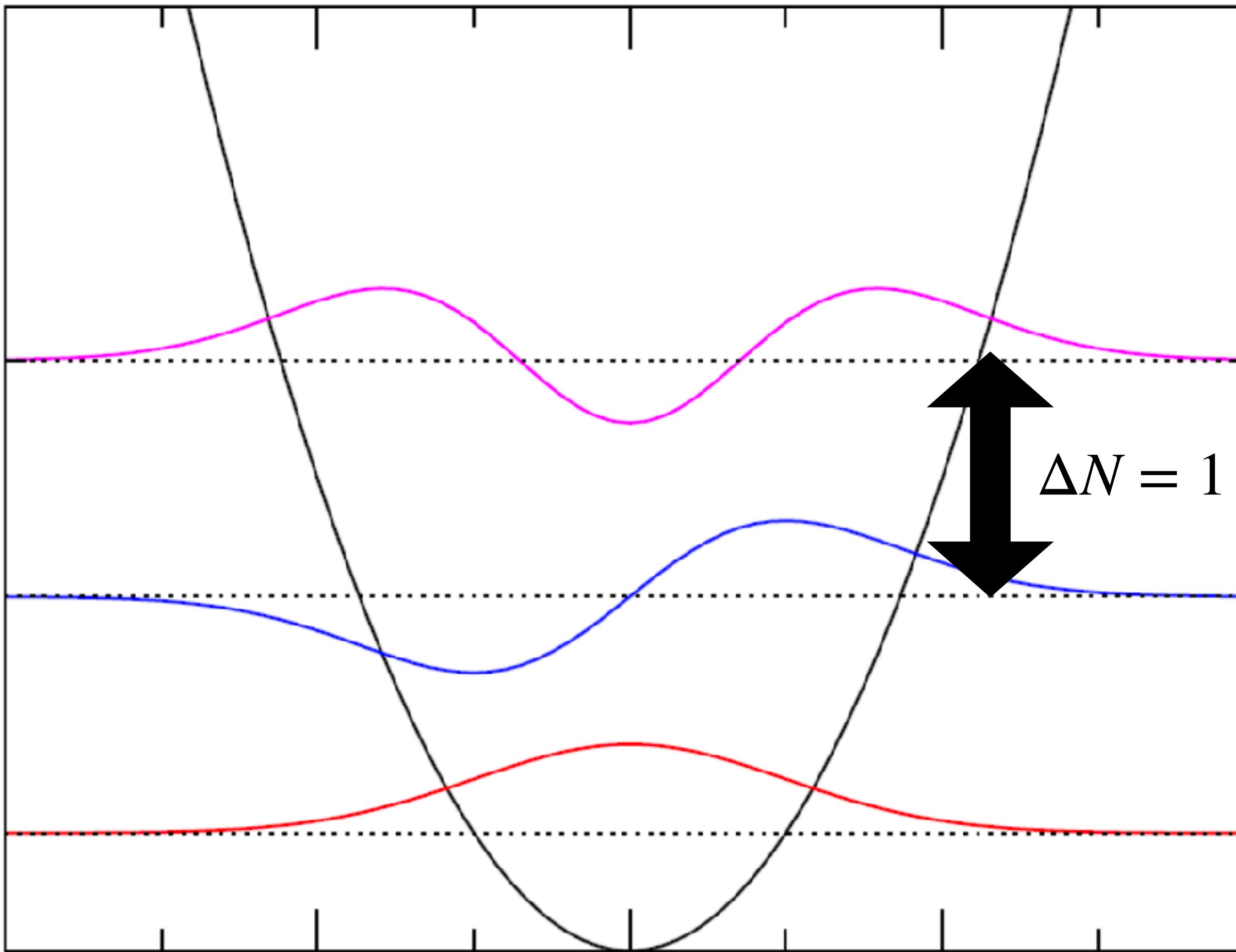
cf.

$$H_{\text{MF}} d_k^\dagger |\Phi_{\text{HF}}\rangle = \varepsilon_k d_k^\dagger |\Phi_{\text{HF}}\rangle$$

$d_k^\dagger |\Phi_{\text{HF}}\rangle$  is an eigenstate of (A+1)-body system

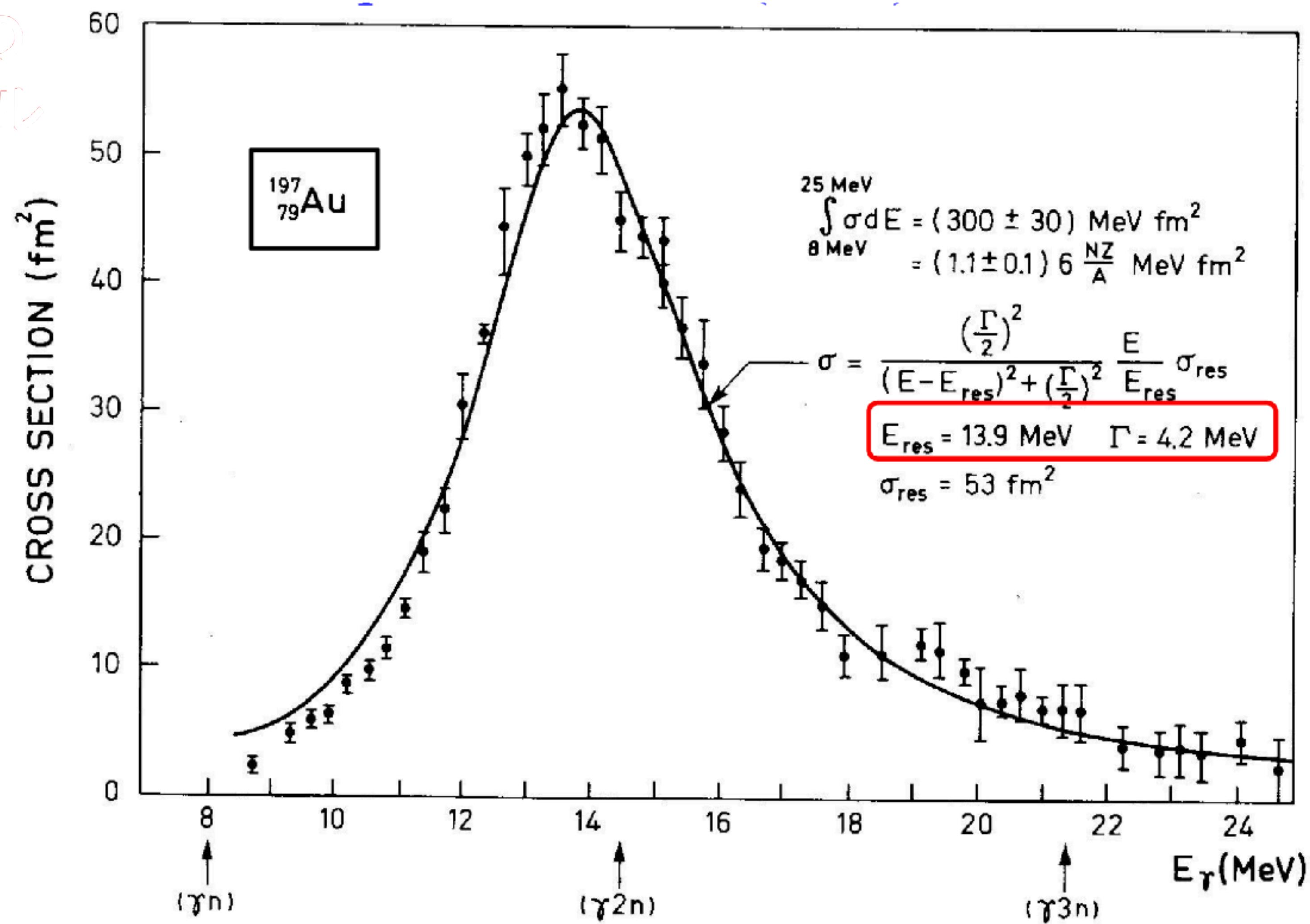
$$H_{\text{MF}} b_l^\dagger |\Phi_{\text{HF}}\rangle = -\varepsilon_l b_l^\dagger |\Phi_{\text{HF}}\rangle$$

$b_l^\dagger |\Phi_{\text{HF}}\rangle$  is an eigenstate of (A-1)-body system



negative-parity 1p1h excitation

$$\hbar\omega = 41 \times A^{-1/3} \text{ (MeV)}$$



$$41 \times 197^{-1/3} = 7.05 \text{ MeV}$$

just a half !?

**Figure 6-18** Total photoabsorption cross section for  $^{197}\text{Au}$ . The experimental data are from S. C. Fultz, R. L. Bramblett, J. T. Caldwell, and N. A. Kerr, *Phys. Rev.* **127**, 1273 (1962). The solid curve is of Breit-Wigner shape with the indicated parameters.

# Collective vibrations

coherent superposition of ph excitations due to the residual interaction

Tamm–Dancoff approximation (TDA)

$$|\lambda\rangle = \sum_{ph} C_{ph}^\lambda d_p^\dagger b_h^\dagger |\Phi_{HF}\rangle := \Gamma_\lambda^\dagger |\Phi_{HF}\rangle$$

$$H^{\text{TDA}} = H_{\text{MF}} + \sum_\lambda E_\lambda \Gamma_\lambda^\dagger \Gamma_\lambda$$

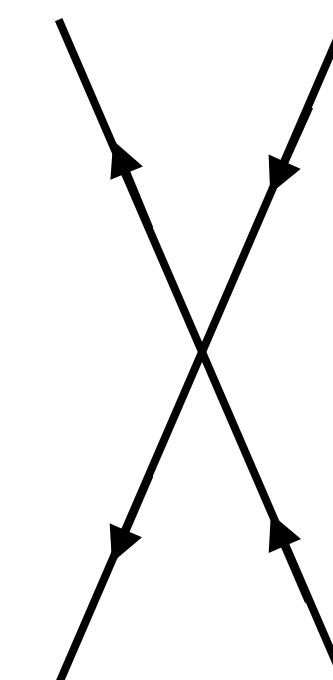
$$H = H_{\text{MF}} + \sum v : c^\dagger c^\dagger c c :$$

$d^\dagger b^\dagger db$  part of

$$c^\dagger c^\dagger c c \sim a^\dagger a^\dagger a a \sim \binom{d^\dagger}{b} \binom{d^\dagger}{b} \binom{d}{b^\dagger} \binom{d}{b^\dagger}$$

Tamm–Dancoff equation (eigenvalue problem)

$$\sum_{p'h'} [(\varepsilon_{p'} - \varepsilon_{h'}) \delta_{pp'} \delta_{hh'} + \bar{v}_{ph'hp'}] C_{p'h'}^\lambda = E_\lambda C_{ph}^\lambda$$



# Collective vibrations

coherent superposition of ph excitations due to the residual interaction

RPA: Random Phase Approximation to include the ground-state correlations

$$H|\lambda\rangle = E_\lambda|\lambda\rangle$$

$$|\lambda\rangle = \Gamma_\lambda^\dagger |0\rangle$$

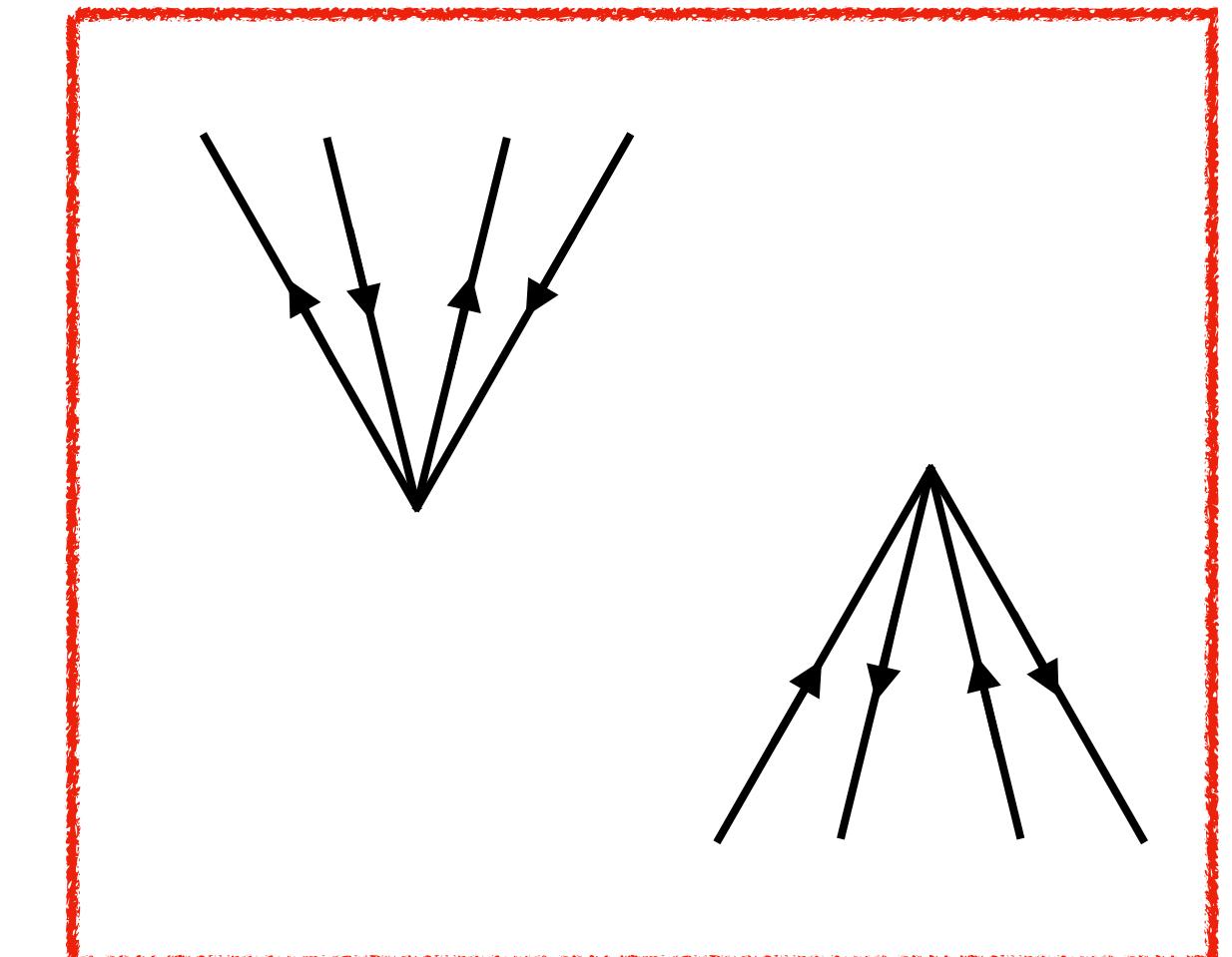
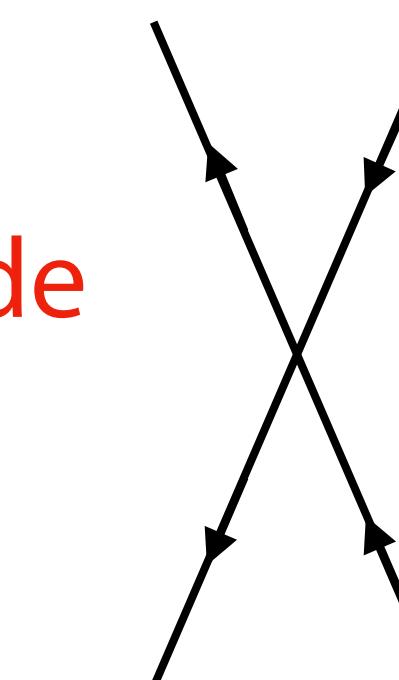
$$\Gamma_\lambda |0\rangle = 0$$

TDA:  $\Gamma_\lambda^\dagger = \sum_{ph} C_{ph}^\lambda d_p^\dagger b_h^\dagger$

RPA:  $\Gamma_\lambda^\dagger = \sum_{ph} [X_{ph}^\lambda d_p^\dagger b_h^\dagger - \underline{Y_{ph}^\lambda b_h d_p^\dagger}]$   
backward-going amplitude

$d^\dagger b^\dagger db, d^\dagger d^\dagger b^\dagger b^\dagger, ddbb$  parts of

$$c^\dagger c^\dagger cc \sim a^\dagger a^\dagger aa \sim \binom{d^\dagger}{b} \binom{d^\dagger}{b} \binom{d}{b^\dagger} \binom{d}{b^\dagger}$$

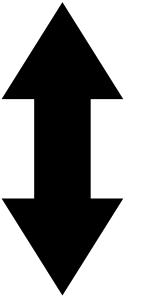


# RPA Hamiltonian

$$H^{\text{RPA}} = E_{\text{HF}} + \Delta E_{\text{HF}} + \sum_{\lambda} \Omega_{\lambda} \Gamma_{\lambda}^{\dagger} \Gamma_{\lambda}$$

$$\Gamma_{\lambda}^{\dagger} = \sum_{ph} [X_{ph}^{\lambda} d_p^{\dagger} b_h^{\dagger} - Y_{ph}^{\lambda} b_h^{\dagger} d_p] =: \sum_{ph} [X_{ph}^{\lambda} A_{ph}^{\dagger} - Y_{ph}^{\lambda} A_{ph}]$$

$$[H, \Gamma_{\lambda}^{\dagger}] = \Omega_{\lambda} \Gamma_{\lambda}^{\dagger}, \quad [H, \Gamma_{\lambda}] = -\Omega_{\lambda} \Gamma_{\lambda}$$



like harmonic oscillator

RPA equation: 
$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X^{\lambda} \\ Y^{\lambda} \end{pmatrix} = \Omega_{\lambda} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} X^{\lambda} \\ Y^{\lambda} \end{pmatrix}$$

$$A_{php'h'} = \langle \Phi_{\text{HF}} | [A_{ph}, [H, A_{p'h'}^{\dagger}]] | \Phi_{\text{HF}} \rangle = (\varepsilon_p - \varepsilon_h) \delta_{pp'} \delta_{hh'} + \bar{v}_{ph'h'}$$

$$B_{php'h'} = -\langle \Phi_{\text{HF}} | [A_{ph}, [H, A_{p'h'}]] | \Phi_{\text{HF}} \rangle = \bar{v}_{pp'h'}$$

$$[A_{ph}, A_{p'h'}^{\dagger}] = [b_h d_p, d_{p'}^{\dagger} b_{h'}^{\dagger}] = \delta_{pp'} \delta_{hh'} - \underline{\delta_{pp'} b_{h'}^{\dagger} b_h + \delta_{hh'} d_{p'}^{\dagger} d_p}$$

deviation from the boson

quasi-boson approx.  $\langle \Phi_{\text{RPA}} | [A_{ph}, A_{p'h'}^{\dagger}] | \Phi_{\text{RPA}} \rangle \approx \langle \Phi_{\text{HF}} | [A_{ph}, A_{p'h'}^{\dagger}] | \Phi_{\text{HF}} \rangle = \delta_{pp'} \delta_{hh'}$

normalization

$$\langle \lambda | \lambda' \rangle = \delta_{\lambda\lambda'} = \langle \Phi_{\text{RPA}} | [\Gamma_{\lambda}, \Gamma_{\lambda'}^{\dagger}] | \Phi_{\text{RPA}} \rangle \approx \langle \Phi_{\text{HF}} | [\Gamma_{\lambda}, \Gamma_{\lambda'}^{\dagger}] | \Phi_{\text{HF}} \rangle = \sum_{ph} (X_{ph}^{\lambda*} X_{ph}^{\lambda'} - Y_{ph}^{\lambda*} Y_{ph}^{\lambda'})$$

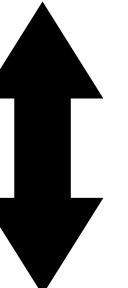
# Extension to the superfluid systems

superposition of two-quasiparticle excitations  $\Gamma_\lambda^\dagger = \sum_{\mu\nu} [X_{\mu\nu}^\lambda a_\mu^\dagger a_\nu^\dagger - Y_{\mu\nu}^\lambda a_\nu a_\mu]$

$$H' = H - \lambda N$$

$$[H', \Gamma_\lambda^\dagger] = \Omega_\lambda \Gamma_\lambda^\dagger, \quad [H', \Gamma_\lambda] = -\Omega_\lambda \Gamma_\lambda$$

Quasiparticle RPA equation:



like harmonic oscillator

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X^\lambda \\ Y^\lambda \end{pmatrix} = \Omega_\lambda \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} X^\lambda \\ Y^\lambda \end{pmatrix}$$

$$A_{\mu\nu, \mu'\nu'} = \langle \Phi_{\text{HFB}} | [a_\nu a_\mu, [H', a_\mu^\dagger a_{\nu'}^\dagger]] | \Phi_{\text{HFB}} \rangle$$

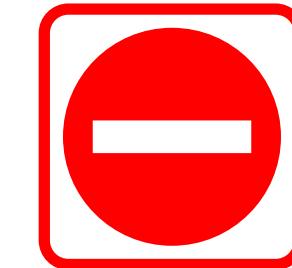
$$B_{\mu\nu, \mu'\nu'} = -\langle \Phi_{\text{HFB}} | [a_\nu a_\mu, [H', a_{\nu'} a_{\mu'}]] | \Phi_{\text{HFB}} \rangle$$

# Transition matrix elements

one-body operator  $\hat{F} = \sum_{ij} F_{ij} c_i^\dagger c_j = \sum_{\mu\nu} F_{\mu\nu}^{20} a_\mu^\dagger a_\nu^\dagger + F_{\mu\nu}^{02} a_\nu a_\mu + \dots$

matrix elements  $\langle 0 | \hat{F} | \lambda \rangle = \langle 0 | \hat{F} \hat{\Gamma}_\lambda^\dagger | 0 \rangle = \langle 0 | [\hat{F}, \hat{\Gamma}_\lambda^\dagger] | 0 \rangle \approx \langle \Phi_{\text{HFB}} | [\hat{F}, \hat{\Gamma}_\lambda^\dagger] | \Phi_{\text{HFB}} \rangle$   
quasi-boson approx.

$$\langle 0 | \hat{F} | \lambda \rangle = \langle 0 | \hat{F} \hat{\Gamma}_\lambda^\dagger | 0 \rangle \approx \langle \Phi_{\text{HFB}} | \hat{F} \hat{\Gamma}_\lambda^\dagger | \Phi_{\text{HFB}} \rangle$$



the ground-state correlation cannot be considered by replacing  $| 0 \rangle$  with  $| \Phi_{\text{HFB}} \rangle$

collectivity: strong transition strength w.r.t. the single particle-hole ex.

Low-energy states: sensitive to the details of the shell structure around the Fermi level

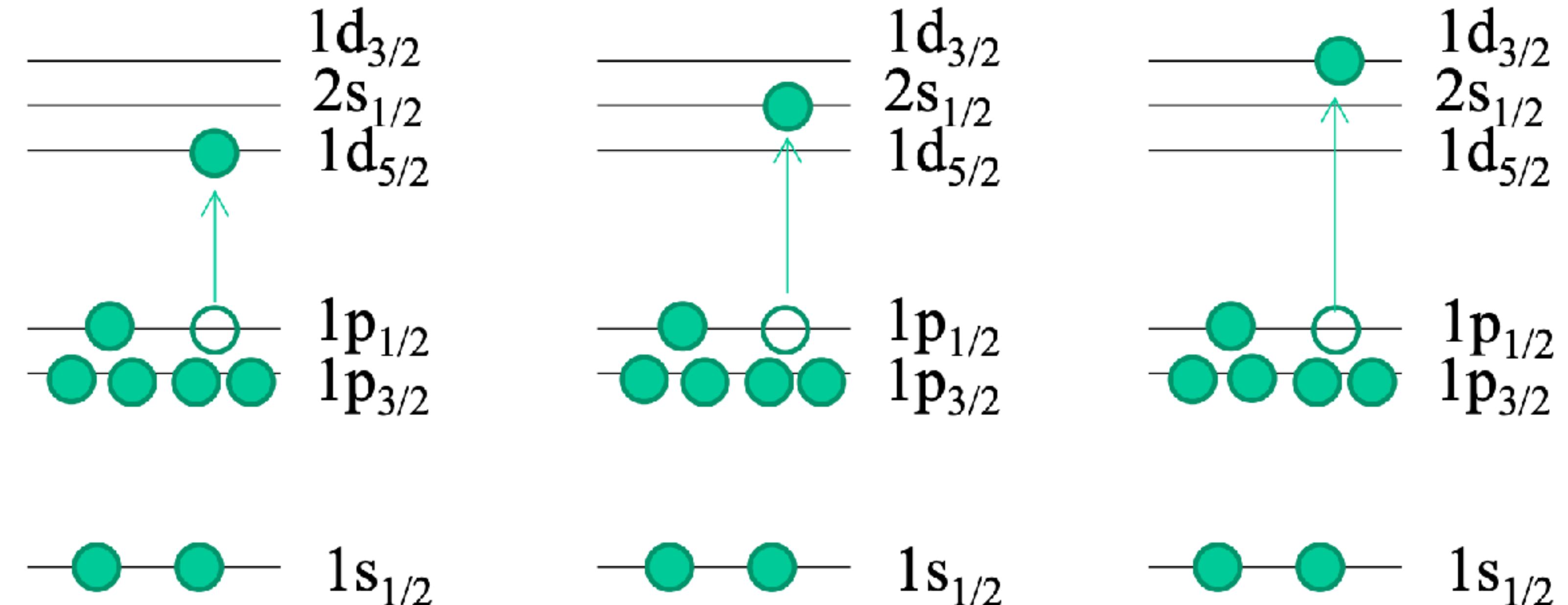
High-energy state: corresponding to the classical picture of surface vibration  
"Giant Resonances"

# Some examples

TDA  $|\lambda\rangle = \sum_{ph} C_{ph}^\lambda d_p^\dagger b_h^\dagger |\Phi_{\text{HF}}\rangle := \Gamma_\lambda^\dagger |\Phi_{\text{HF}}\rangle$

TDA eq.  $\sum_{p'h'} [(\varepsilon_{p'} - \varepsilon_{h'})\delta_{pp'}\delta_{hh'} + \bar{v}_{ph'hp'}] C_{p'h'}^\lambda = E_\lambda C_{ph}^\lambda$

model space consisting of three configurations  $\varepsilon_p - \varepsilon_h = \epsilon, \bar{v}_{ph'hp'} = g$



# TDA for a simple case

$$H = \begin{pmatrix} \epsilon & 0 & 0 \\ 0 & \epsilon & 0 \\ 0 & 0 & \epsilon \end{pmatrix} + g \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

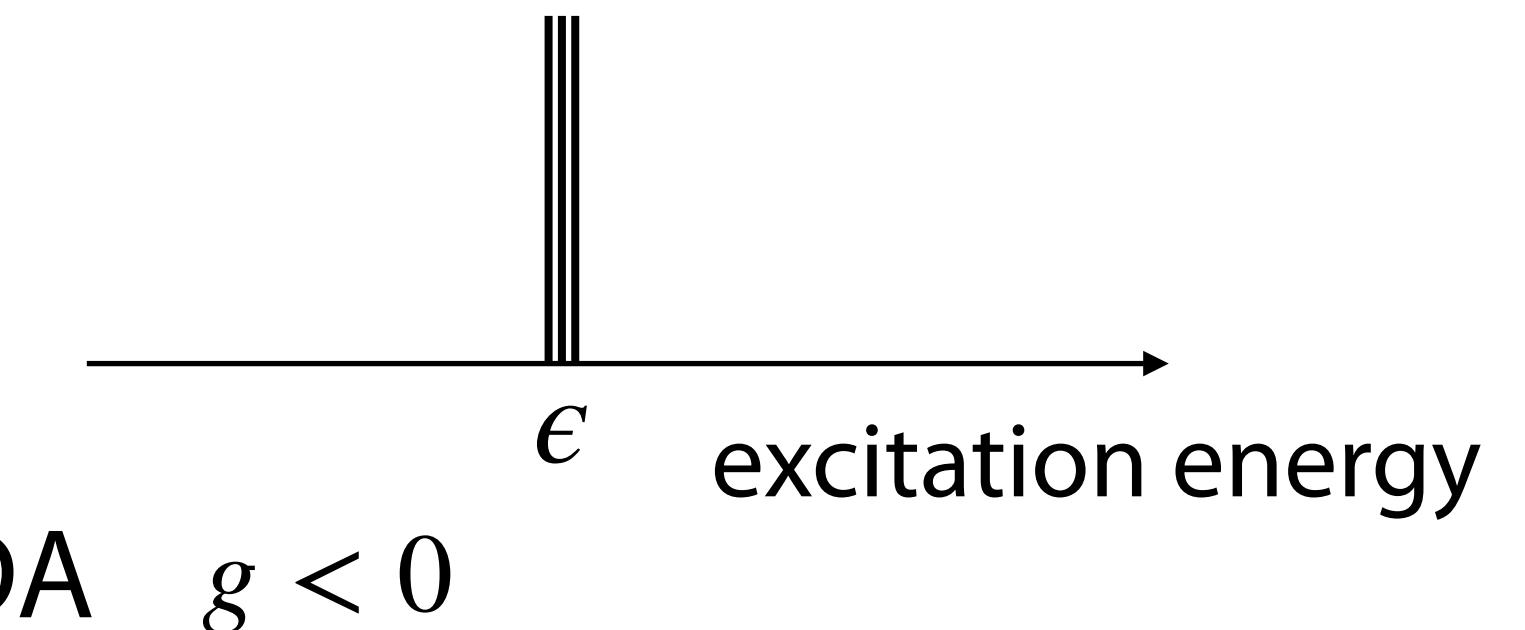
diagonalization

$$\lambda = \epsilon, \epsilon, \epsilon + 3g$$

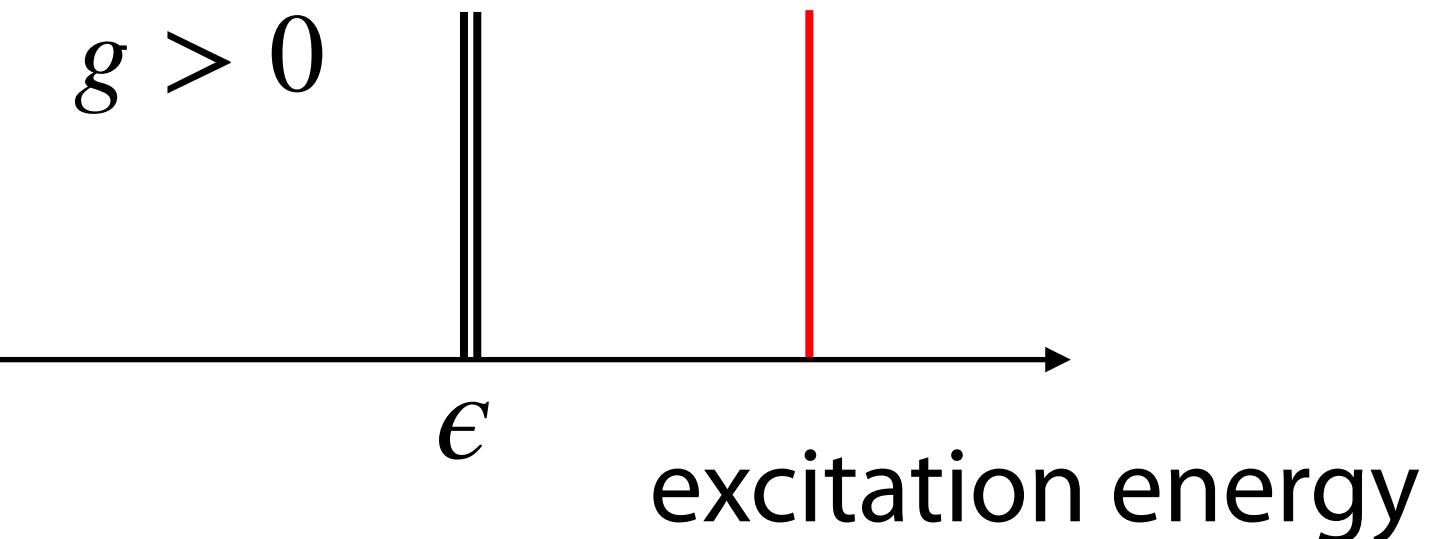
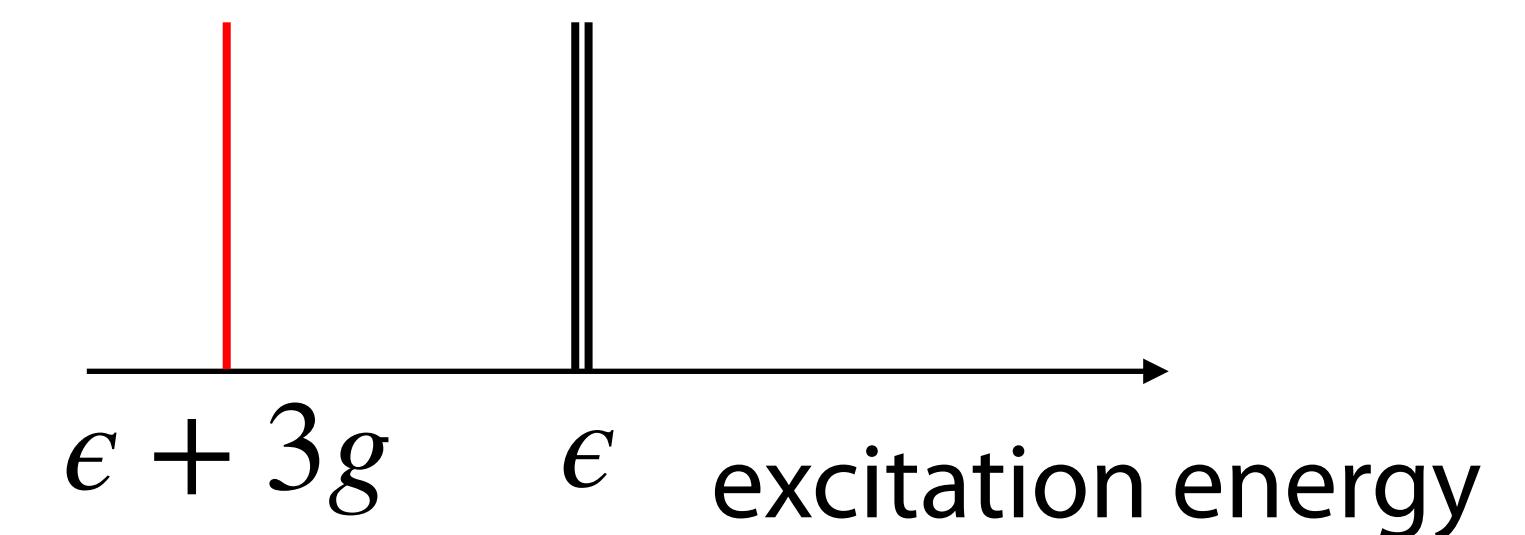
when the interaction is attractive  $g < 0$  (repulsive  $g > 0$ )

→ low-energy state (high energy state)

unperturbed = mean field



TDA  $g < 0$



# TDA for a simple case

what is the structure of the collective state?

$$\lambda = \epsilon + 3g$$

$$\begin{pmatrix} \epsilon + g & g & g \\ g & \epsilon + g & g \\ g & g & \epsilon + g \end{pmatrix} \cdot \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = (\epsilon + 3g) \cdot \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

in-phase contribution of three ph excitations  
**=coherent superposition**

the other states:

$$\frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix}, \quad \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

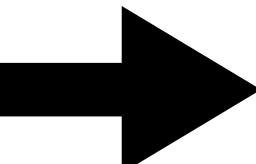
incoherent

# TDA for a more realistic case

separable interaction:  $\bar{v}_{ph'hp'} = \lambda D_{ph} D_{p'h'}^*$

QQ interaction:  $D_{ph} = \langle ph | r^2 Y_{2\mu} | 0 \rangle = Q_{2\mu,ph}$

TDA equation  $(E_\nu - \varepsilon_p + \varepsilon_h) C_{ph}^\nu = \lambda D_{ph} \sum_{p'h'} D_{p'h'}^* C_{p'h'}^\nu$

  $\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E_\nu - \varepsilon_{ph}}, \quad \varepsilon_{ph} = \varepsilon_p - \varepsilon_h$

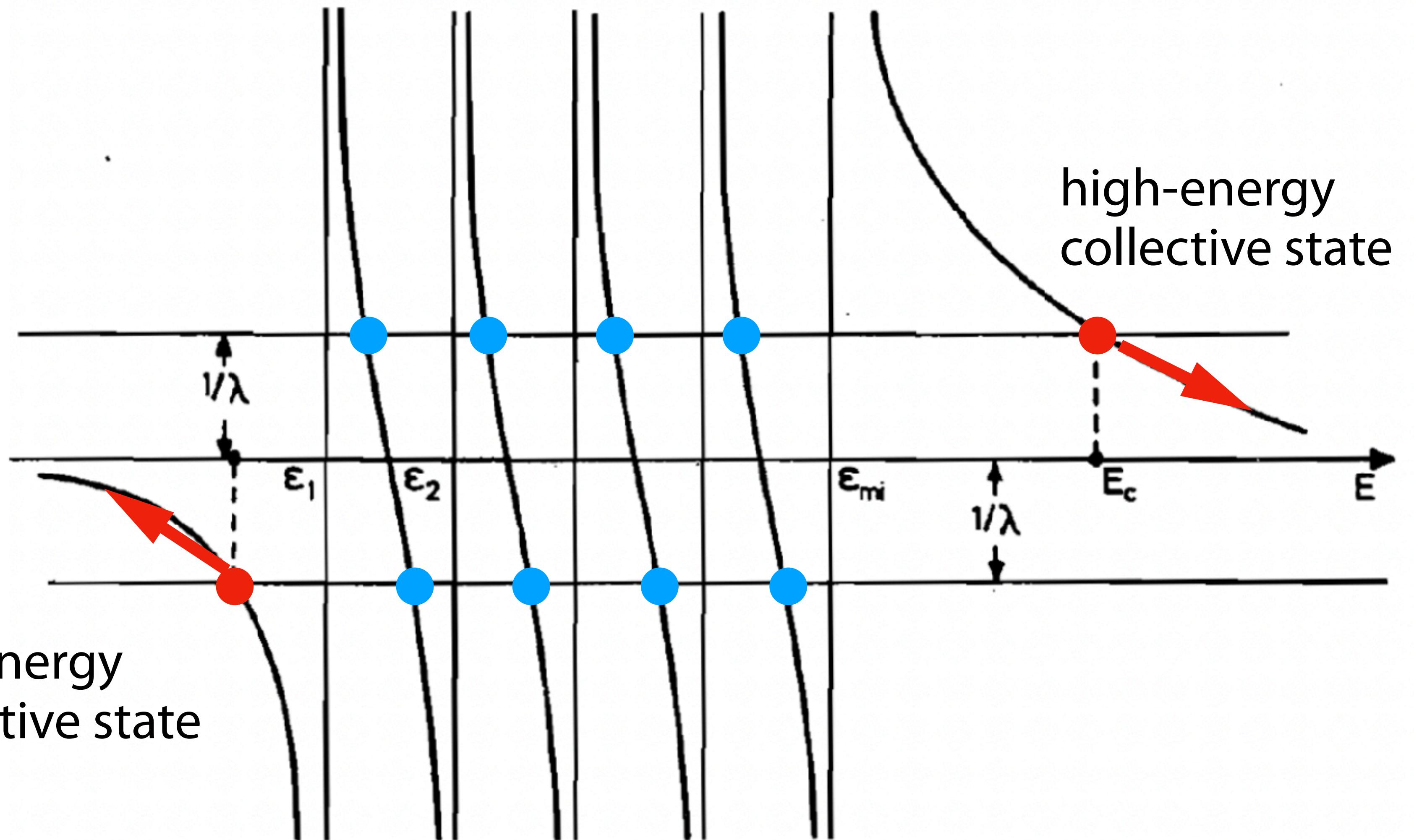
$$C_{ph}^\nu = \mathcal{N} \frac{D_{ph}}{E_\nu - \varepsilon_{ph}}, \quad \mathcal{N}^{-2} = \sum_{ph} \frac{|D_{ph}|^2}{(E_\nu - \varepsilon_{ph})^2},$$

# TDA for a more realistic case

$$\frac{1}{\lambda} = \sum_{mi} \frac{|D_{mi}|^2}{E_\nu - \epsilon_{mi}}$$

repulsive  
 $\lambda > 0$

attractive  
 $\lambda < 0$   
low-energy  
collective state



# RPA with a separable interaction

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X^\lambda \\ Y^\lambda \end{pmatrix} = \Omega_\lambda \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} X^\lambda \\ Y^\lambda \end{pmatrix}$$

$$A_{php'h'} = \langle \Phi_{\text{HF}} | [A_{ph}, [H, A_{p'h'}^\dagger]] | \Phi_{\text{HF}} \rangle = (\varepsilon_p - \varepsilon_h) \delta_{pp'} \delta_{hh'} + \bar{v}_{ph'h'}$$

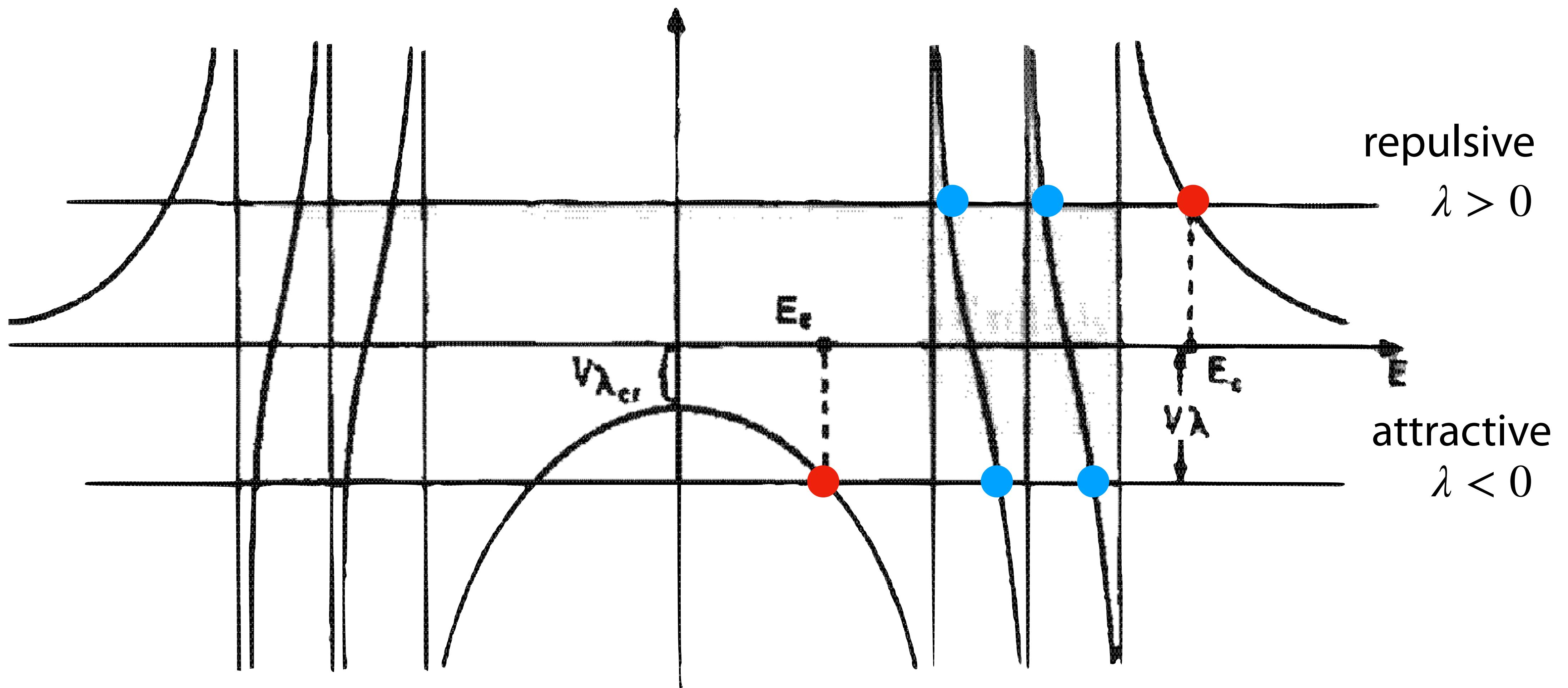
$$\bar{v}_{ph'h'} = \lambda D_{ph} D_{p'h'}^*$$

$$B_{php'h'} = -\langle \Phi_{\text{HF}} | [A_{ph}, [H, A_{p'h'}]] | \Phi_{\text{HF}} \rangle = \bar{v}_{pp'h'}$$

$$\bar{v}_{pp'h'} = \lambda D_{ph} D_{p'h'}$$

$$\rightarrow \frac{1}{\lambda} = \sum_{ph} |D_{ph}|^2 \frac{2\varepsilon_{ph}}{\Omega_\nu^2 - \varepsilon_{ph}^2}, \quad \varepsilon_{ph} = \varepsilon_p - \varepsilon_h$$

# RPA with a separable interaction



# Some features of RPA with $\lambda < 0$

- ① the low-energy state can have an imaginary solution

degenerated unperturbed states:  $\varepsilon_{ph} = \epsilon$

$$E_{\text{coll}}^2 = \epsilon^2 + 2\epsilon\lambda \sum_{ph} |D_{ph}|^2$$

$$\lambda_{\text{crit}} = -\frac{\epsilon}{2 \sum_{ph} |D_{ph}|^2}$$

- ② the low-energy state has an energy lower than in TDA

$$E_{\text{coll}}^{\text{TDA}}(\lambda_{\text{crit}}) = \frac{\epsilon}{2}$$

$$E_{\text{coll}}^{\text{TDA}}(2\lambda_{\text{crit}}) = 0$$

## RPA dispersion

$$\frac{1}{\lambda} = \sum_{ph} |D_{ph}|^2 \frac{2\varepsilon_{ph}}{\Omega_\nu^2 - \varepsilon_{ph}^2}$$

## TDA dispersion

$$\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E_\nu - \varepsilon_{ph}}$$

$$E_{\text{coll}}^{\text{TDA}} = \epsilon + \lambda \sum_{ph} |D_{ph}|^2$$

# Some features of RPA

③ larger transition strengths than in TDA

$$|\langle \nu | D | 0 \rangle|^2 = \left( \lambda^2 \sum_{ph} |D_{ph}|^2 \frac{4\epsilon_{ph}\Omega_\nu}{(\Omega_\nu^2 - \epsilon_{ph}^2)^2} \right)^{-1}$$

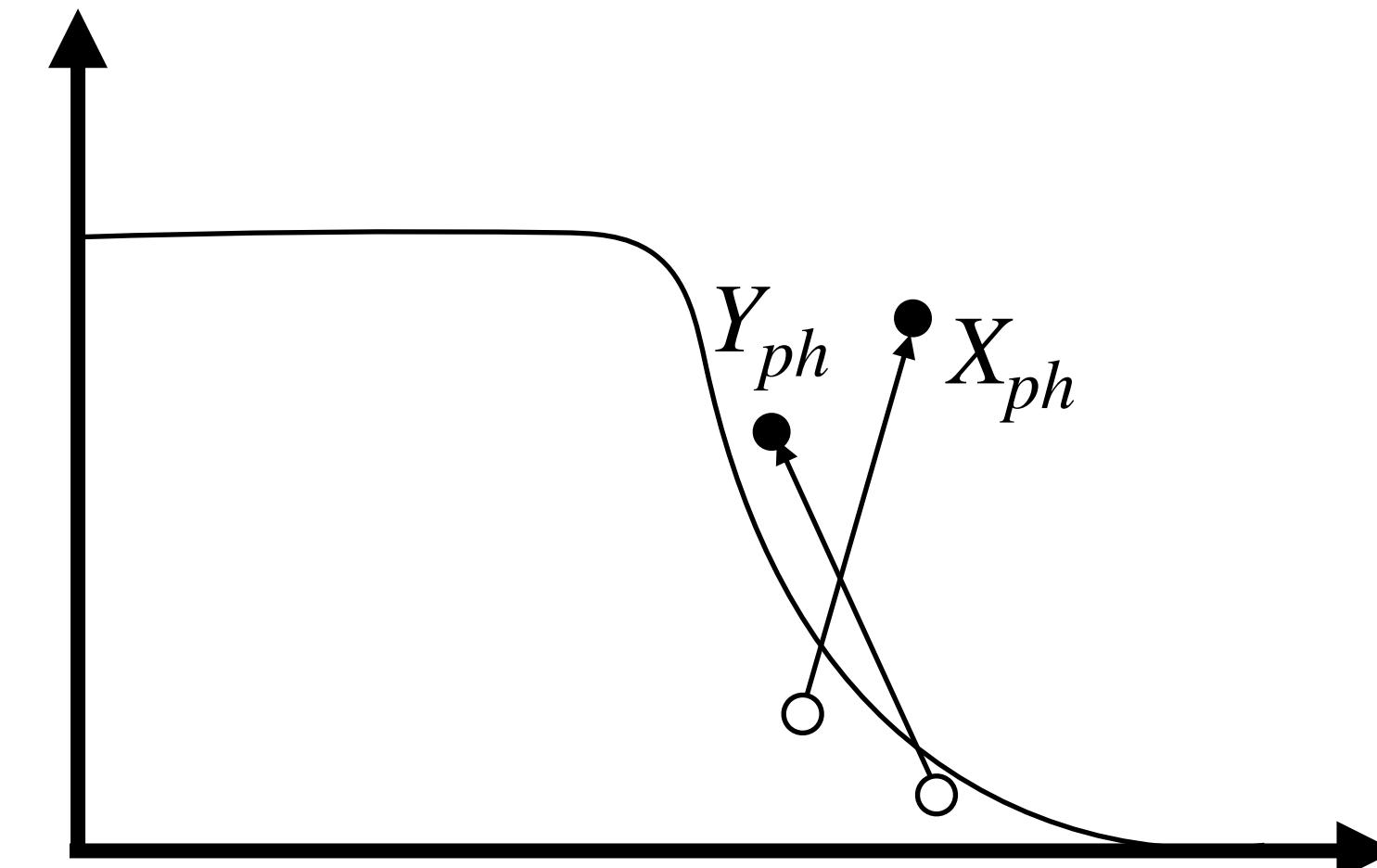
degenerate case

$$\rightarrow |\langle \nu | D | 0 \rangle|^2 = \frac{\epsilon}{E_{\text{coll}}} \sum_{ph} |D_{ph}|^2$$

$$|\langle \nu | D | 0 \rangle|^2 = \sum_{ph} |D_{ph}|^2 \quad \text{for TDA}$$

stronger collectivity than TDA thanks to the ground-state correlation

$$\Gamma_\lambda^\dagger = \sum_{ph} [X_{ph}^\lambda d_p^\dagger b_h^\dagger - \underline{Y_{ph}^\lambda b_h d_p}]$$



# Sum rule

$k$ -th moment of transition strengths  $m_k(F) = \sum_i (\hbar\omega_i)^k |\langle 0 | \hat{F} | i \rangle|^2$

mean-energy of excited state

$$E_\lambda = \sqrt{\frac{m_\lambda}{m_{\lambda-2}}}$$

$k = 1$  : energy-weighted sum rule (EWSR)

$$m_1(F) = \frac{1}{2} \langle [\hat{F}, [\hat{H}, \hat{F}]] \rangle = \frac{1}{2} \langle [\hat{F}, [\hat{T}, \hat{F}]] \rangle (1 + \kappa) = \frac{\hbar^2}{2m} \int d\mathbf{r} |\nabla f(\mathbf{r})|^2 \rho(\mathbf{r}) (1 + \kappa)$$

constant when  $F \propto \sum_i r_i$

model independent

$k = -1$  : dielectric theorem

$$m_{-1}(F) = \frac{1}{2} \frac{\partial^2}{\partial \lambda^2} \mathcal{E}[\mathcal{R}(\lambda)] \Big|_{\lambda=0} = \frac{1}{2} \frac{\partial}{\partial \lambda} \langle \phi(\lambda) | \hat{F} | \phi(\lambda) \rangle \Big|_{\lambda=0} \quad |\phi(\lambda)\rangle : \text{HF(B) state with } -\lambda \hat{F}$$

curvature of the total energy

# Nuclear matter properties from the sum rule

$$m_k(F) = \sum_i (\hbar\omega_i)^k |\langle 0 | \hat{F} | i \rangle|^2, \quad \hat{F} = \sum_i^A r_i^2 \quad \text{Isoscalar monopole}$$

mean energy

$$\bar{E} = \sqrt{\frac{m_1}{m_{-1}}}$$

$$= \sqrt{4 \frac{\hbar^2}{m} \langle r^2 \rangle \frac{\partial^2 E}{\partial \langle r^2 \rangle^2}}$$

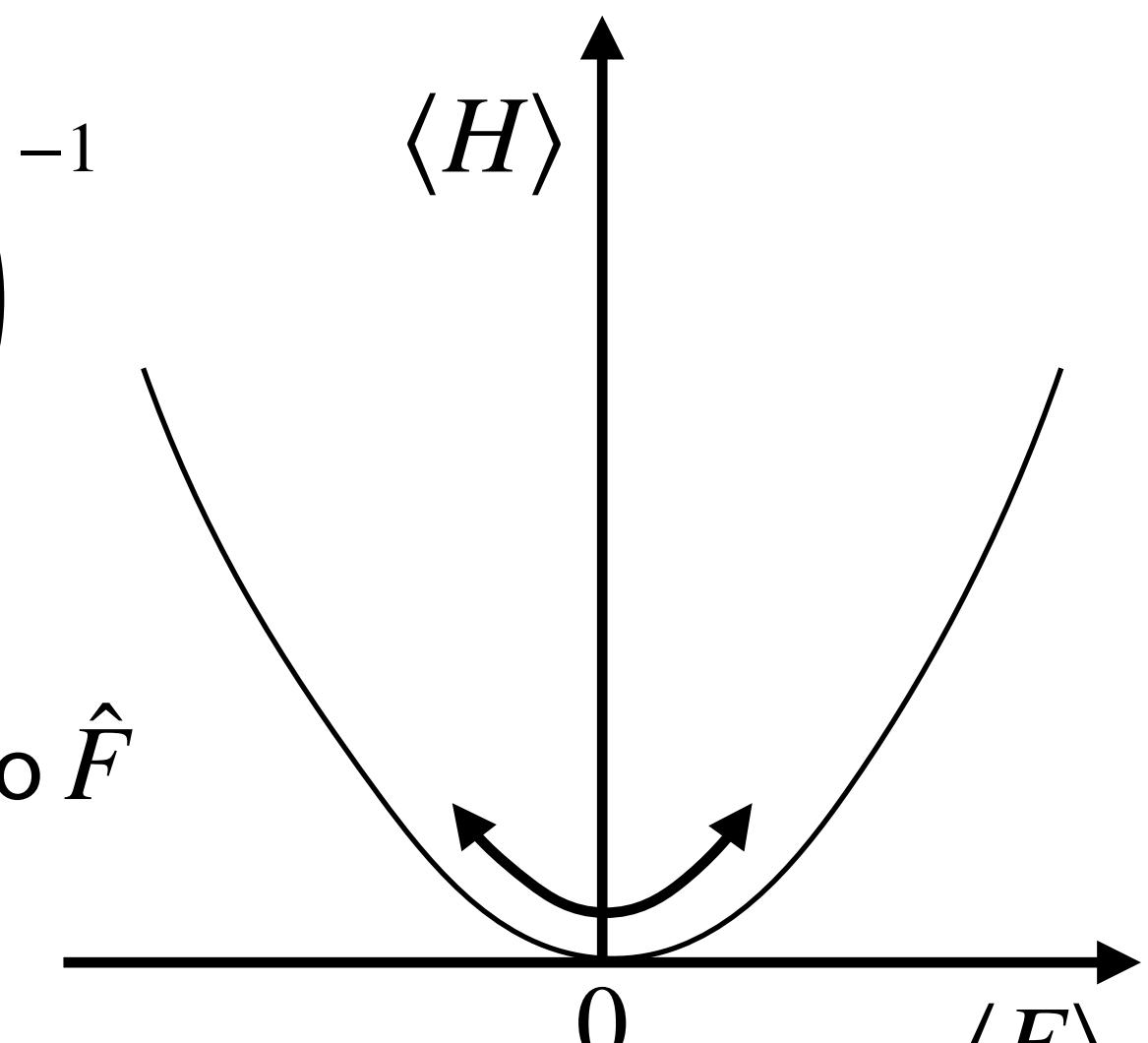
$$= \sqrt{4A \frac{\hbar^2}{m \langle r^2 \rangle} \langle r^2 \rangle^2 \frac{\partial^2 (E/A)}{\partial \langle r^2 \rangle^2}}$$

$$=: \sqrt{K_A \frac{\hbar^2}{m \langle r^2 \rangle}}$$

$$\hat{H} \rightarrow \hat{H} + \lambda \hat{F}$$

$$m_1 = \frac{2\hbar^2}{m} A \langle r^2 \rangle, \quad m_{-1} = \frac{1}{2} \frac{\partial^2 \langle \hat{H} \rangle}{\partial \lambda^2} \Big|_{\lambda=0}$$

$$= \frac{1}{2} \chi_F \quad \text{susceptibility in response to } \hat{F}$$



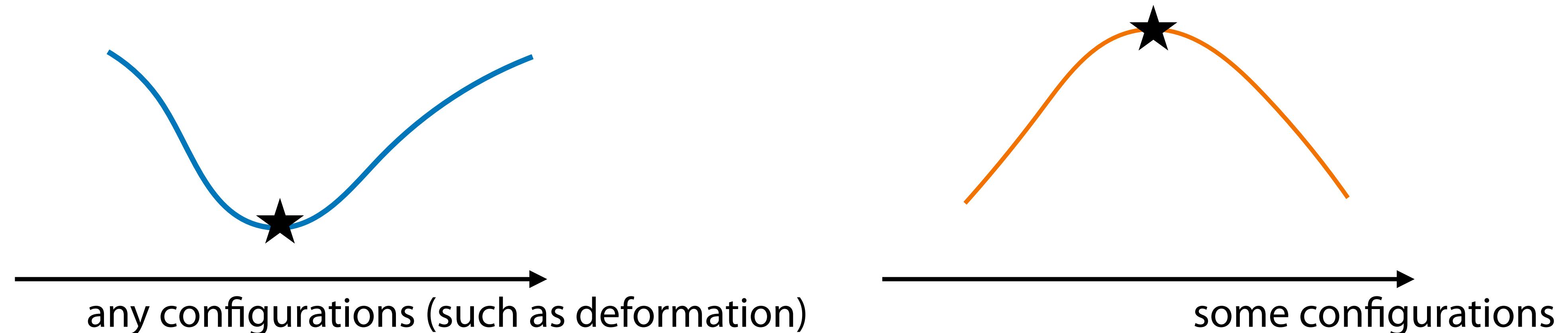
$$K_A = K_V + K_S A^{-1/3} + K_\tau \alpha^2 + K_C \frac{Z^2}{A^{4/3}}$$

Blaizot (1980)

# Stability of the mean-field solution

Solutions of the (Q)RPA : when  $X, Y, \Omega$  is a solution, then  $Y^*, X^*, -\Omega$  is also a solution  
if the HF(B) is stable against any "deformation", all the  $\Omega$  are real  
if the HF(B) is unstable against some "deformation",  $\Omega$  is imaginary

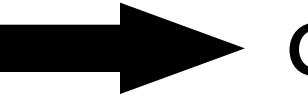
Nakada 2016, 2017



Ex.: spherical solution of HF(B) is unstable against the quadrupole deformation  
→ deformed state is energetically favored

# PQ-representation of the (Q)RPA eq.

(Q)RPA eq.  $[H', \Gamma_\lambda^\dagger] = \hbar\Omega_\lambda\Gamma_\lambda^\dagger, \quad [H', \Gamma_\lambda] = -\hbar\Omega_\lambda\Gamma_\lambda$

same form in the HO potential  coordinate and momentum

$$\mathcal{P}_\lambda = \frac{1}{i} \sqrt{\frac{M_\lambda \hbar \Omega_\lambda}{2}} (\Gamma_\lambda - \Gamma_\lambda^\dagger), \quad \mathcal{Q}_\lambda = \sqrt{\frac{\hbar}{2M_\lambda \Omega_\lambda}} (\Gamma_\lambda + \Gamma_\lambda^\dagger)$$

$$[\mathcal{P}_\lambda, \mathcal{P}_{\lambda'}] = 0, \quad [\mathcal{Q}_\lambda, \hat{\mathcal{Q}}_{\lambda'}] = 0 \quad \langle \Phi_{\text{HFB}} | [\mathcal{Q}_\lambda, \mathcal{P}_{\lambda'}] | \Phi_{\text{HFB}} \rangle = \delta_{\lambda\lambda'}$$

(Q)RPA eq. in the PQ rep.  $[H', \mathcal{P}_\lambda] = i\hbar\omega_\lambda M_\lambda \mathcal{Q}_\lambda, \quad [H', \mathcal{Q}_\lambda] = -\frac{i\hbar}{M_\lambda} \mathcal{P}_\lambda$

$$H' = H - \lambda N = \text{const} + \sum_\lambda \left( \frac{1}{2M_\lambda} \mathcal{P}_\lambda^2 + \frac{M_\lambda}{2} \Omega_\lambda^2 \mathcal{Q}_\lambda^2 \right)$$

# PQ-representation of the (Q)RPA eq.

$$\mathcal{P}_\lambda = \sum_{\mu\nu} P_{\mu\nu}^\lambda a_\mu^\dagger a_\nu^\dagger + P_{\mu\nu}^{\lambda*} a_\nu a_\mu, \quad \mathcal{Q}_\lambda = \sum_{\mu\nu} Q_{\mu\nu}^\lambda a_\mu^\dagger a_\nu^\dagger + Q_{\mu\nu}^{\lambda*} a_\nu a_\mu$$

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} P^\lambda \\ -P^{\lambda*} \end{pmatrix} = i\hbar\Omega_\lambda^2 M_\lambda \begin{pmatrix} Q^\lambda \\ Q^{\lambda*} \end{pmatrix}$$

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} Q^\lambda \\ -Q^{\lambda*} \end{pmatrix} = \frac{\hbar}{i} \frac{1}{M_\lambda} \begin{pmatrix} P^\lambda \\ P^{\lambda*} \end{pmatrix}$$

normalization  $(Q^{\lambda*} \quad Q^\lambda) \begin{pmatrix} P^{\lambda'} \\ -P^{\lambda'*} \end{pmatrix} = i\hbar\delta_{\lambda\lambda'}$

The (Q)RPA defines the generalized coordinates and momenta on the HF(B) equilibrium.

# Symmetries and the (Q)RPA

The HF(B) solutions breaks the symmetries

translational: locality

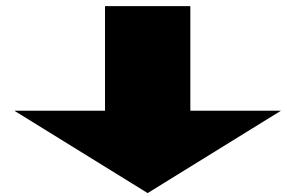
rotational: deformation

particle number: superfluidity

while the many-body Hamiltonian possesses the sym. :  $[H', P] = 0, [H'_{\text{MF}}, P] \neq 0$

QRPA eq. PQ rep.

$$[H', \mathcal{P}_\lambda] = i\hbar\omega_\lambda M_\lambda Q_\lambda, \quad [H', Q_\lambda] = -\frac{i\hbar}{M_\lambda} \mathcal{P}_\lambda$$



$$[H', P]_{\text{RPA}} = 0$$

$$\hbar\omega_{\text{sym}} = 0$$

the zero-energy solution the (Q)RPA eq.

the broken sym. in the MFA is restored in the RPA

# Symmetries and the (Q)RPA

$$H'_{\text{RPA}} = \text{const} + \frac{1}{2M_0}\mathcal{P}_0^2 + \sum_{\Omega_\lambda \neq 0} \left( \frac{1}{2M_\lambda}\mathcal{P}_\lambda^2 + \frac{M_\lambda}{2}\Omega_\lambda^2 Q_\lambda^2 \right)$$

zero-energy solution  
 $[H'_{\text{RPA}}, \mathcal{P}_0] = 0$

translational:  $[H'_{\text{RPA}}, R] = \frac{1}{iAm}P, [H'_{\text{RPA}}, P] = 0, [R, P] = i$   $M_0 = A$

rotational:  $[H'_{\text{RPA}}, \Omega] = \frac{1}{i\mathcal{J}}J_x, [H'_{\text{RPA}}, J_x] = 0, [\Omega, J_x] = i$   $M_0 = \mathcal{J}$   
Thouless–Valatin moment of inertia

global U(1):  $[H'_{\text{RPA}}, \Theta] = \frac{1}{i\mathcal{M}}N, [H'_{\text{RPA}}, N] = 0, [\Theta, N] = i$   $M_0 = \mathcal{M}$

# DFT for dynamics and excitations: TDDFT

$$\begin{aligned}\hat{H}(t) &= \hat{T} + \hat{V}(t) + \hat{W} \\ &= \int dx \hat{\psi}^\dagger(x) \left( -\frac{\hbar^2}{2m} \nabla^2 \right) \hat{\psi}(x) + \int dx \hat{\psi}^\dagger(x) v(x, t) \hat{\psi}(x) + \frac{1}{2} \int \int dx dy \hat{\psi}^\dagger(x) \hat{\psi}^\dagger(y) w(x, y) \hat{\psi}(y) \hat{\psi}(x)\end{aligned}$$

$$\begin{aligned}A(t_1, t_0) &\equiv \int_{t_0}^{t_1} dt \langle \Psi(t) | i\hbar\partial_t - \hat{H}(t) | \Psi(t) \rangle \\ \frac{\delta A}{\delta \langle \Psi(t) |} &= [i\hbar\partial_t - \hat{H}] | \Psi(t) \rangle = 0 \quad \longleftrightarrow \quad |\Psi(t)\rangle \text{ A solution of TD Sch. eq.}\end{aligned}$$

E. Runge and E. K. U. Gross, PRL52(1984)997

Theorem 1:  $|\Psi(t)\rangle = |\Psi[\rho, \Psi_0](t)\rangle \quad \longleftrightarrow \quad \rho(r, t) \iff v(r, t) \iff \Psi(r, t)$

Action density functional  $A[\rho] = \int_{t_0}^{t_1} dt \langle \Psi[\rho, \Psi_0](t) | i\hbar\partial_t - \hat{H}(t) | \Psi[\rho, \Psi_0](t) \rangle$

Theorem 2:  $\frac{\delta A}{\delta \rho(r, t)} = 0 \quad \rightarrow \quad \text{the exact density} \quad \rho(r, t)$

# Practical method for TDDFT: the Time Dependent Kohn-Sham equation

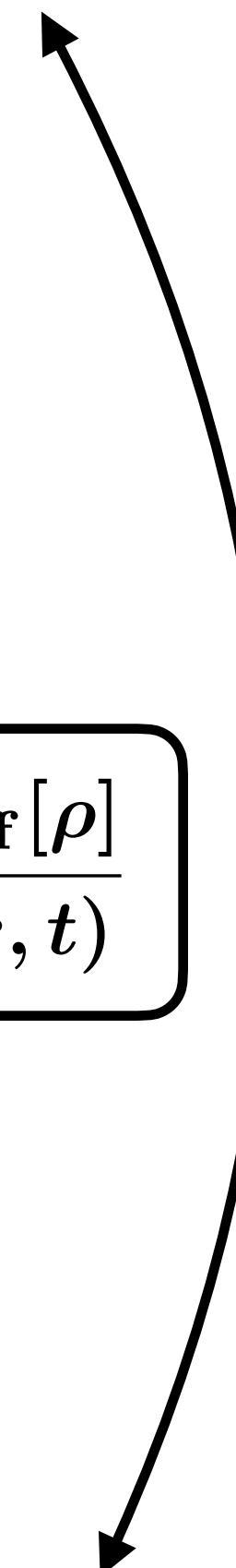
Reference system: without interactions

TDKS eq.

$$i\partial_t\phi_i(r, t) = \left\{ -\frac{\hbar^2}{2m}\nabla^2 + v_s[\rho](r, t) \right\} \phi_i(r, t)$$



the exact density  $\rho(r, t) = \sum_{i=1}^N |\phi_i(r, t)|^2$



Action density functional for the reference system

$$A_s[\rho] = B_s[\rho] - \int_{t_0}^{t_1} dt \int dr v_s[\rho](r, t) \rho(r, t)$$

$$B_s[\rho] = \int_{t_0}^{t_1} dt \langle \Psi[\rho](t) | i\partial_t - \hat{T} | \Psi[\rho](t) \rangle$$

Theorem 2



$$\frac{\delta A_s[\rho]}{\delta \rho(r, t)} = \frac{\delta B_s[\rho]}{\delta \rho(r, t)} - v_s(r, t) = 0$$

Interacting system

Action density functional

$$\begin{aligned} A[\rho] &= B[\rho] - \int_{t_0}^{t_1} dt \int dr v(r, t) \rho(r, t) \\ &= B_s[\rho] - \int_{t_0}^{t_1} dt \int dr v(r, t) \rho(r, t) + \{B[\rho] - B_s[\rho]\} \\ &= B_s[\rho] - \int_{t_0}^{t_1} dt \int dr v(r, t) \rho(r, t) - A_{\text{eff}}[\rho] \end{aligned}$$

Theorem 2



$$\frac{\delta A[\rho]}{\delta \rho(r, t)} = \frac{\delta B_s[\rho]}{\delta \rho(r, t)} - v(r, t) - \frac{\delta A_{\text{eff}}[\rho]}{\delta \rho(r, t)} = 0$$

$\rho(r, t)$

the exact density of the int. system

$$B[\rho] = \int_{t_0}^{t_1} dt \langle \Psi[\rho, \Psi_0](t) | i\partial_t - \hat{T} - \hat{W} | \Psi[\rho, \Psi_0](t) \rangle$$

$$v_s[\rho](r, t) = v(r, t) + \frac{\delta A_{\text{eff}}[\rho]}{\delta \rho(r, t)}$$

# Linear-response TDDFT for vibrational modes: RPA

$$v(r, t) = \begin{cases} 0 & \text{for } t \leq 0 \\ v_{\text{ext}}(r, t) & \text{for } t > 0 \end{cases}$$

perturbing field oscillates at a frequency  $\omega$   
 $v_{\text{ext}}(r, t) = v_{\text{ext}}(r)e^{-i\omega t} + v_{\text{ext}}^*(r)e^{i\omega t}$

TDKS eq. for  $t > 0$

$$i\partial_t \psi_i(r, t) = \{h[\rho](r, t) + v_{\text{ext}}(r, t)\} \psi_i(r, t)$$

$$\rho(r, t) = \sum_i |\psi_i(r, t)|^2$$

$$h[\rho](r, t) = -\frac{\hbar^2}{2m} \nabla^2 + \frac{\delta A_{\text{eff}}[\rho]}{\delta \rho}$$

Oscillation around the ground state:

$$\psi_i(r, t) = (\phi_i(r) + \delta\psi_i(r, t))e^{-i\epsilon_i t}$$

Linearized TDKS eq.

$$i\partial_t \delta\psi_i(r, t) = (h_0(r) - \epsilon_i) \delta\psi_i(r, t) + \left( \int dr' dt' \frac{\delta h[\rho](r, t)}{\delta \rho(r', t')} \delta\rho(r', t') + v_{\text{ext}}(r, t) \right) \phi_i(r)$$

RPA eq.

$$\begin{aligned} \omega X_{mi} &= (\epsilon_m - \epsilon_i) X_{mi} + \sum_{nj} \iint dr dr' \phi_m^*(r) \phi_j^*(r') \frac{\delta h}{\delta \rho} \phi_i(r) \phi_n(r') X_{nj} + \sum_{nj} \iint dr dr' \phi_m^*(r) \phi_n^*(r') \frac{\delta h}{\delta \rho} \phi_i(r) \phi_j(r') Y_{nj} \\ -\omega Y_{mi} &= (\epsilon_m - \epsilon_i) X_{mi} + \sum_{nj} \iint dr dr' \phi_m^*(r) \phi_j^*(r') \frac{\delta h}{\delta \rho} \phi_i(r) \phi_n(r') Y_{nj} + \sum_{nj} \iint dr dr' \phi_m^*(r) \phi_n^*(r') \frac{\delta h}{\delta \rho} \phi_i(r) \phi_j(r') X_{nj} \end{aligned}$$

for  $t < 0$

$$h_0(r) \phi_i(r) = \epsilon_i \phi_i(r)$$

$$h_0(r) = h[\rho_0](r)$$

$$\rho_0(r) = \sum_i |\phi_i(r)|^2$$

transition density also oscillates at a frequency  $\omega$

$$\rho(r, t) = \rho_0(r) + \delta\rho(r, t)$$

$$\delta\rho(r, t) = \sum_i \phi_i^*(r) \delta\psi_i(r, t) + \phi_i(r) \delta\psi_i^*(r, t)$$

$$\delta\rho(r, t) = \delta\rho(r) e^{-i\omega t} + \delta\rho^*(r) e^{i\omega t}$$

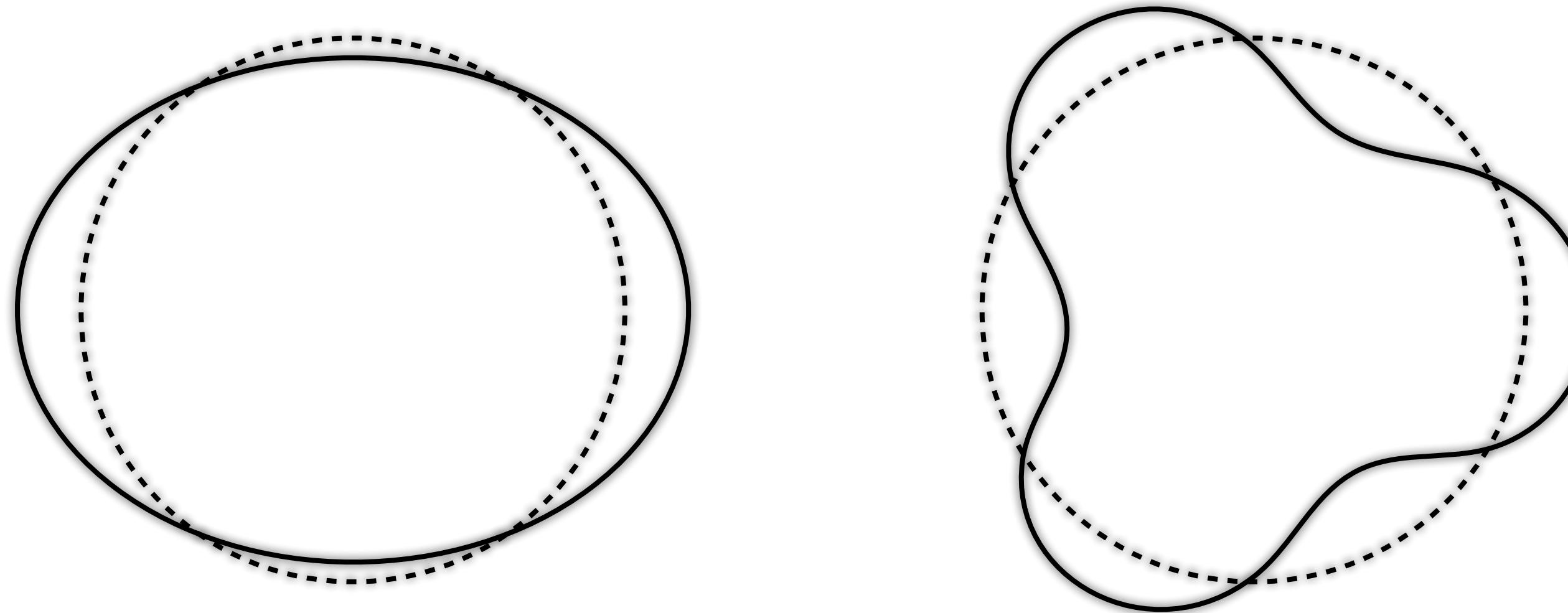
$$\delta\psi_i(r, t) = f_i(r) e^{-i\omega t} + g_i(r) e^{i\omega t}$$

$$X_{mi} = \int dr \phi_m^*(r) f_i(r)$$

$$Y_{mi} = \int dr \phi_m^*(r) g_i(r)$$

# **Vibrational modes of excitation**

# Rich variety of collective vibrations



GR is strongly excited by a one-body operator, and exhausts a **sum-rule value**

$$F = \sum_{\sigma, \sigma'} \sum_{\tau, \tau'} \int dr r^L Y_L(\hat{r}) \psi^\dagger(r \sigma \tau) \langle \sigma | \left\{ \begin{array}{c} 1 \\ \vec{\sigma} \end{array} \right\} | \sigma' \rangle \langle \tau | \left\{ \begin{array}{c} 1 \\ \vec{\tau} \end{array} \right\} | \tau' \rangle \psi(r \sigma' \tau')$$

# Collective modes of excitation in deformed nuclei

nuclear DFT for Quasiparticle-RPA in deformed nuclei

Skyrme EDF

Matrix-QRPA

- K. Yoshida et al., PRC78(2008)064316
- C. Losa et al., PRC81(2010)064307
- J. Terasaki et al., PRC82(2010)034326

LR-TDDFT

- S. Ebata et al., PRC82(2010)034306
- G. Scamps et al., PRC89(2014)034314

FAM-QRPA

- M. Stoitsov et al., PRC84(2011)041305
- M. Kortelainen et al., PRC92(2015)051302R
- K. Washiyama et al., PRC96(2017)041304R

Gogny EDF

- S. Péru et al., PRC77(2008)044313

Relativistic EDF

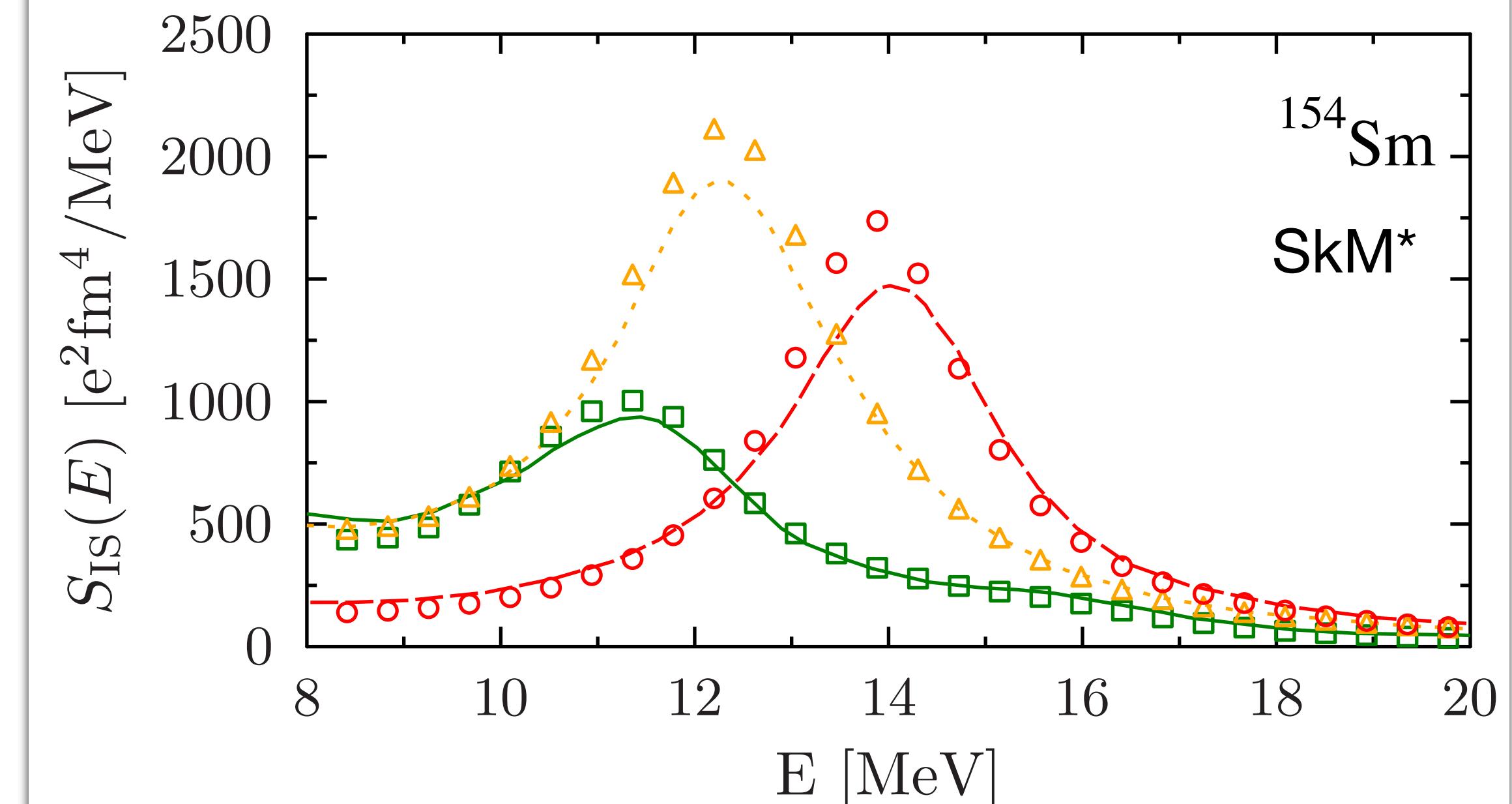
- D. P. Arteaga et al., PRC79(2009)034311
- T. Nikšić et al., PRC88(2013)044327

pairing and deformation taken into account

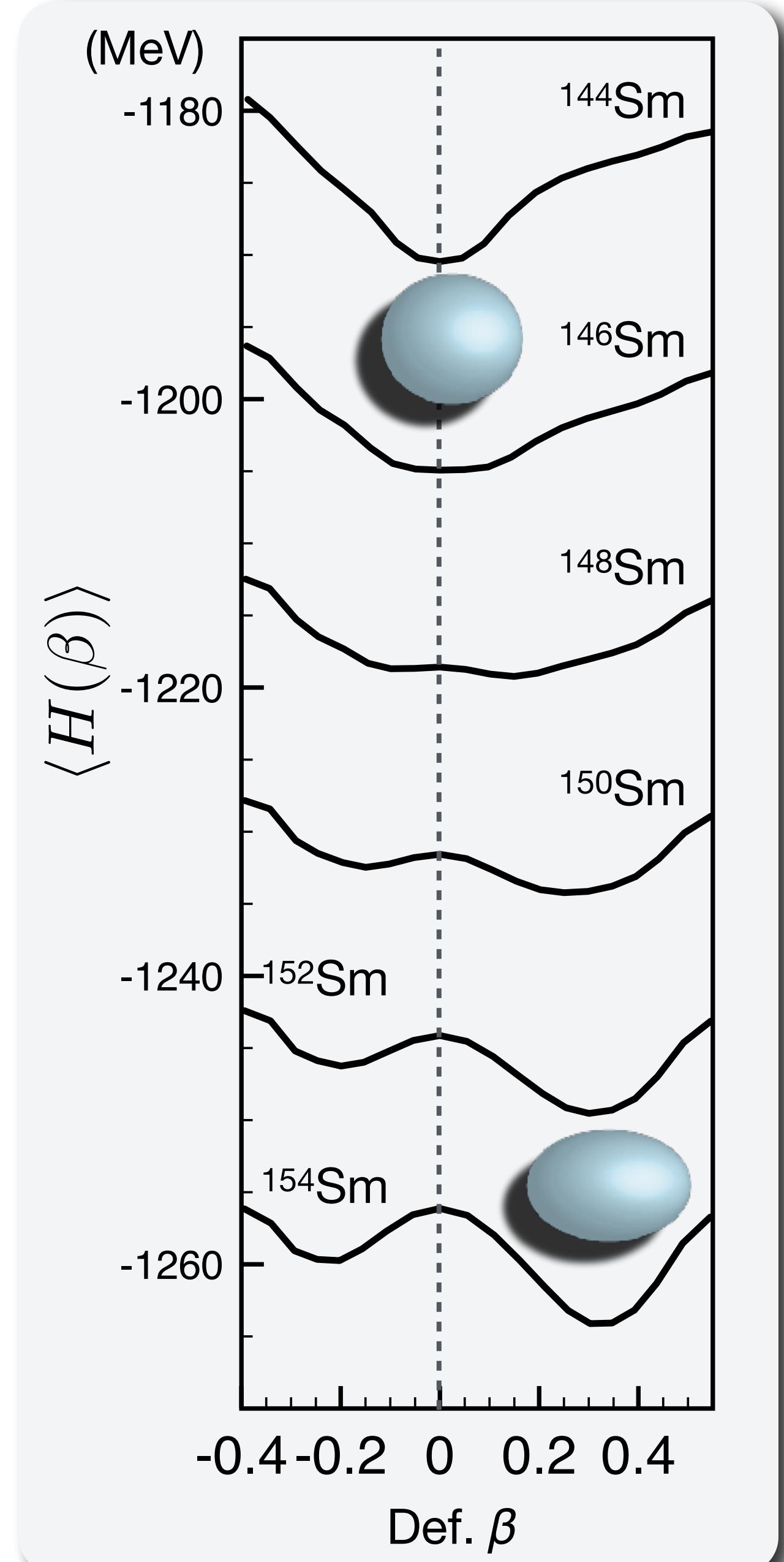
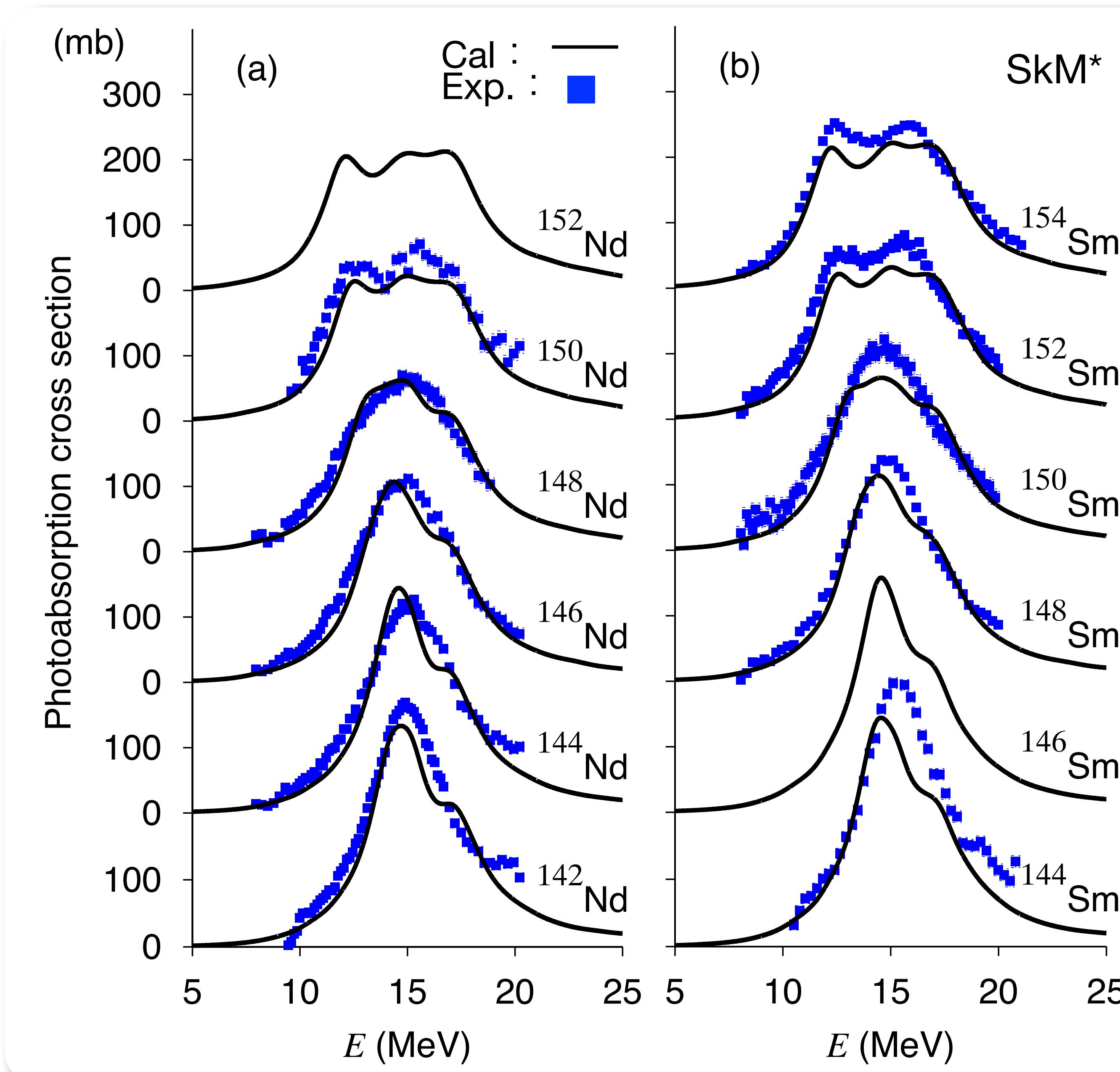
QRPA and LR-TDDFT(BCS)

Scamps et al.

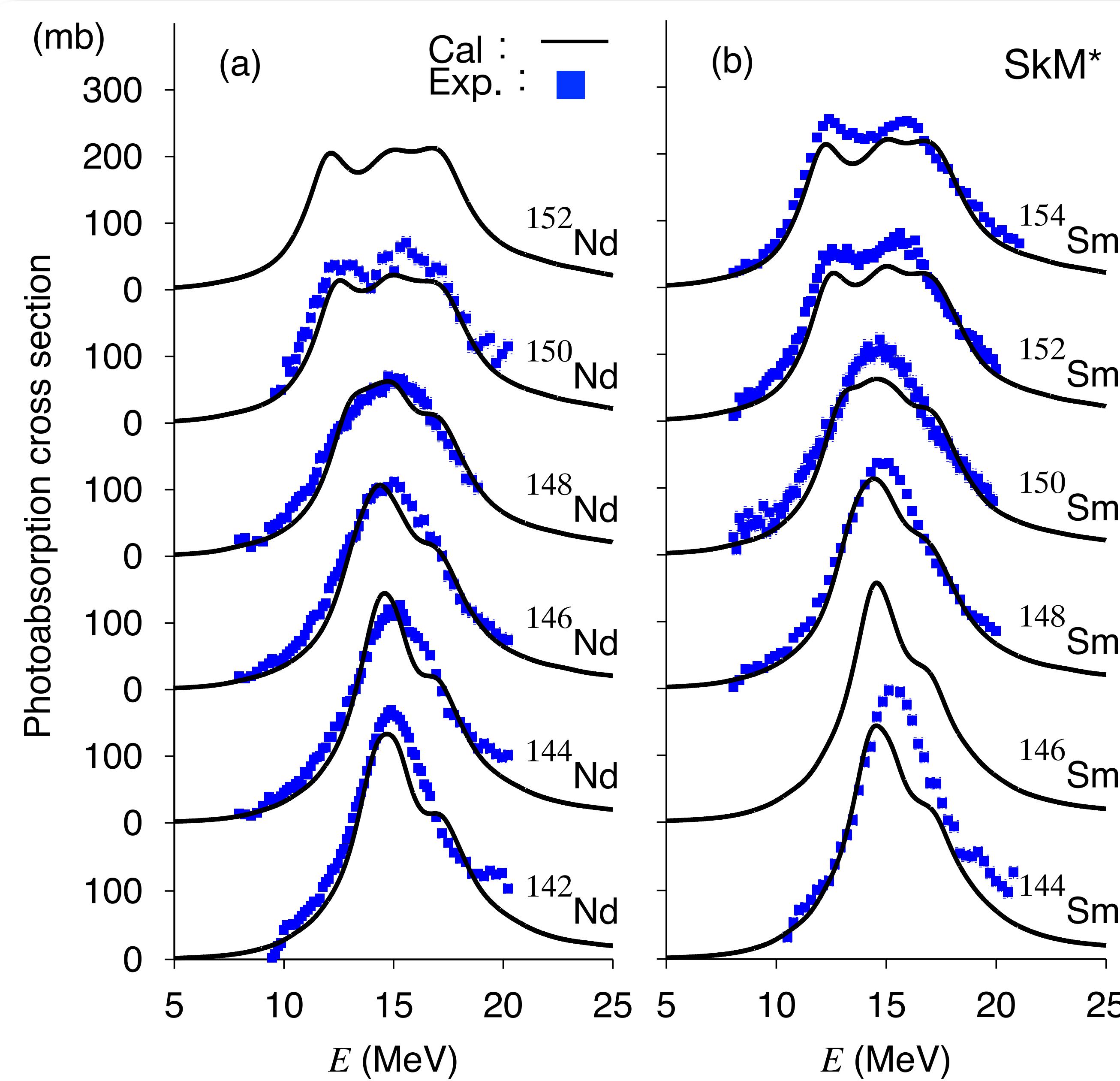
Giant Quadrupole Resonance (GQR)



# Shape evolution seen in Giant Dipole Resonance



# Shape evolution seen in Giant Dipole Resonance

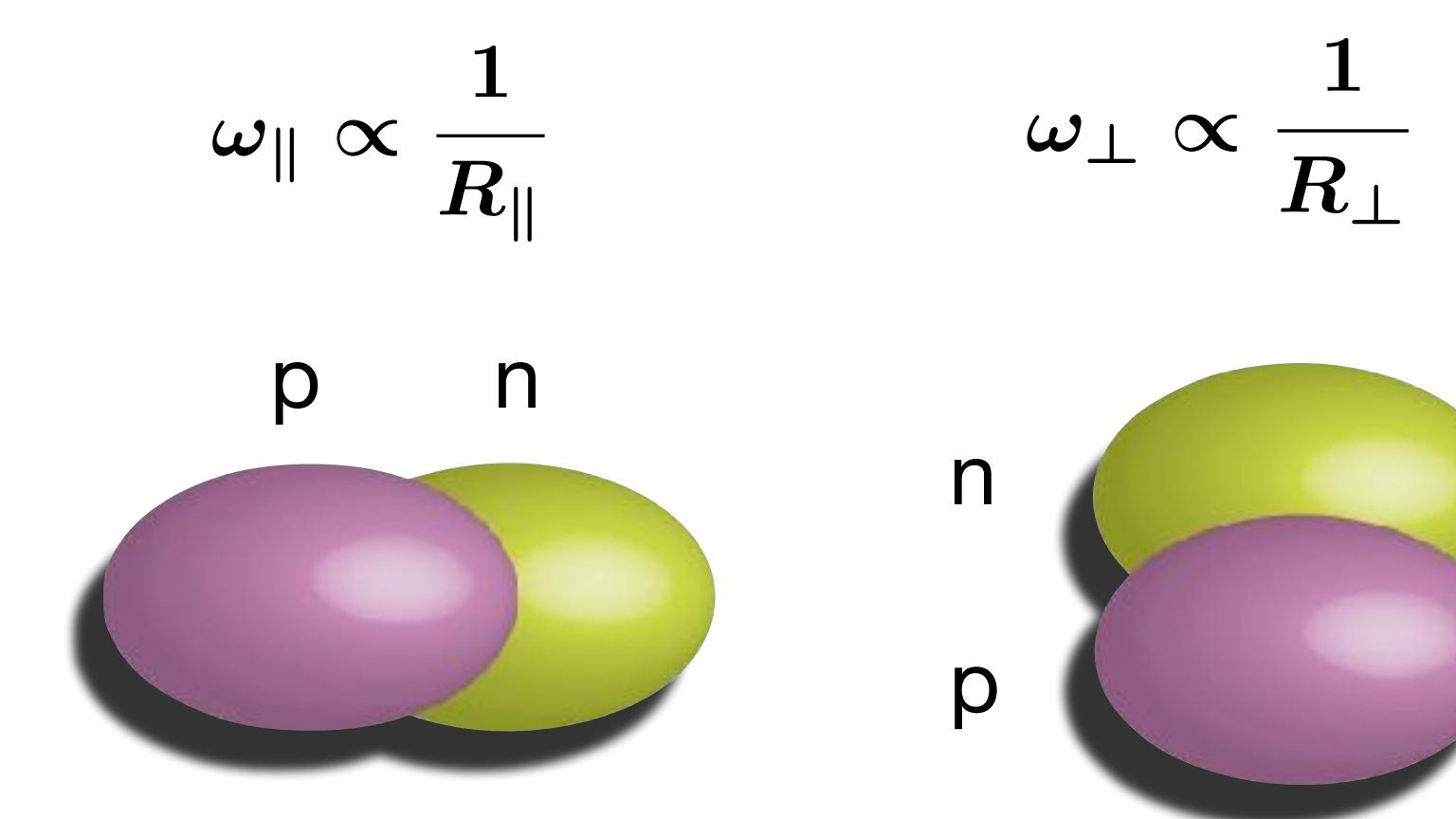


collective oscillation

$$\omega_{\parallel} \propto \frac{1}{R_{\parallel}}$$

p n

$K=0$



classically understood

$$\omega \propto \frac{1}{R}$$

# Deformation effect in Giant Monopole Resonance?

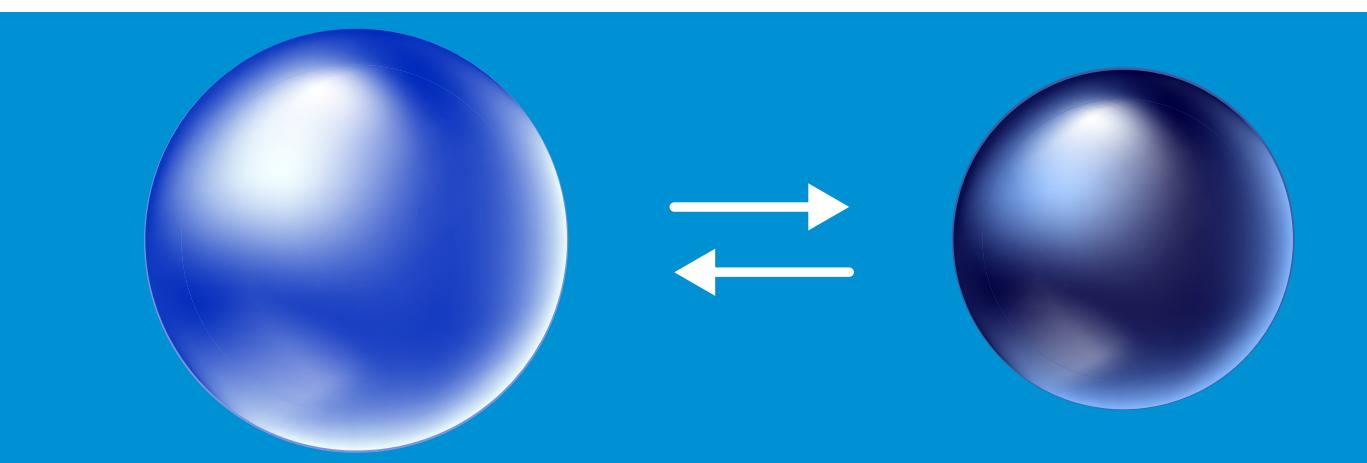
$$F = \int d\vec{r} r^2 \psi^\dagger(\vec{r}) \psi(\vec{r})$$

volume change



incompressibility of nuclear matter

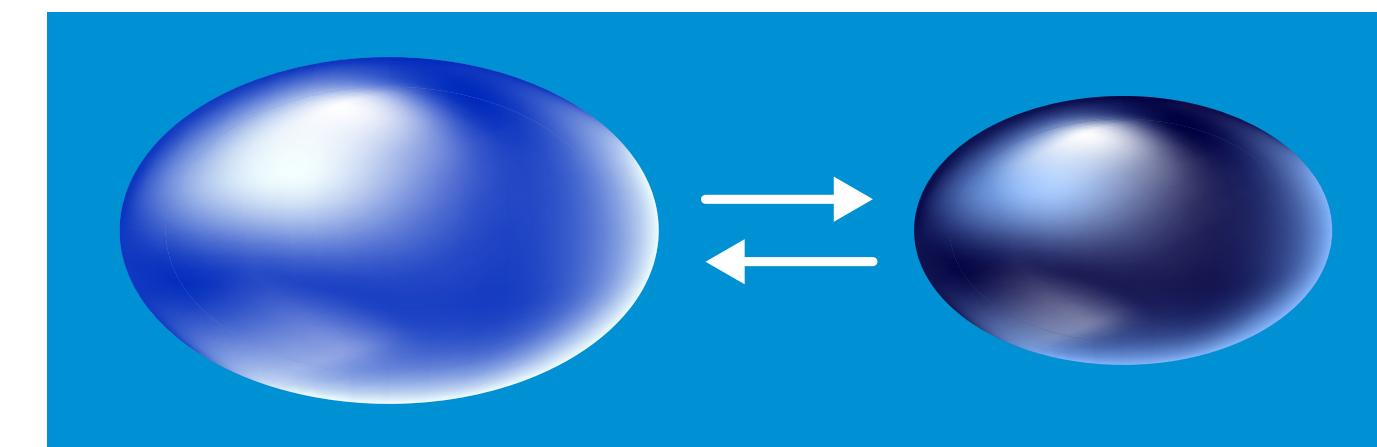
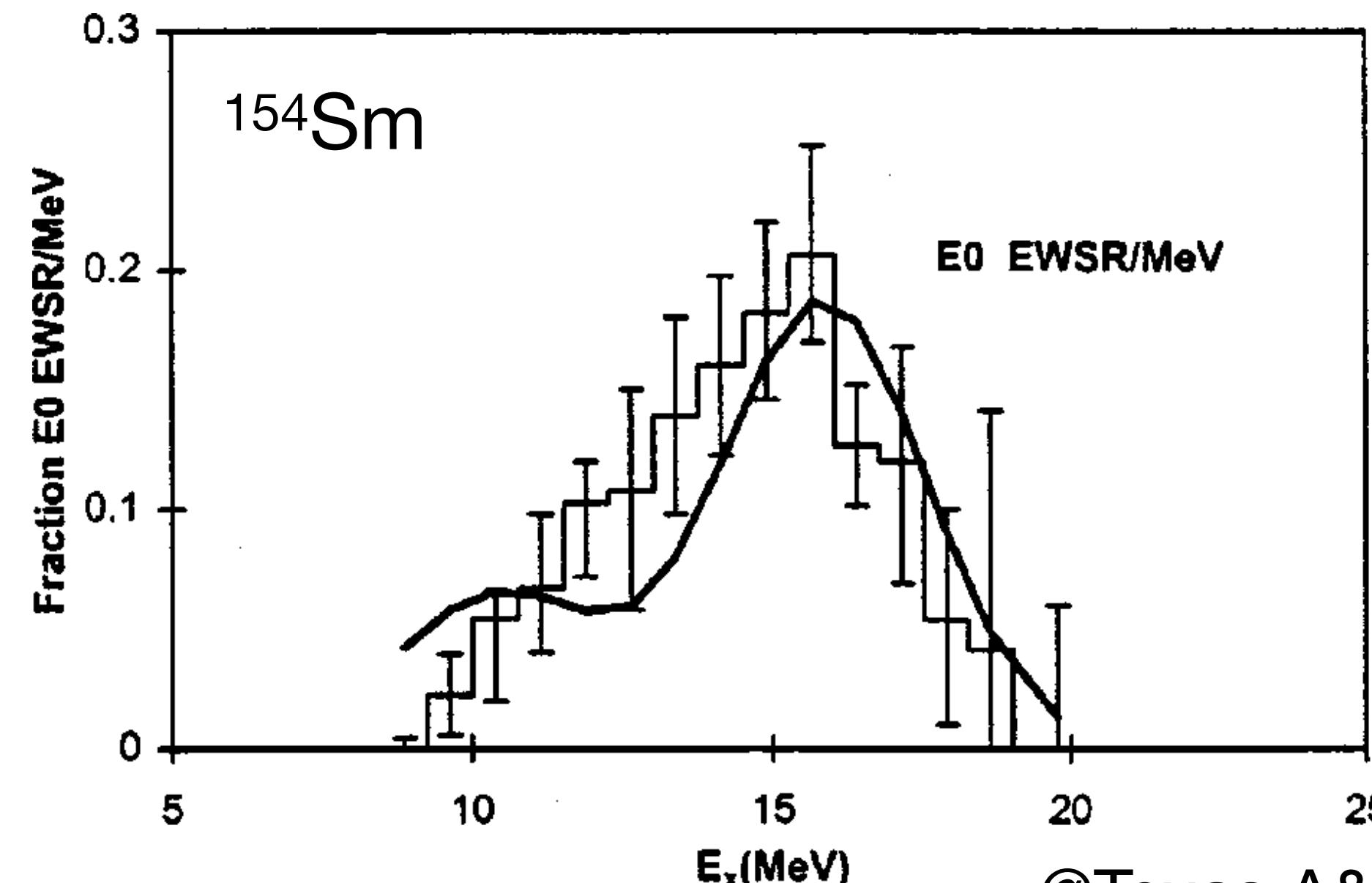
J.-P. Blaizot,  
Phys. Rep. 64(1980)171



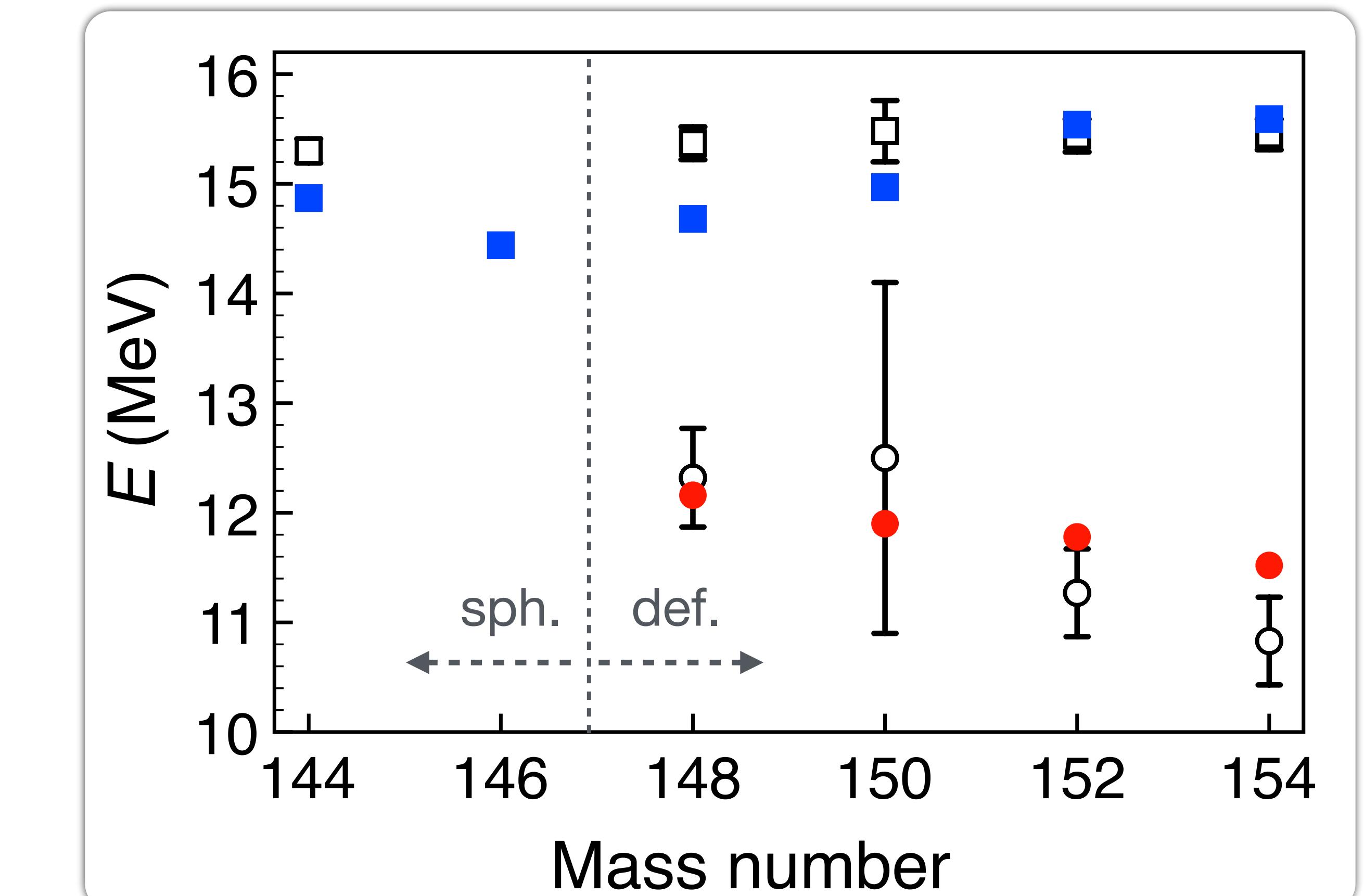
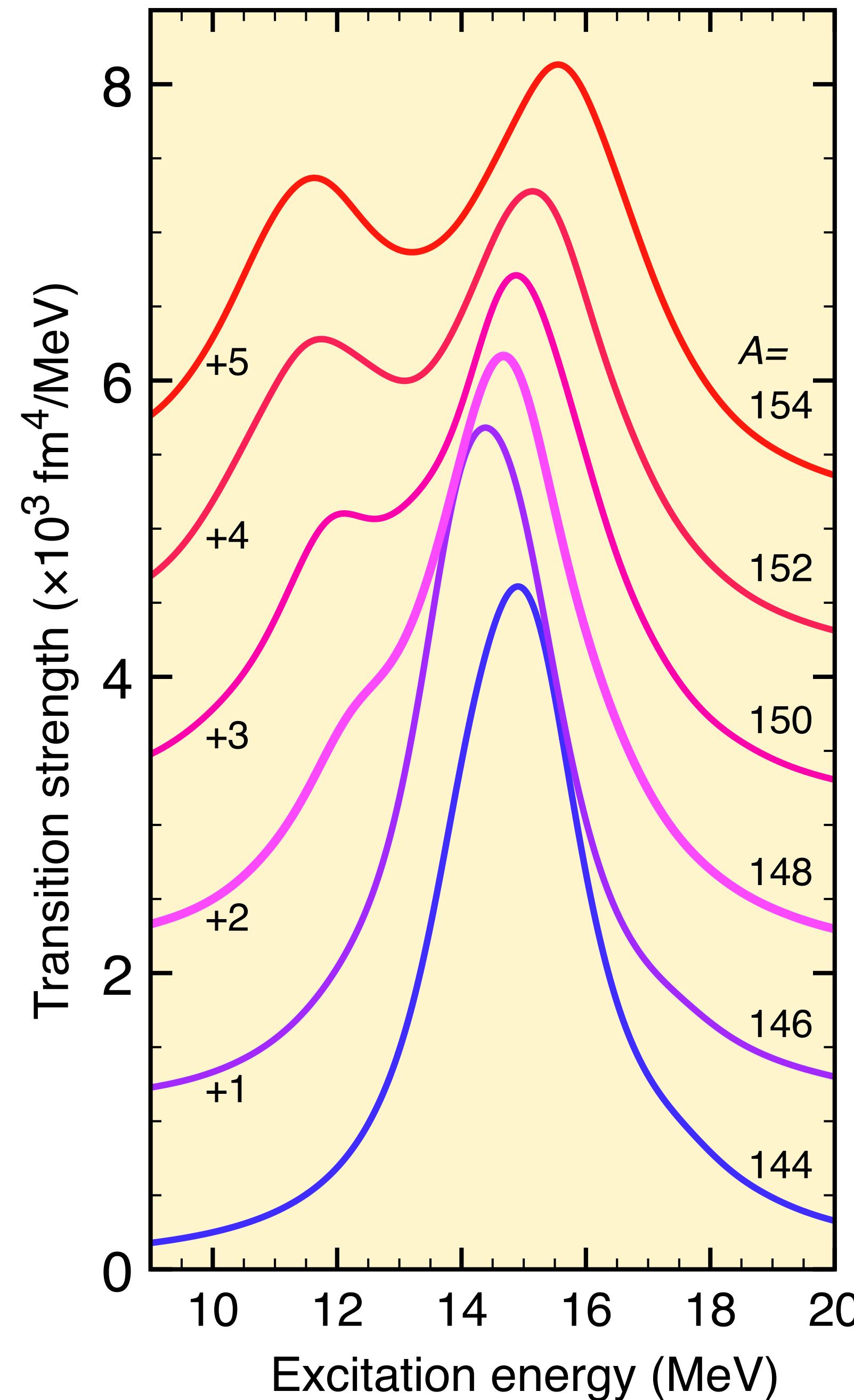
deformation splitting?

U. Garg, *et al.*, PRL45(1980)1670

D. H. Youngblood, *et al.*, PRC60(1999)067302

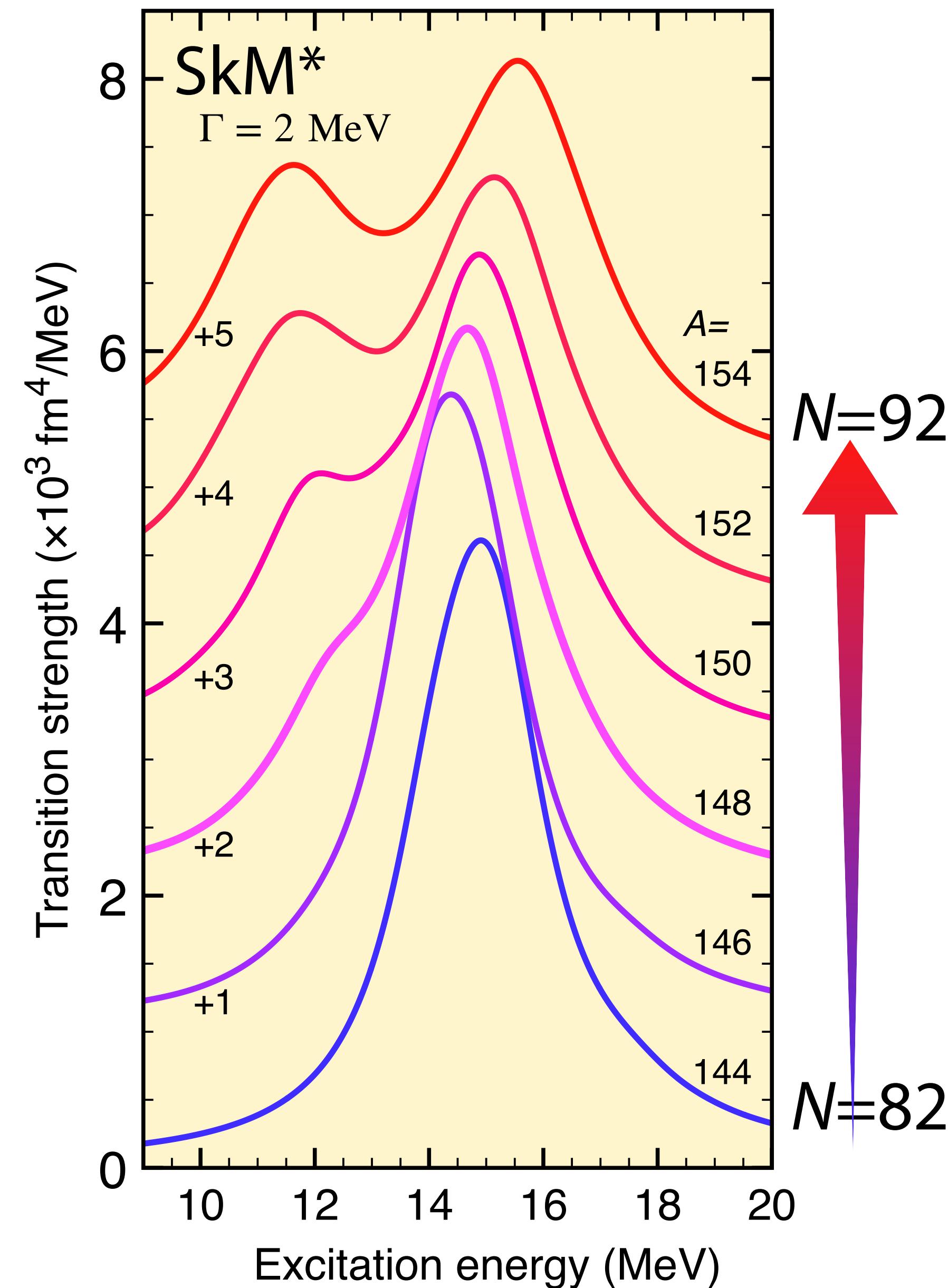


no angle dependence as in GDR

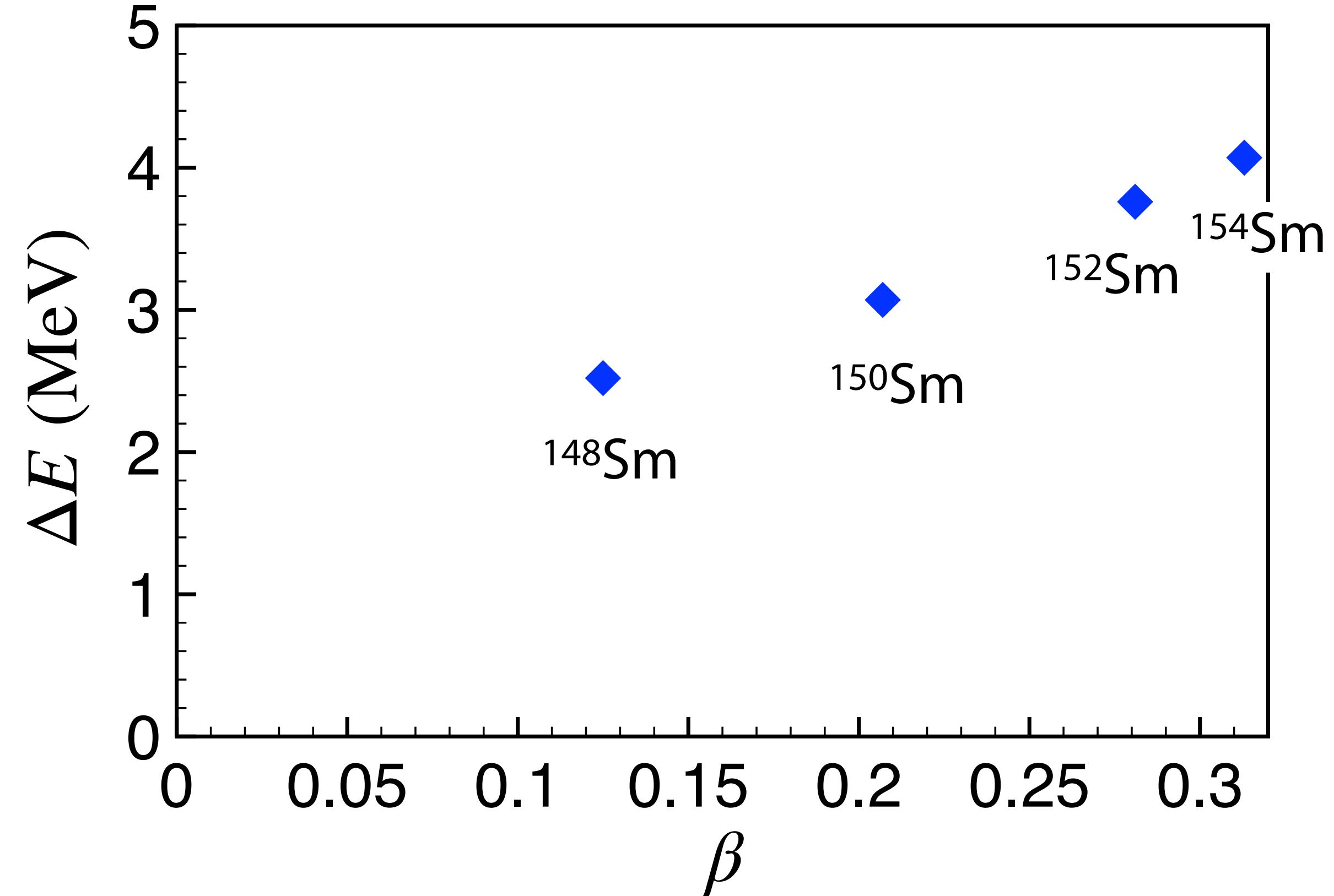
GMR energy in the Sm ( $Z=62$ ) isotopesExp.: M. Itoh, *et al.*, PRC68(2003)064602

# GMR in the Sm isotopes

Yoshida–Nakatsukasa ('13)

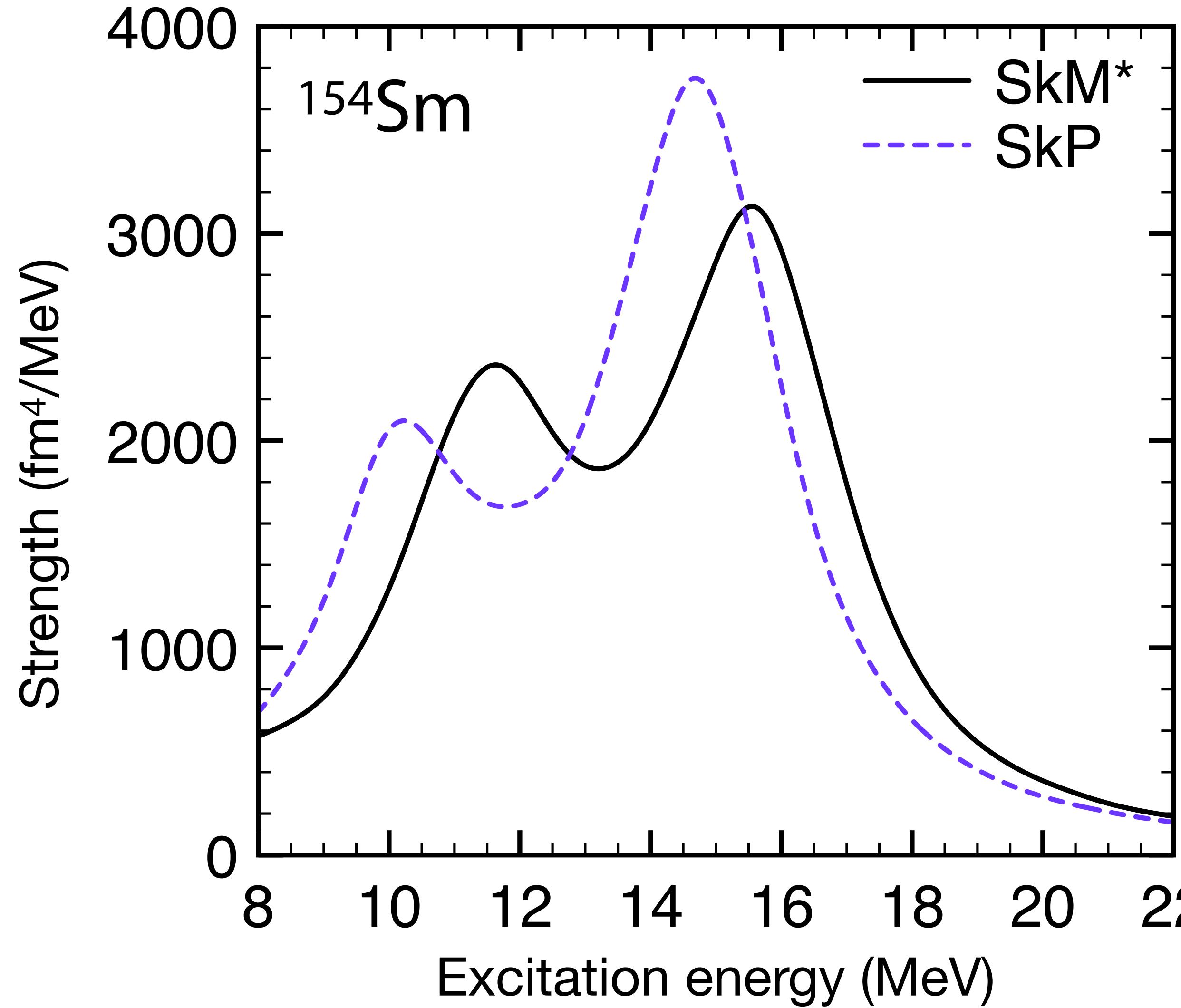


deformation splitting



# GMR in the Sm isotopes

KY, T. Nakatsukasa, PRC88(2013)034309



Ratio of EWS  
higher/lower

$\beta = 0.31$  1.9

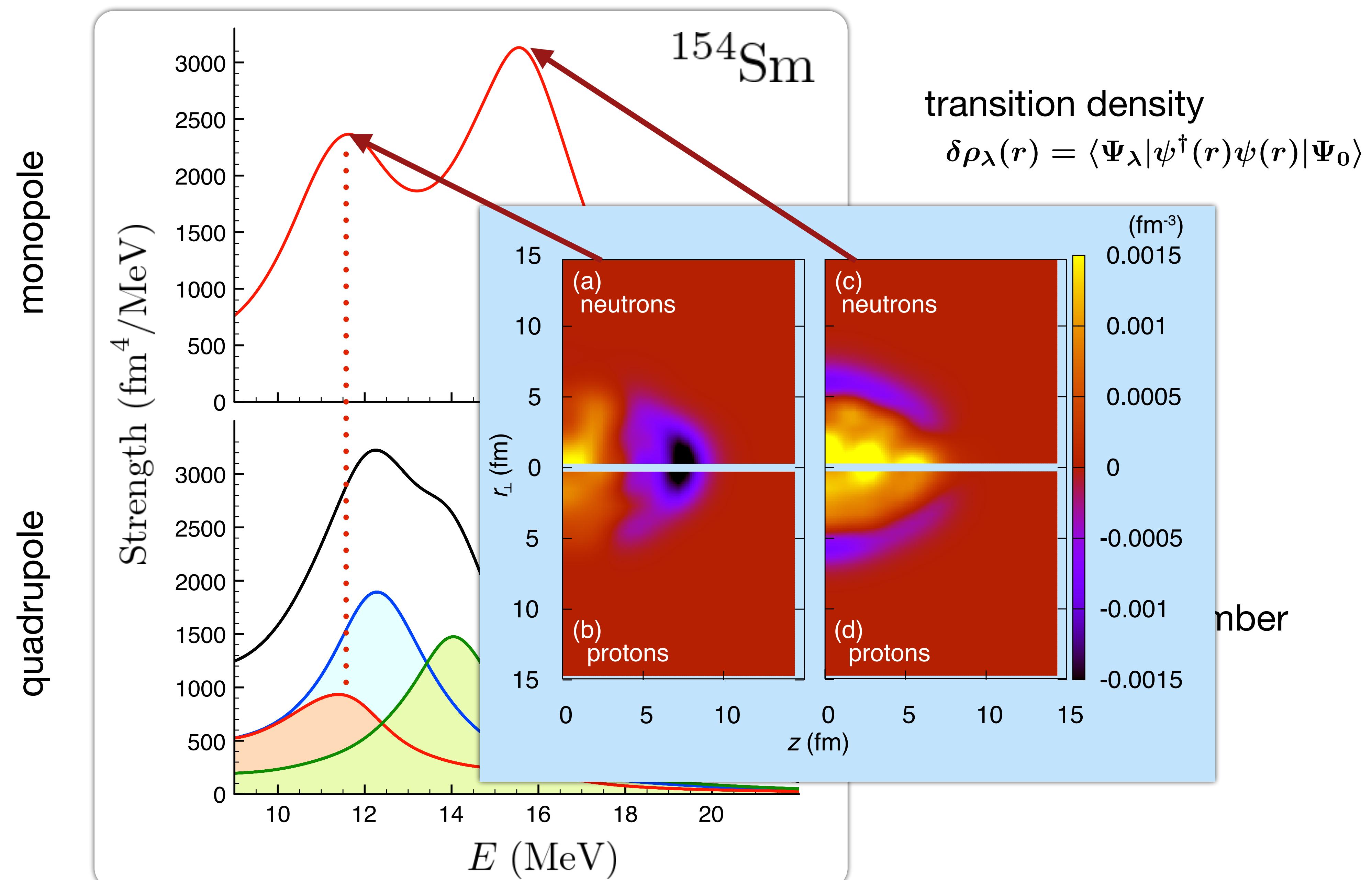
$\beta = 0.29$  3.2

larger strengths in the lower peak  
in a strongly-deformed nucleus

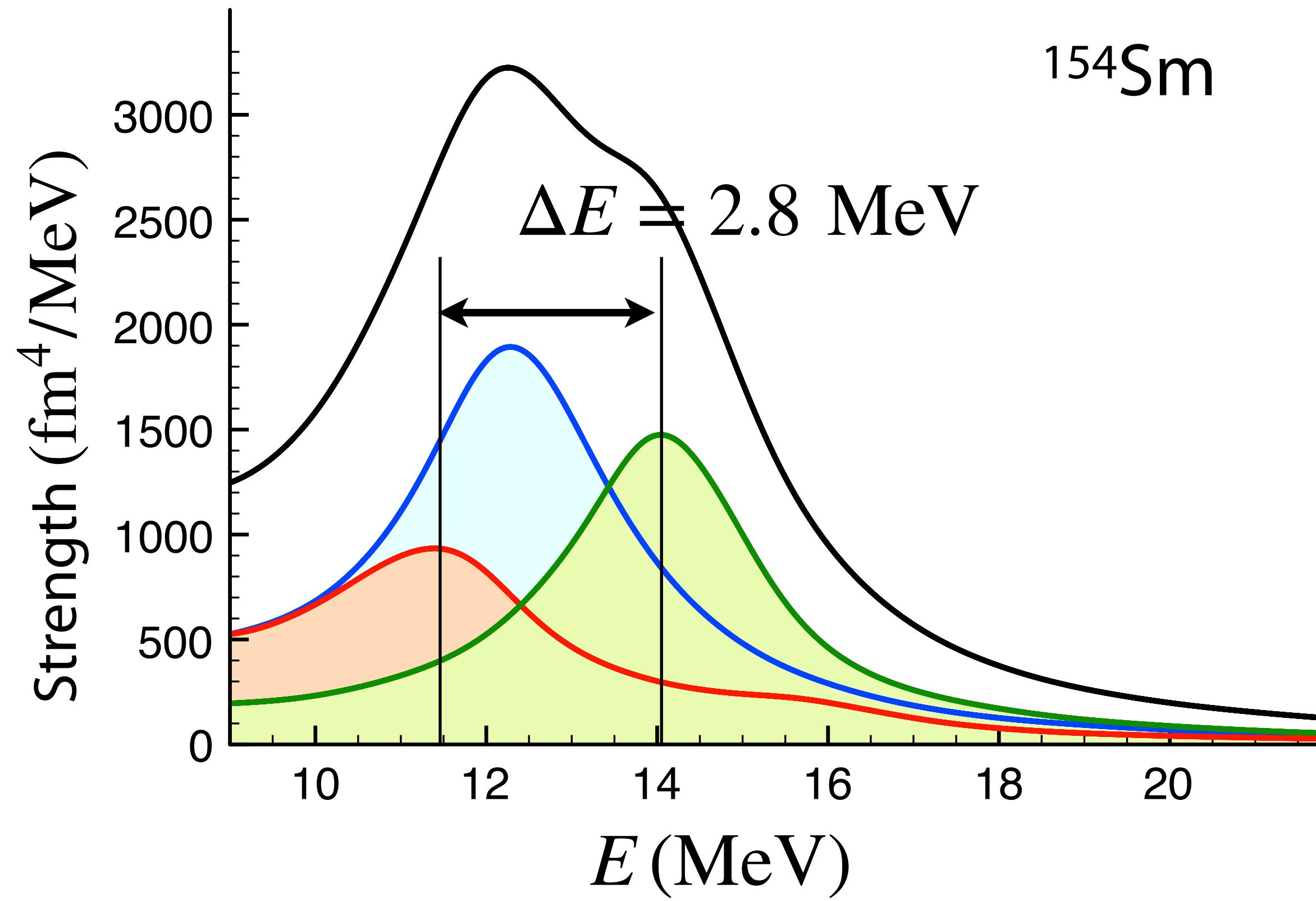
stronger coupling between GMR and GQR  
as deformation increases

splitting energy  
ratio of strengths

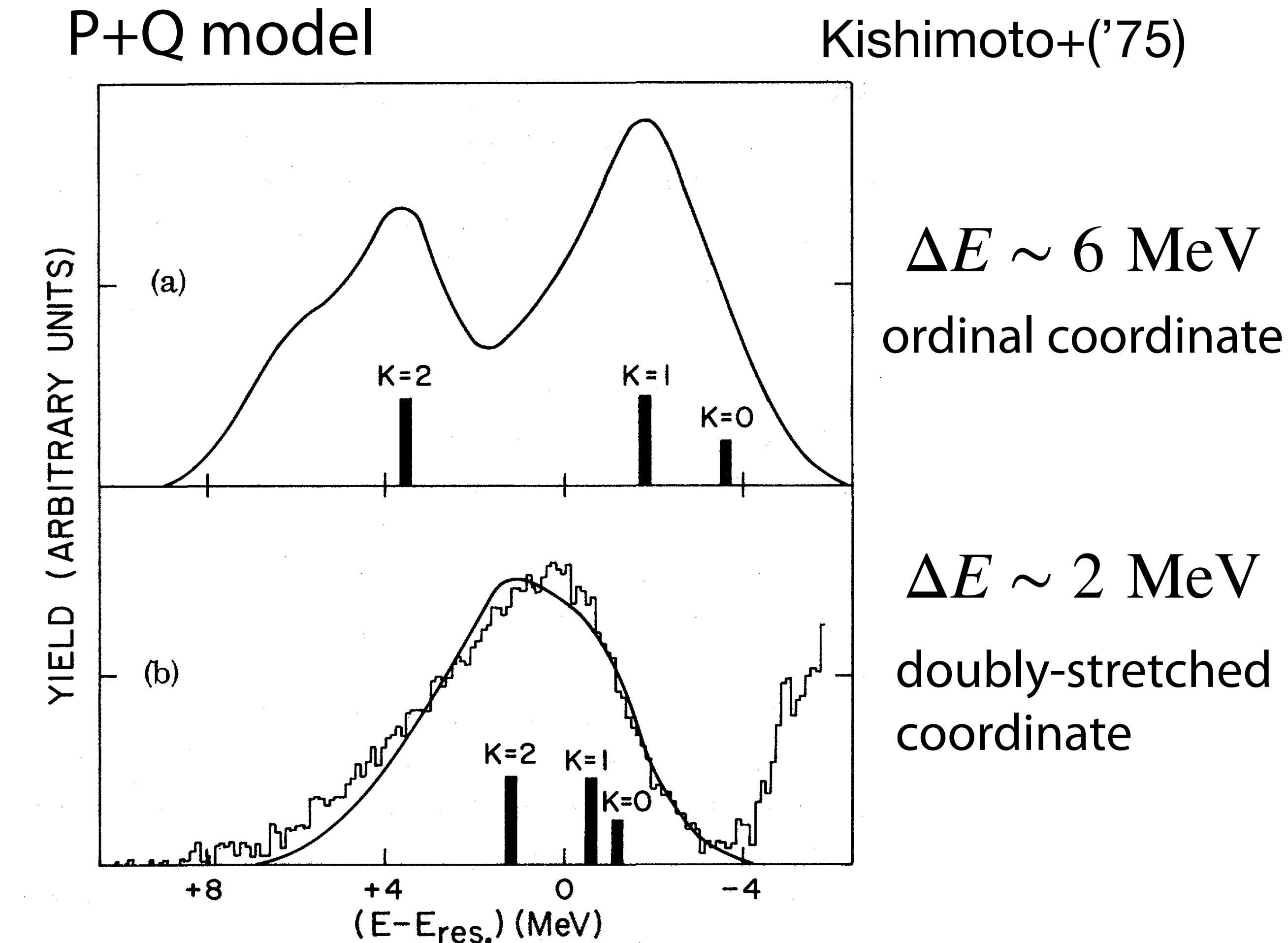
# Coupling of GMR and GQR



# Deformation splitting of the GQR

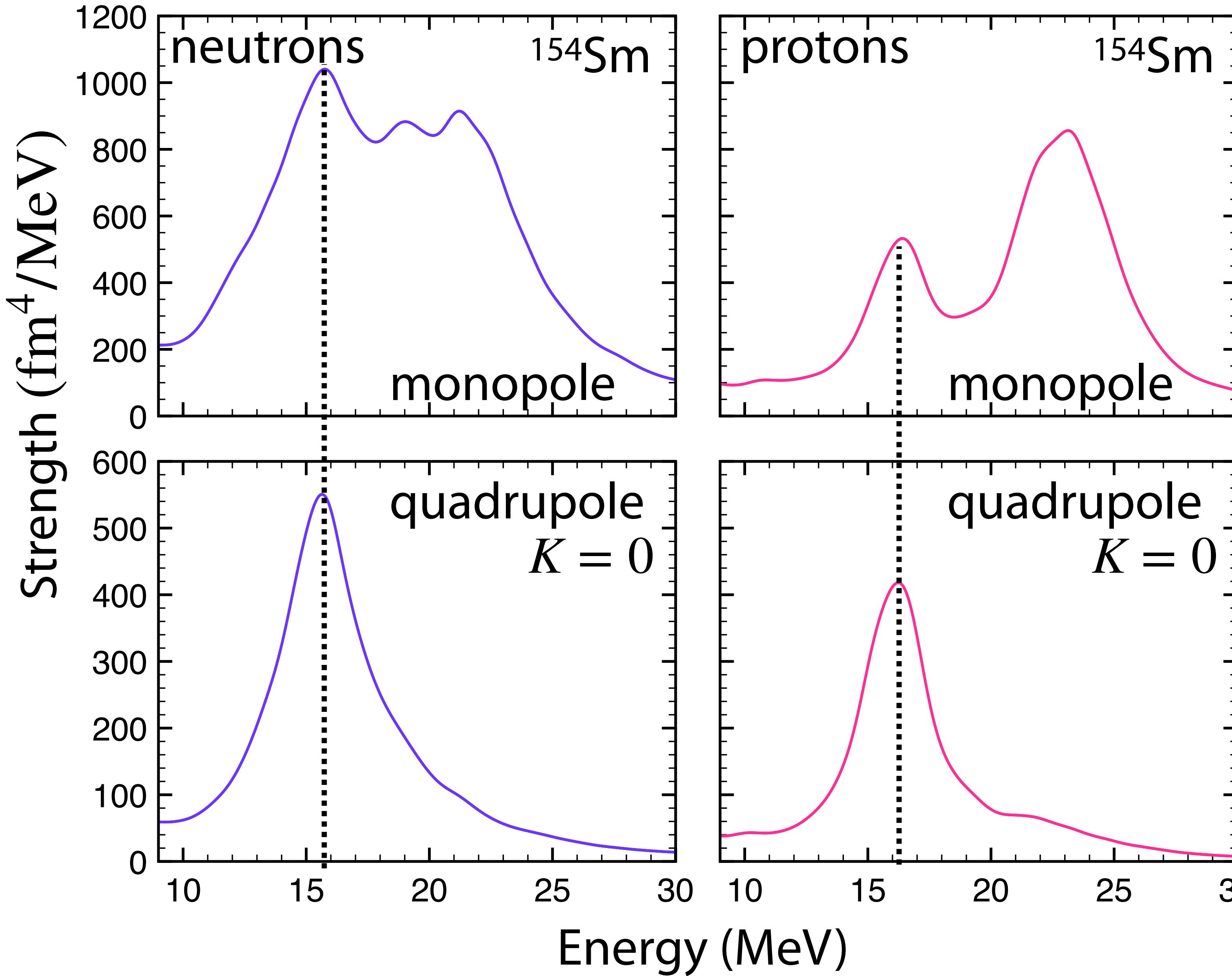


EDF-based QRPA satisfies the nuclear self-consistency  
shape (density distribution) and potential



# Coupling at the static level

KY, PRC104(2021)044309



Unperturbed strengths  
w/o the RPA (dynamic) correlations

deformation-induced coupling

Peaks

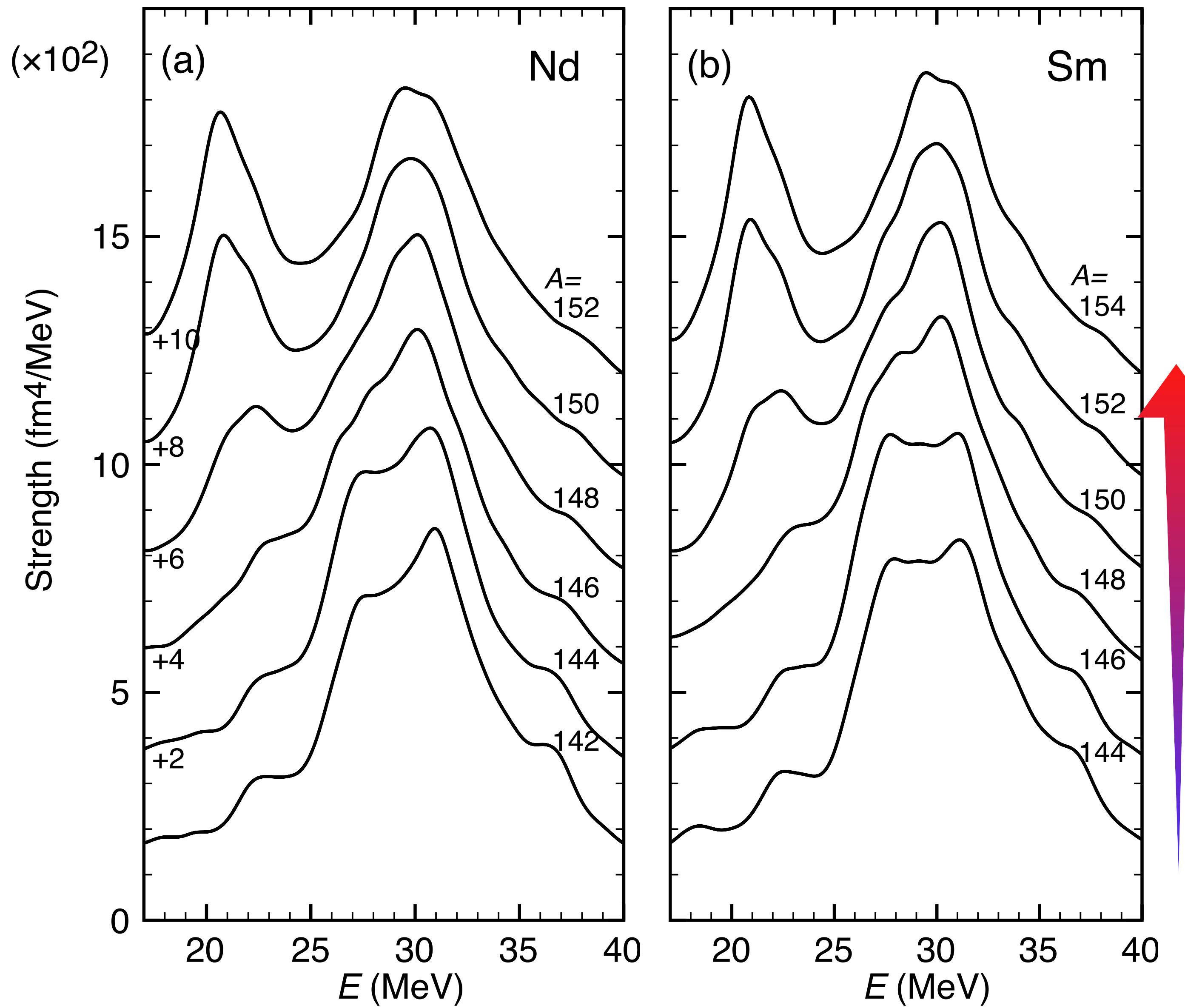
monopole and  $K=0$  quadrupole coincide in energy

residual interactions

Coexistence persists

# Isovector (IV)-GMR in deformed nuclei

KY, T. Nakatsukasa, PRC88(2013)034309



$$F = \sum_{\tau\tau'} \int d\vec{r} r^2 \psi^\dagger(\vec{r}\tau) \langle \tau | \tau_3 | \tau' \rangle \psi(\vec{r}\tau')$$

emergence of  
deformation “splitting”

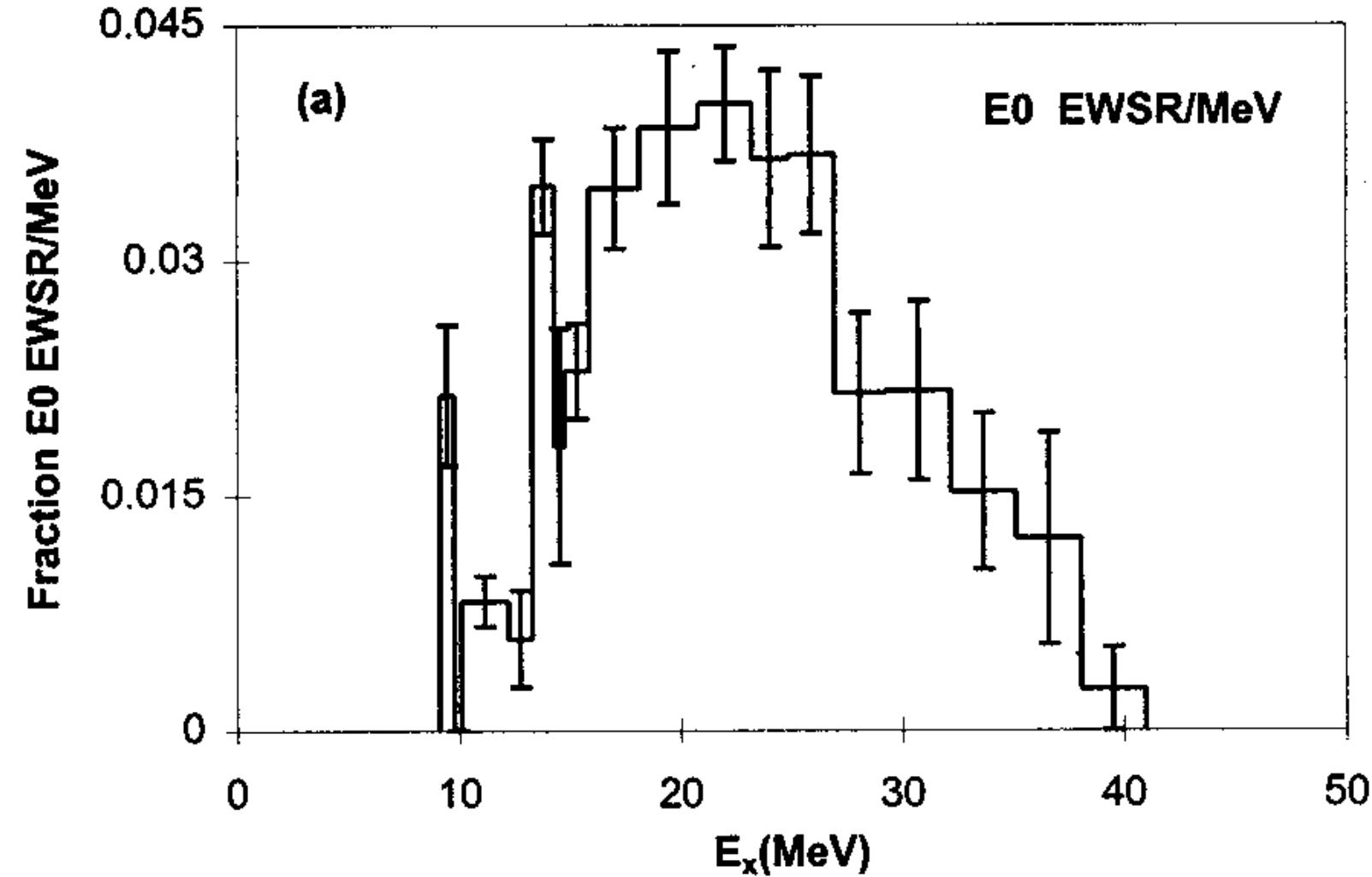
$\Delta E \sim 10$  MeV @  $^{154}\text{Sm}$   
 $\sim 2 \times \Delta E(\text{ISGMR})$

due to the coupling to  
the  $K = 0$  of IV-GQR

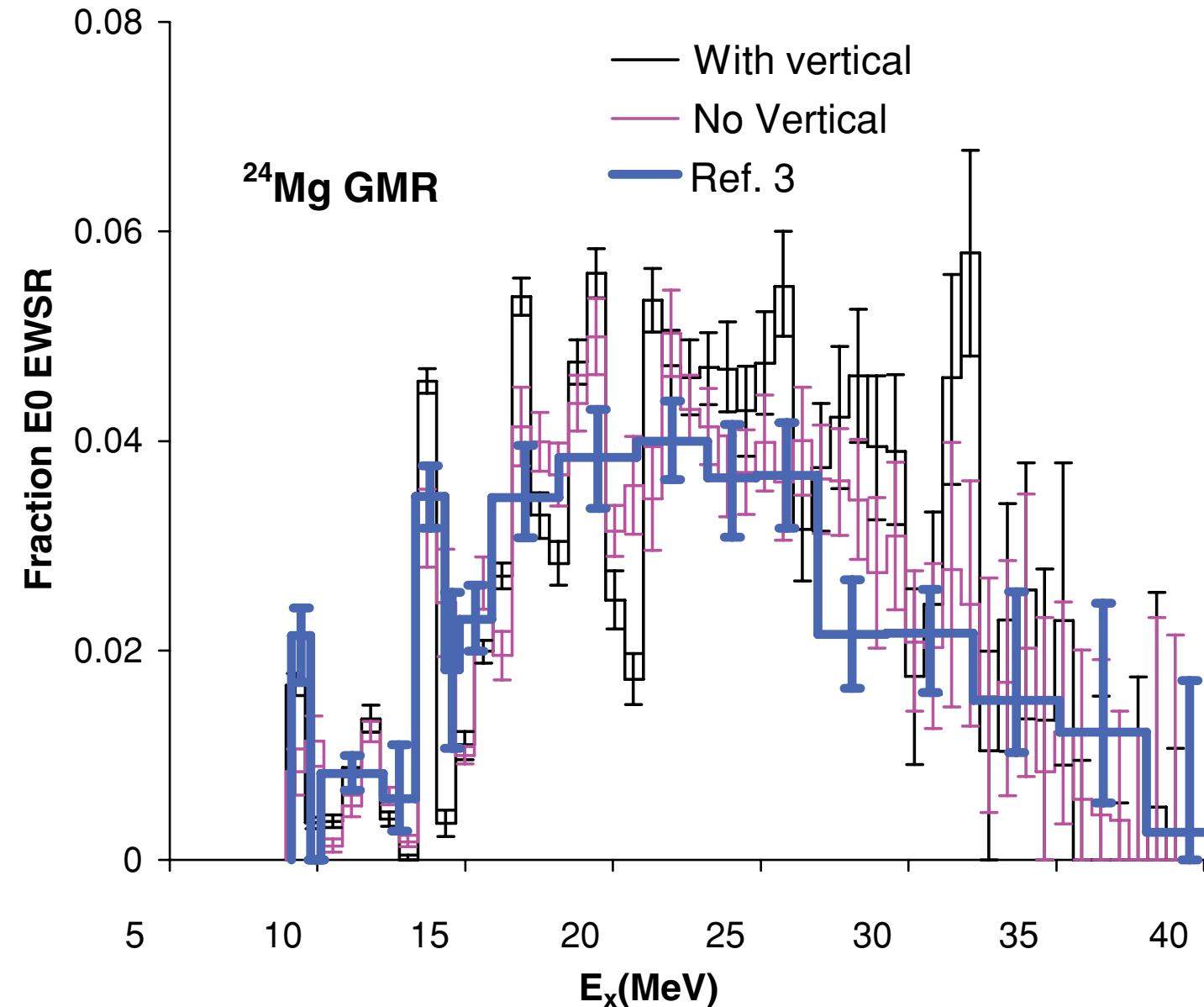
# Deformation effect on GMR in light nuclei: universality

$^{24}\text{Mg}$  @ TAMU

Youngblood+'99



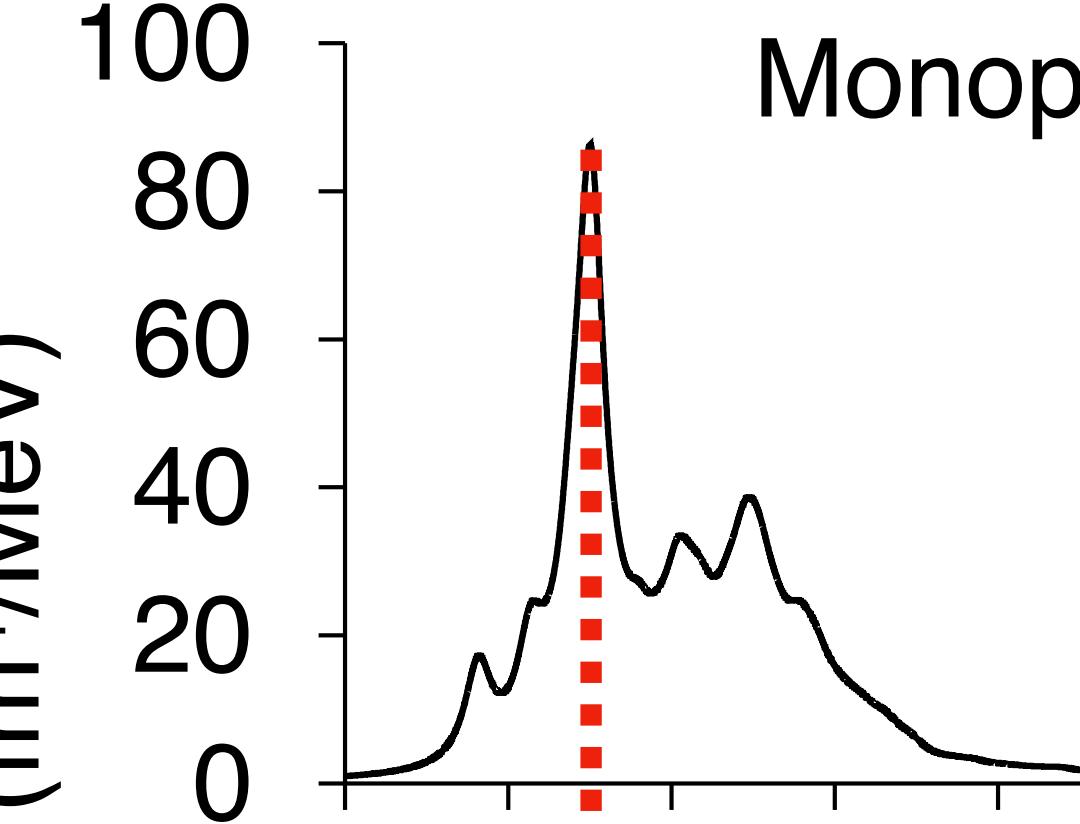
Youngblood+'09



KY, Mod. Phys. Lett. A 25 (2010), 1783

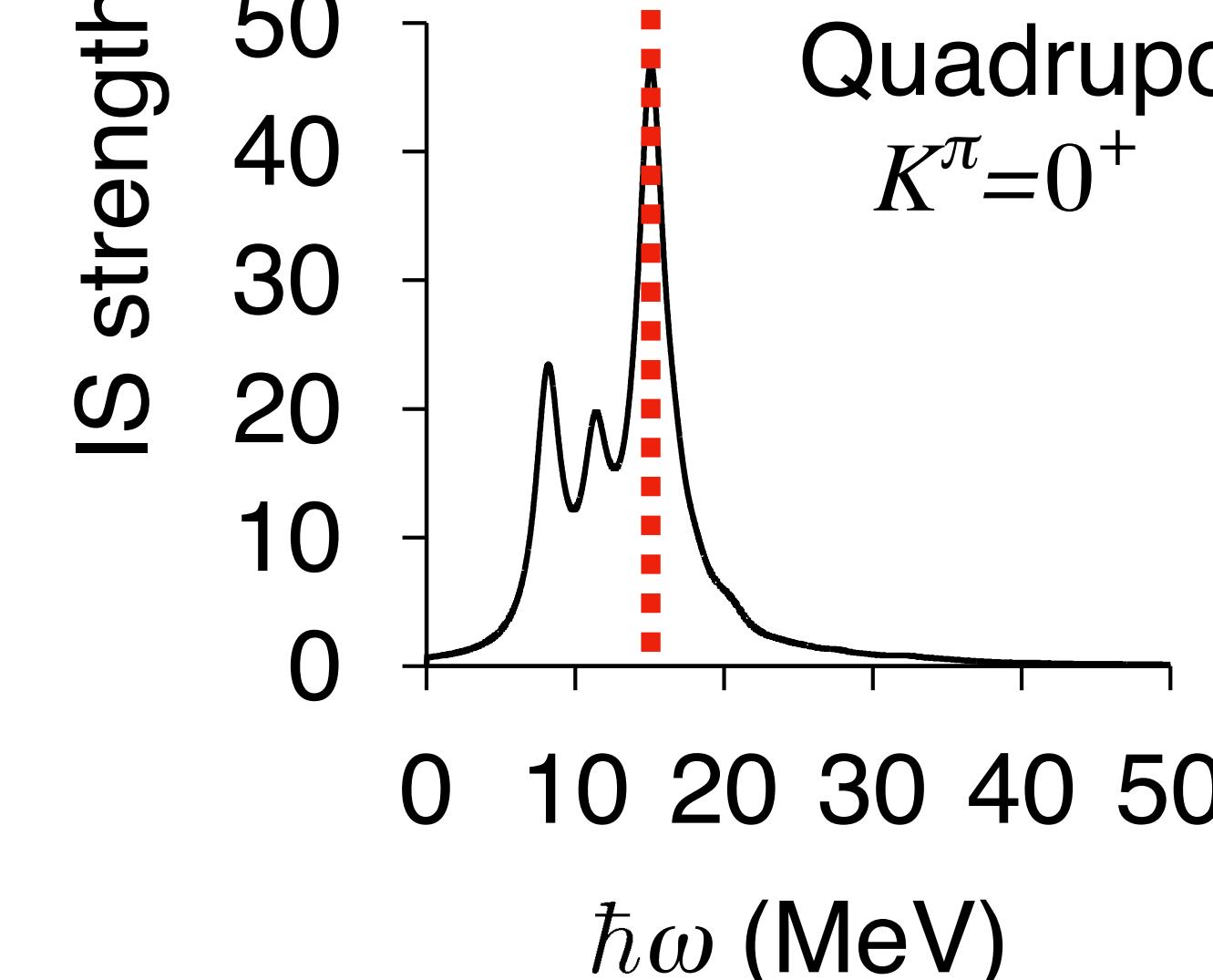
Monopole

IS strength (fm<sup>4</sup>/MeV)



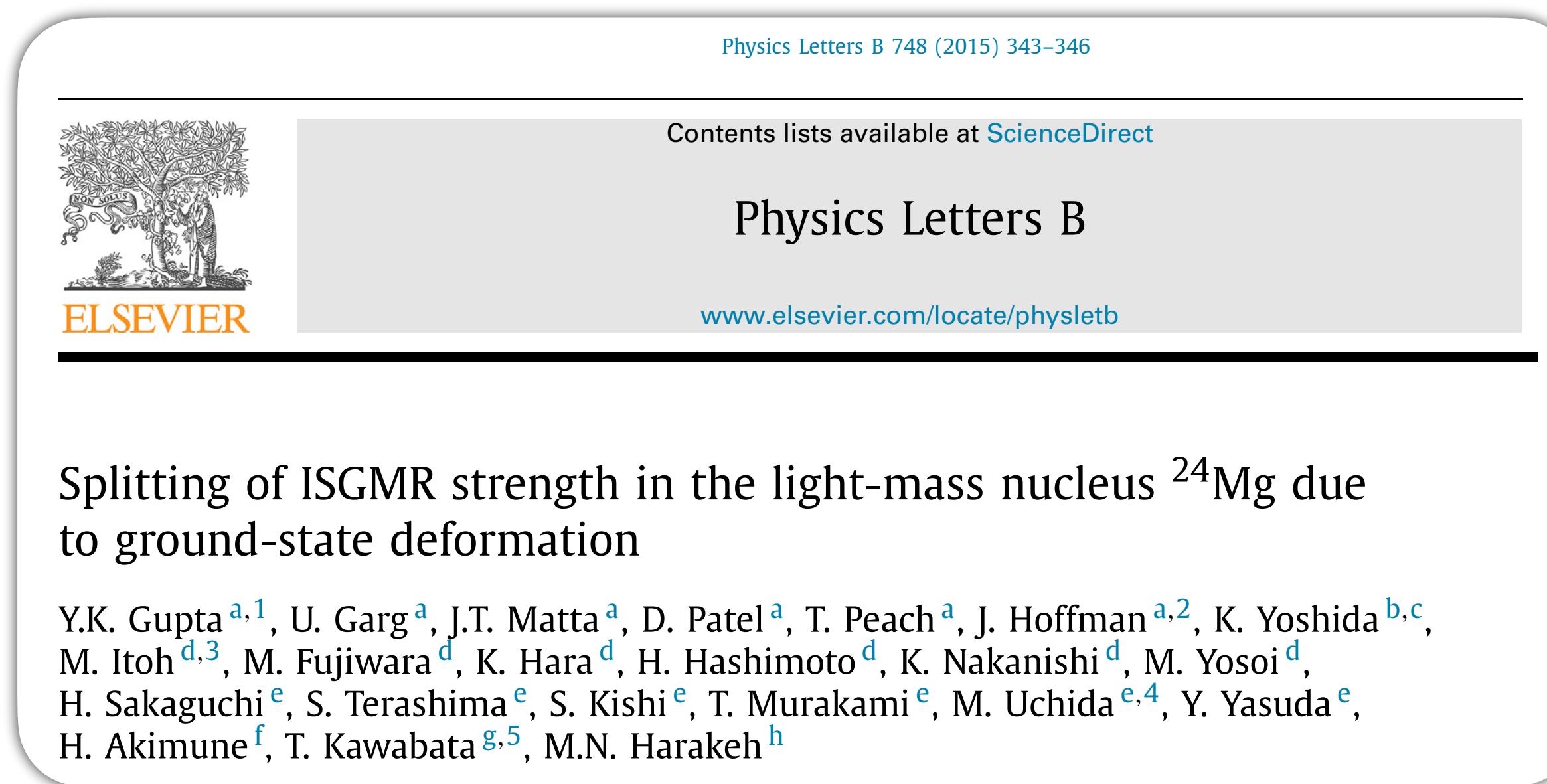
Quadrupole

$K^\pi = 0^+$



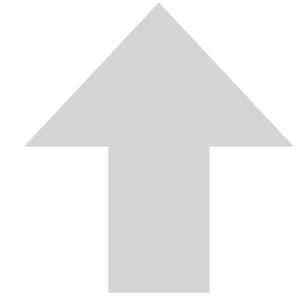
occurrence of the “lower-energy ( $\sim 15$  MeV)” peak due to coupling to the  $K=0$  of GQR

# Deformation splitting in a light nucleus

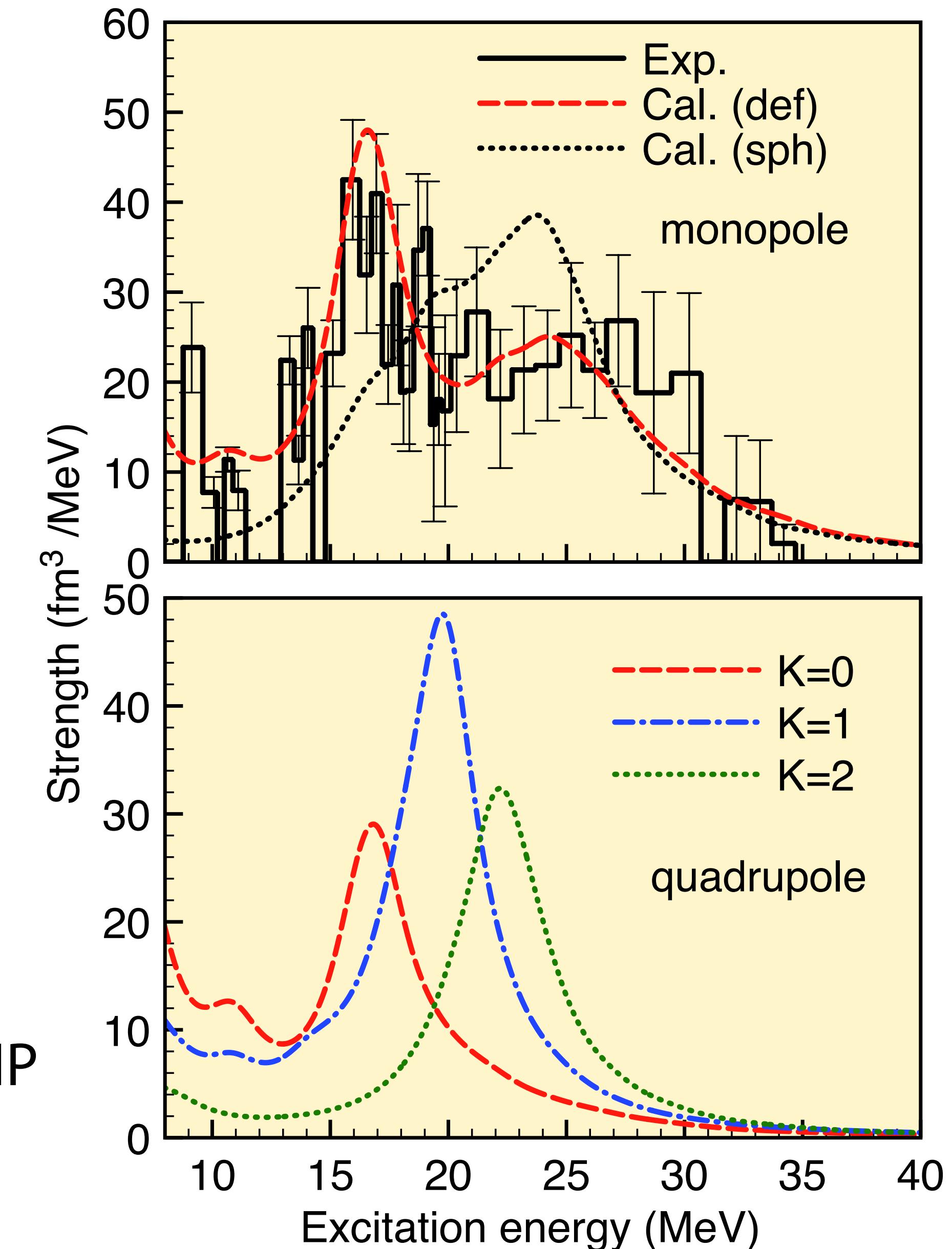


First observation of the splitting of GMR strengths in a light system

**universal feature in deformed nuclei**

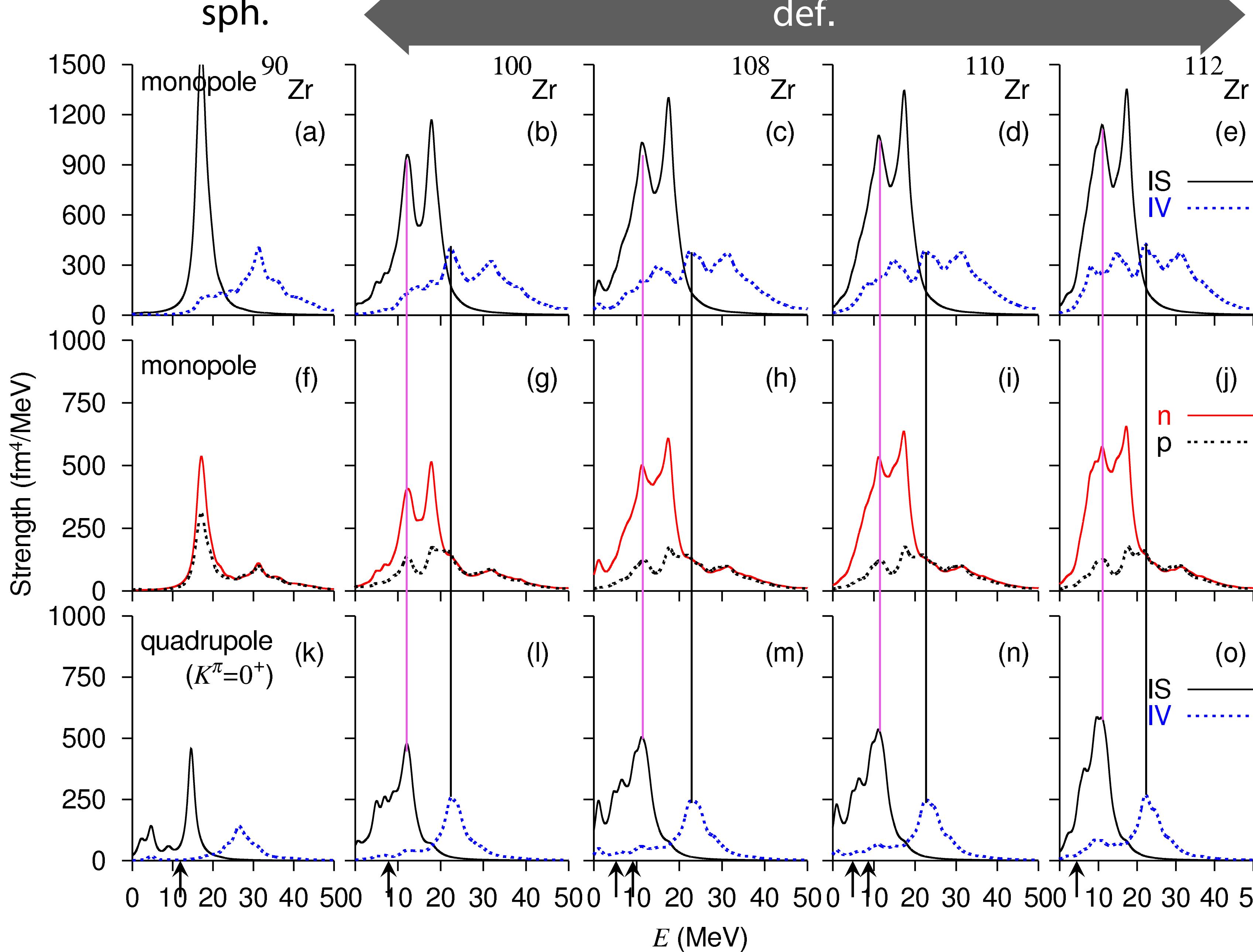


background-free high-resolution experiment @RCNP  
parameter-free nuclear DFT calculation



# GMR in deformed neutron-rich nuclei

KY, PRC82(2010)034324



SkM\*

$\Gamma = 2$  MeV

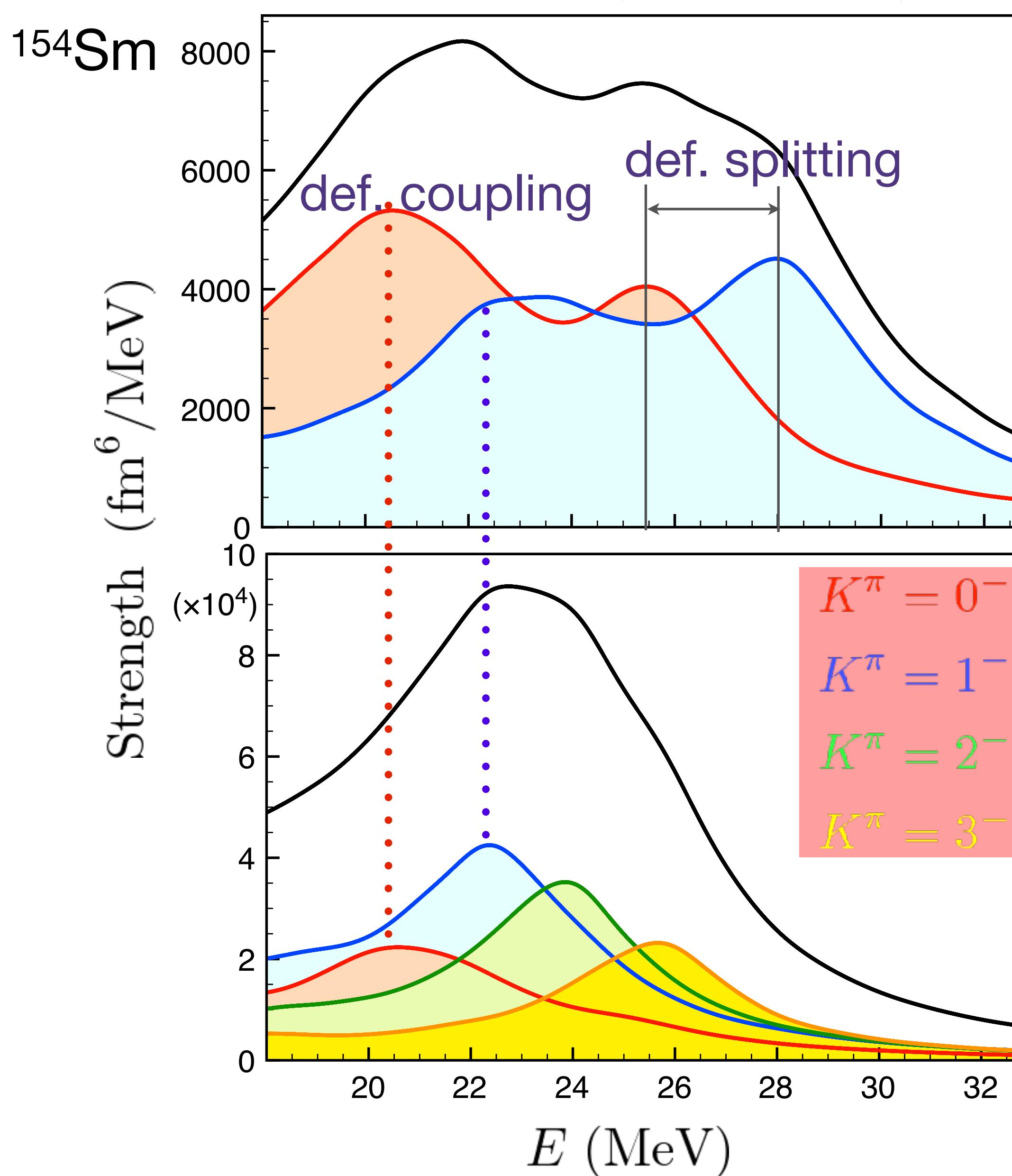
IV strengths in low energy  
excitation of neutrons

deformation splitting  
in IVGMR

# Isoscalar GDR and high-energy octupole resonance

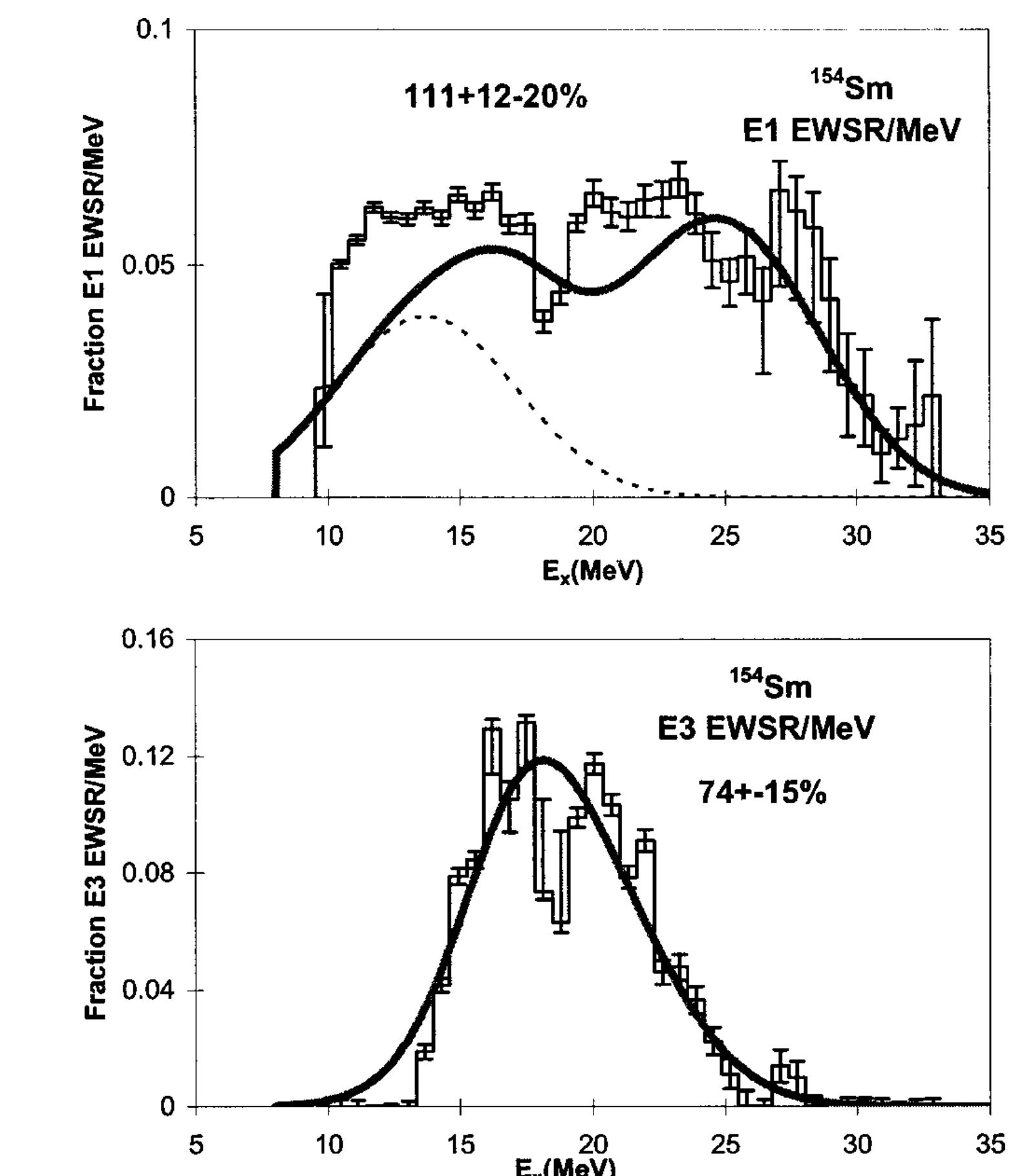
KY, T. Nakatsukasa, PRC88(2013)034309

$$F = \sum_{\tau} \int d\vec{r} r^3 Y_1(\hat{r}) \psi^{\dagger}(\vec{r}\tau) \psi(\vec{r}\tau)$$



large width of the ISGDR

D. H. Youngblood et al.,  
PRC69(2004)034315



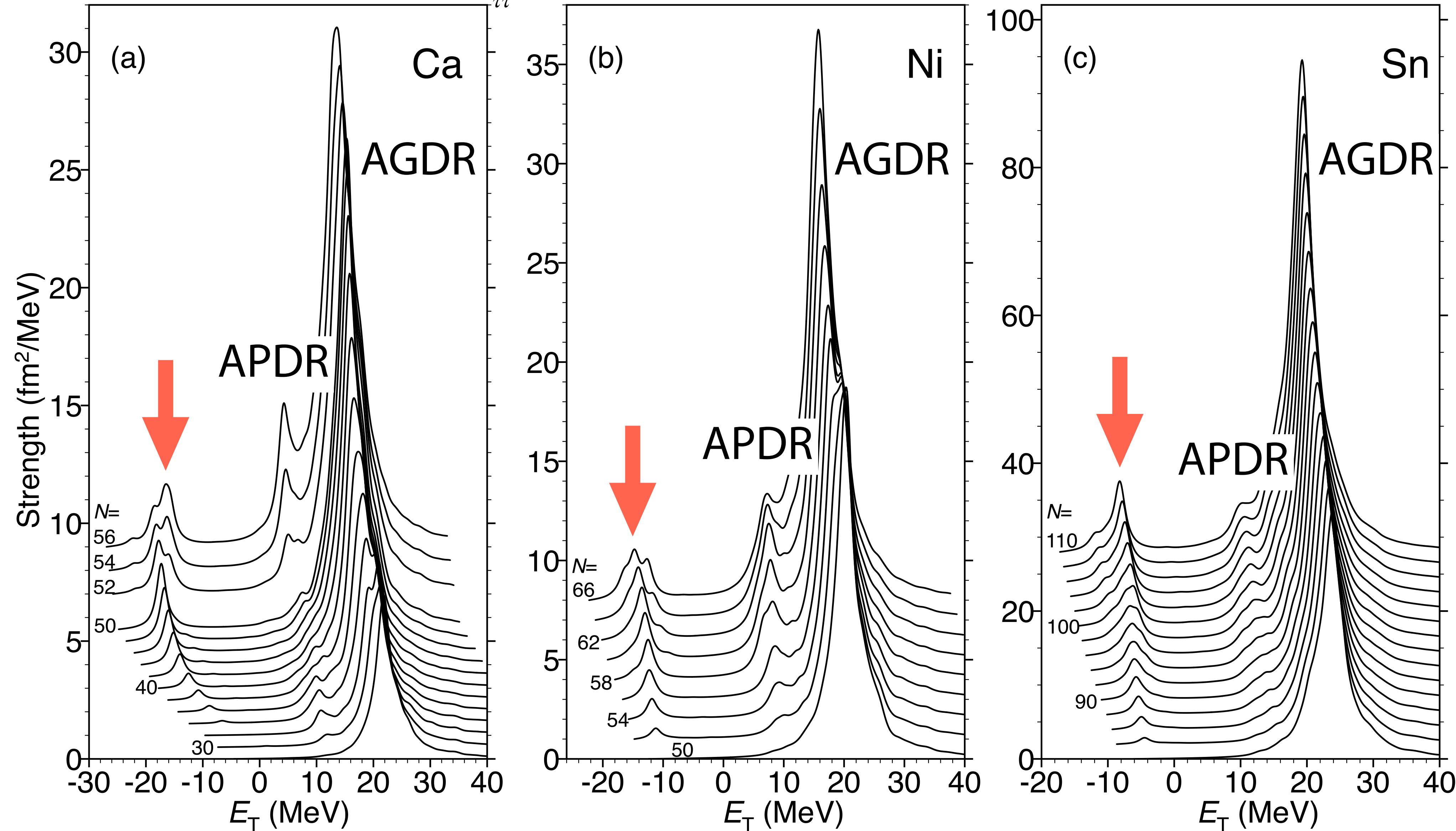
# IV dipole responses: charge-exchange channel

KY, PRC96(2017)

SkM\*,  $\Gamma=2.0$  MeV

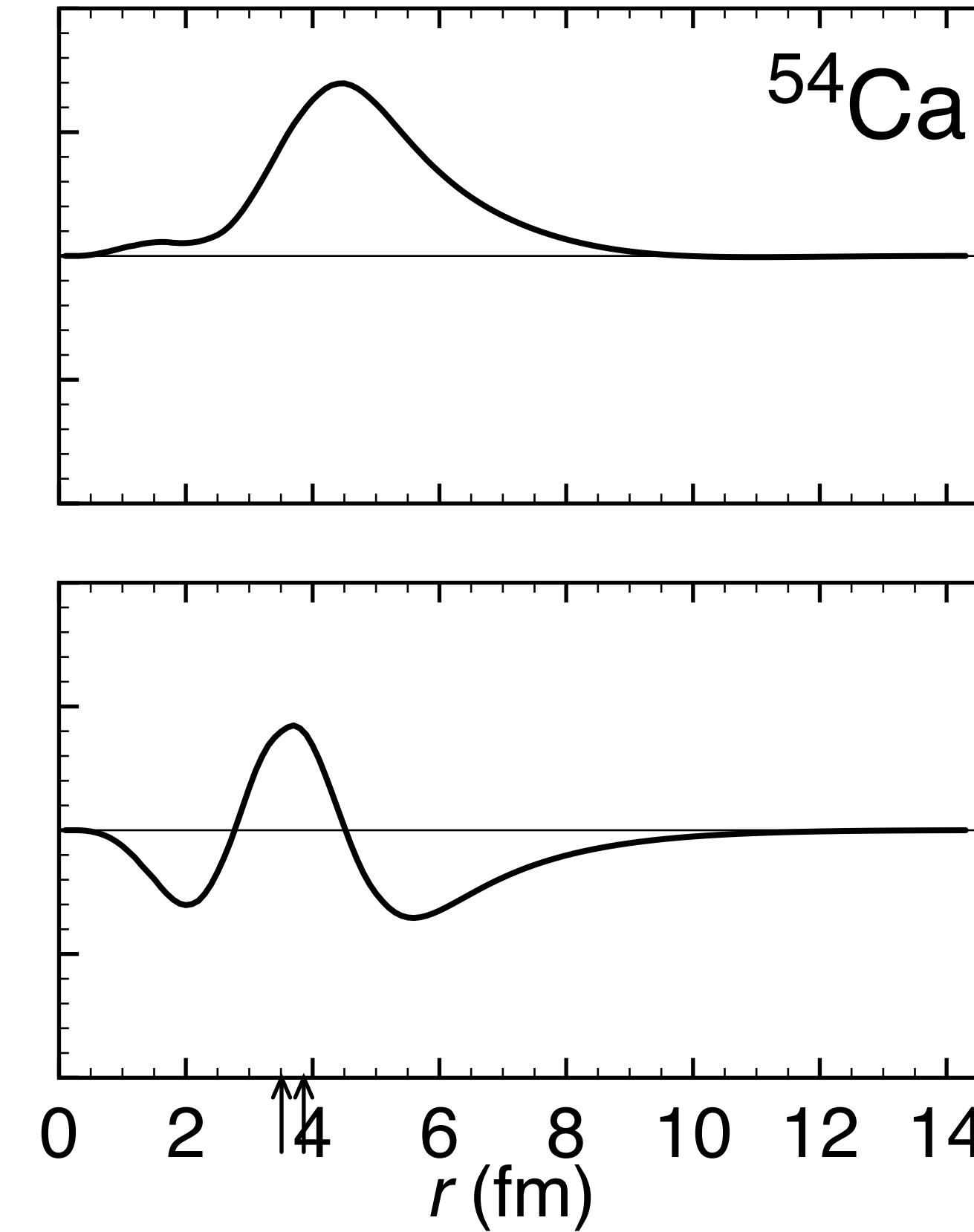
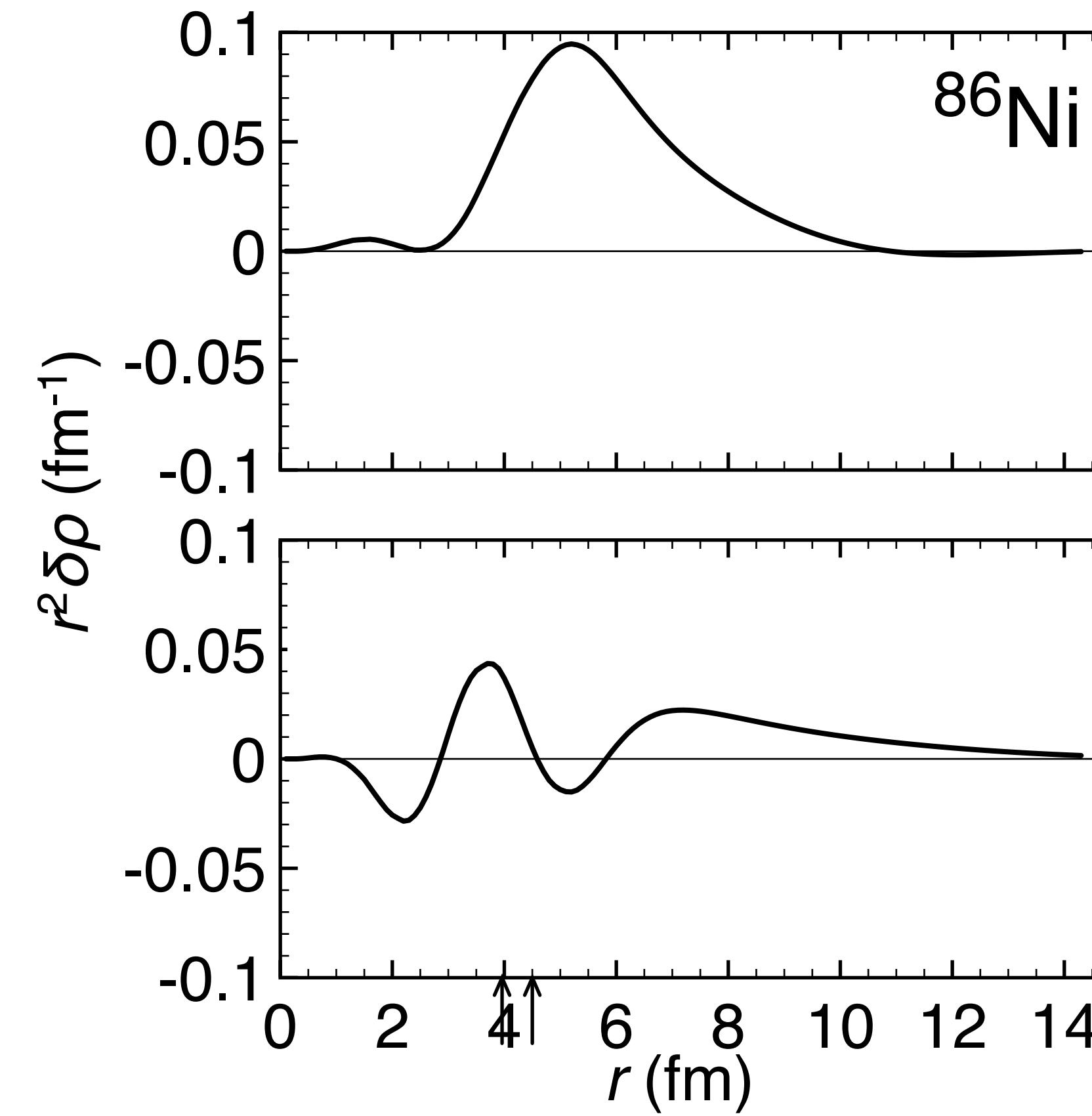
$\mu = -1$ :  $(p, n)$  type

$$F = \sum_{\tau\tau'} \int d\vec{r} r^2 r Y_1(\hat{r}) \psi^\dagger(\vec{r}\tau) \langle \tau | \tau_\mu | \tau' \rangle \psi(\vec{r}\tau')$$



# Anti-analog PDR and GDR

transition density



**AGDR**

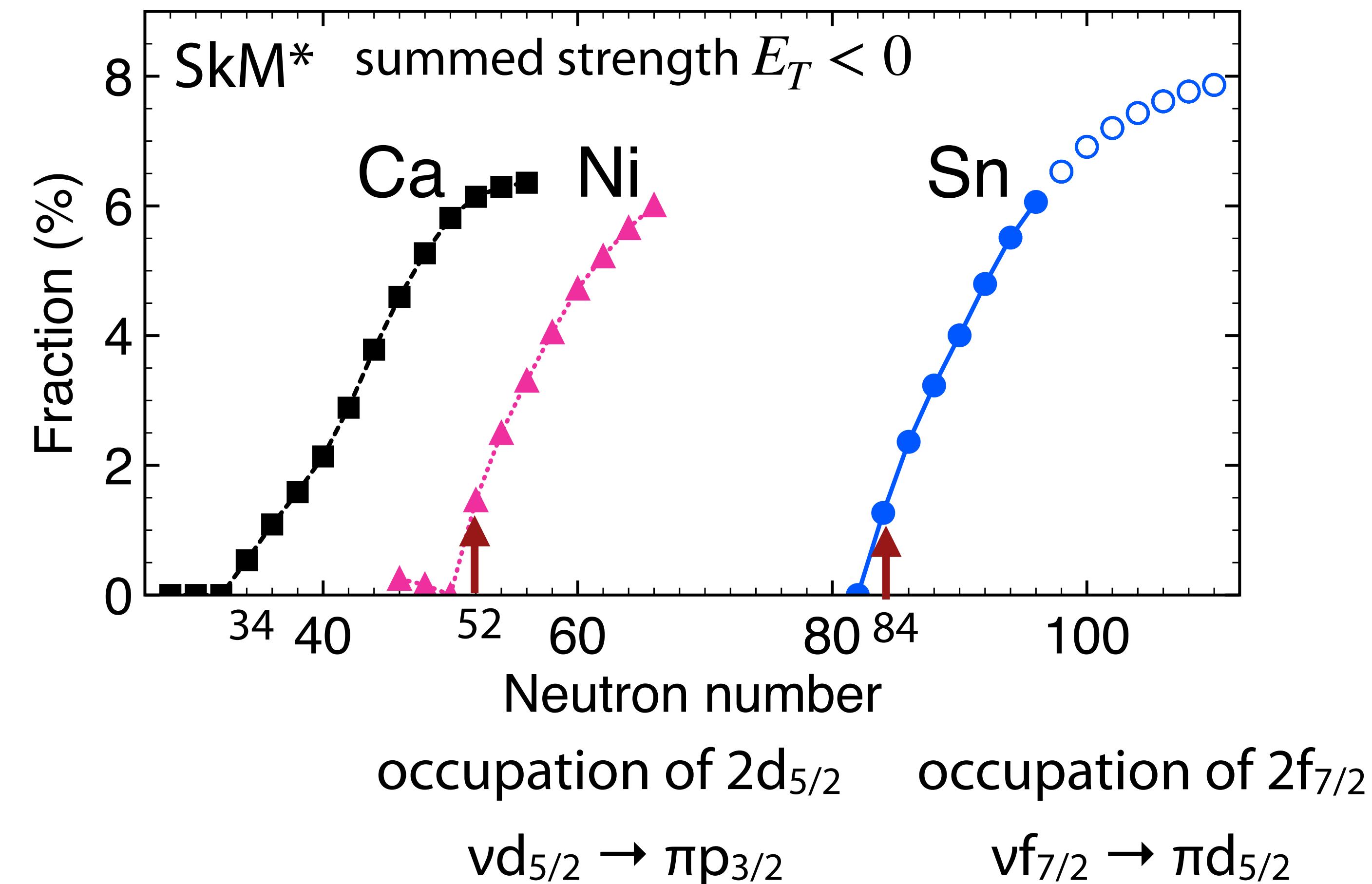
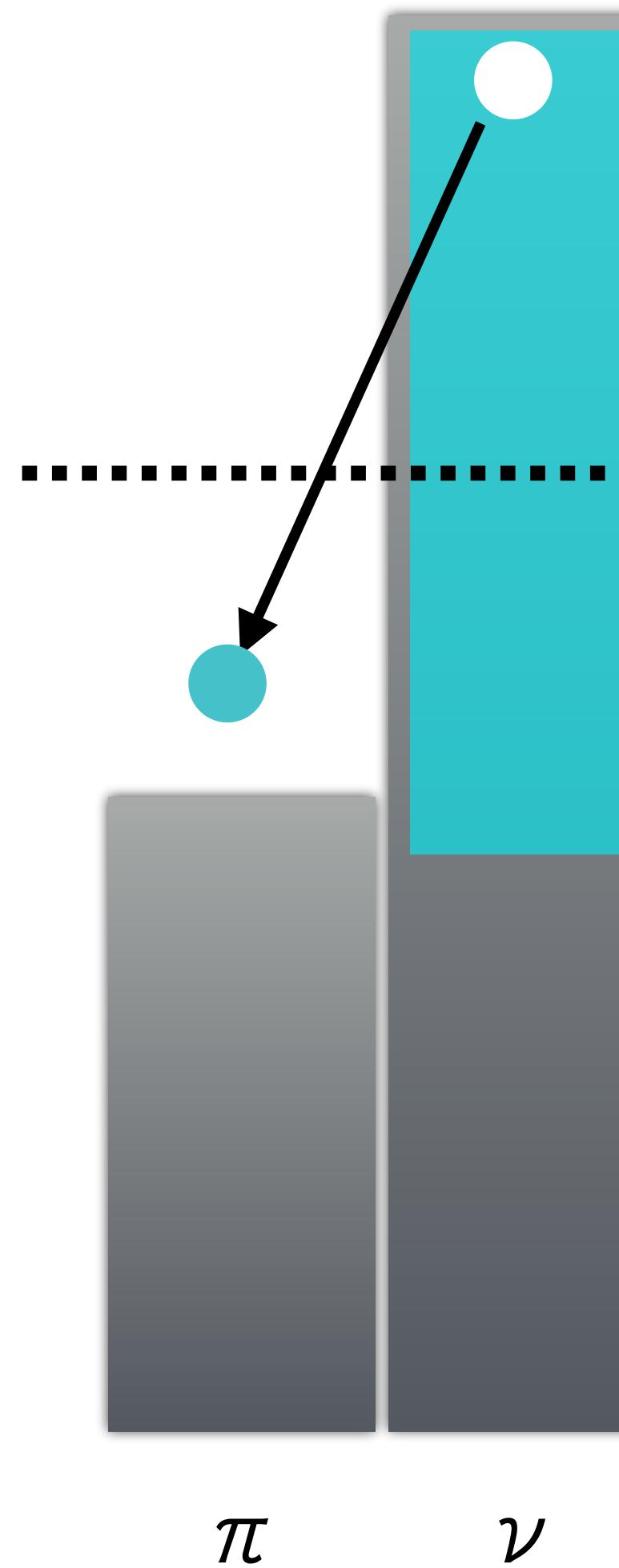
pronounced IV character  
around the surface

**APDR**

not a simply IV mode  
IS/IV mixing  
spatially extended structure  
weakly-bound neutrons

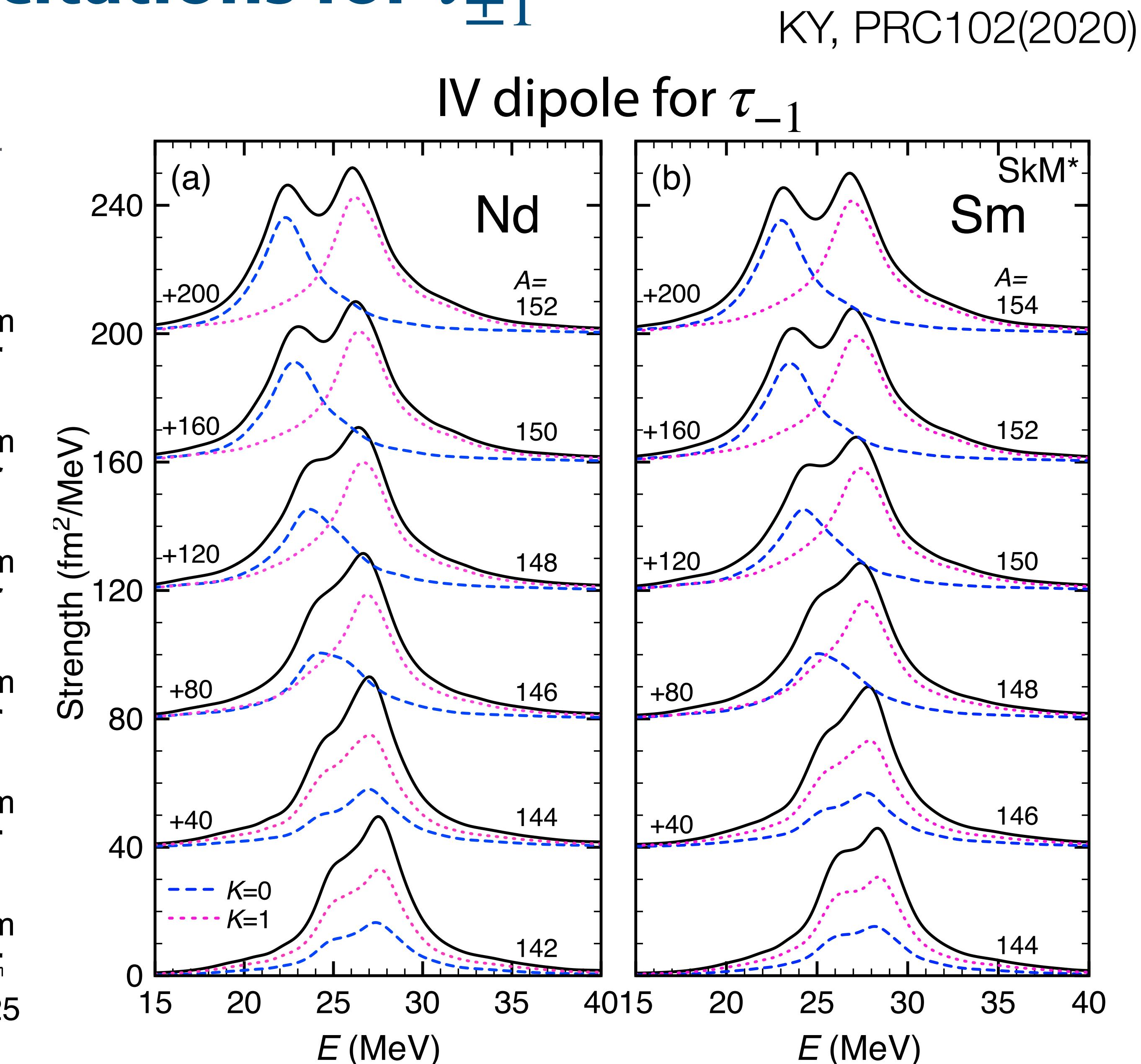
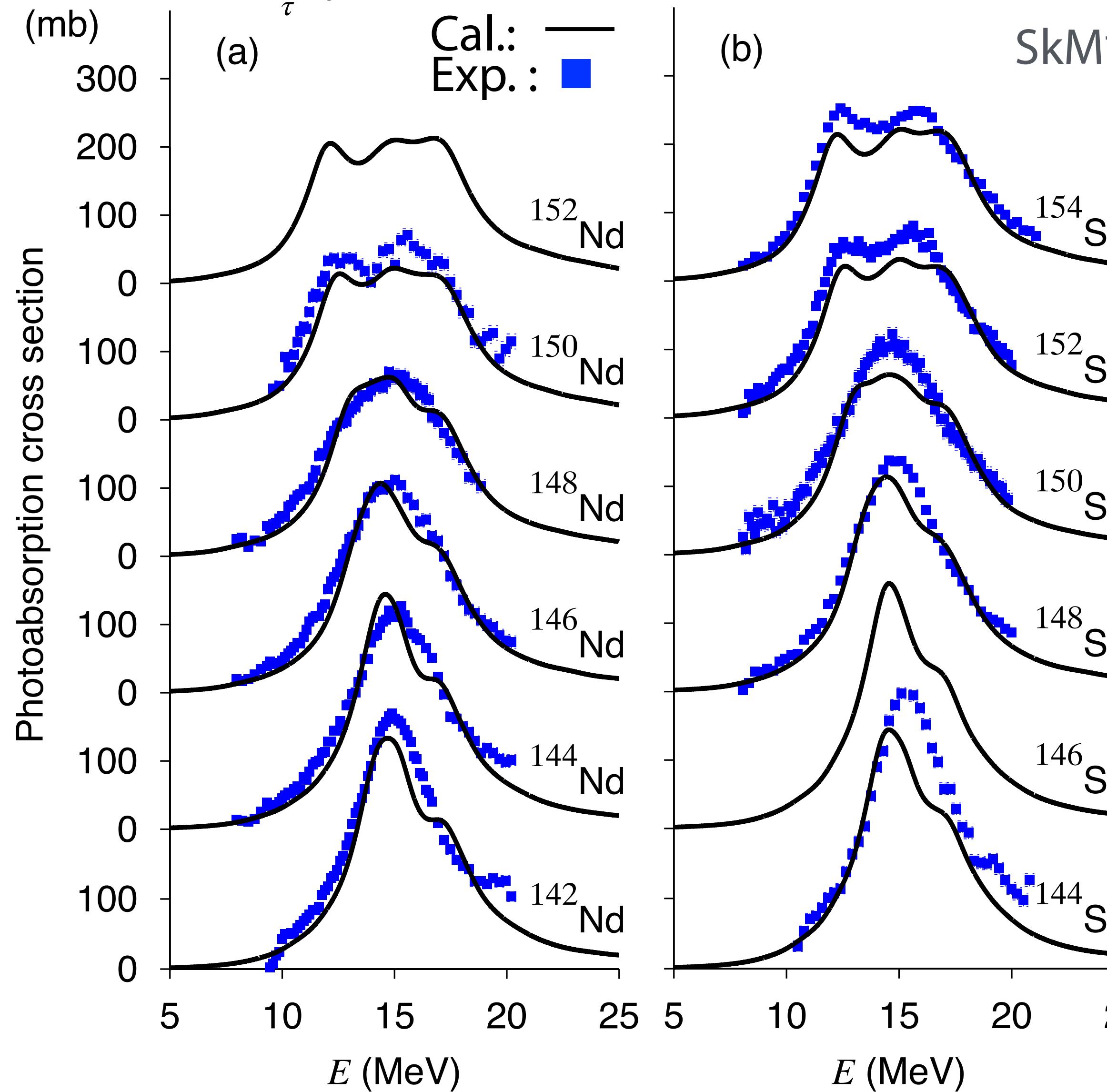
# Cross-shell – $1\hbar\omega_0$ excitation: lowest-lying dipole mode

protons are deeply bound  
should be distinguished from the anti-analog of PDR



# Deformation effects in IV excitations for $\tau_{\pm 1}$

$$F = \sum_{\tau} \int d\vec{r} r Y_1(\hat{r}) \psi^\dagger(\vec{r}\tau) \langle \tau | \tau_3 | \tau' \rangle \psi(\vec{r}\tau')$$



# Nuclear beta decay

A semileptonic process governed by  
an effective Hamiltonian for a low-energy ( $\ll m_W$ ) charged current reaction:

$$H_{\text{eff}} = \frac{G_F V_{ud}}{\sqrt{2}} \int d\mathbf{x} \left[ \bar{e}(\mathbf{x}) \gamma^\mu (1 - \gamma_5) \nu_e(\mathbf{x}) J_\mu(\mathbf{x}) + \text{H. c.} \right]$$

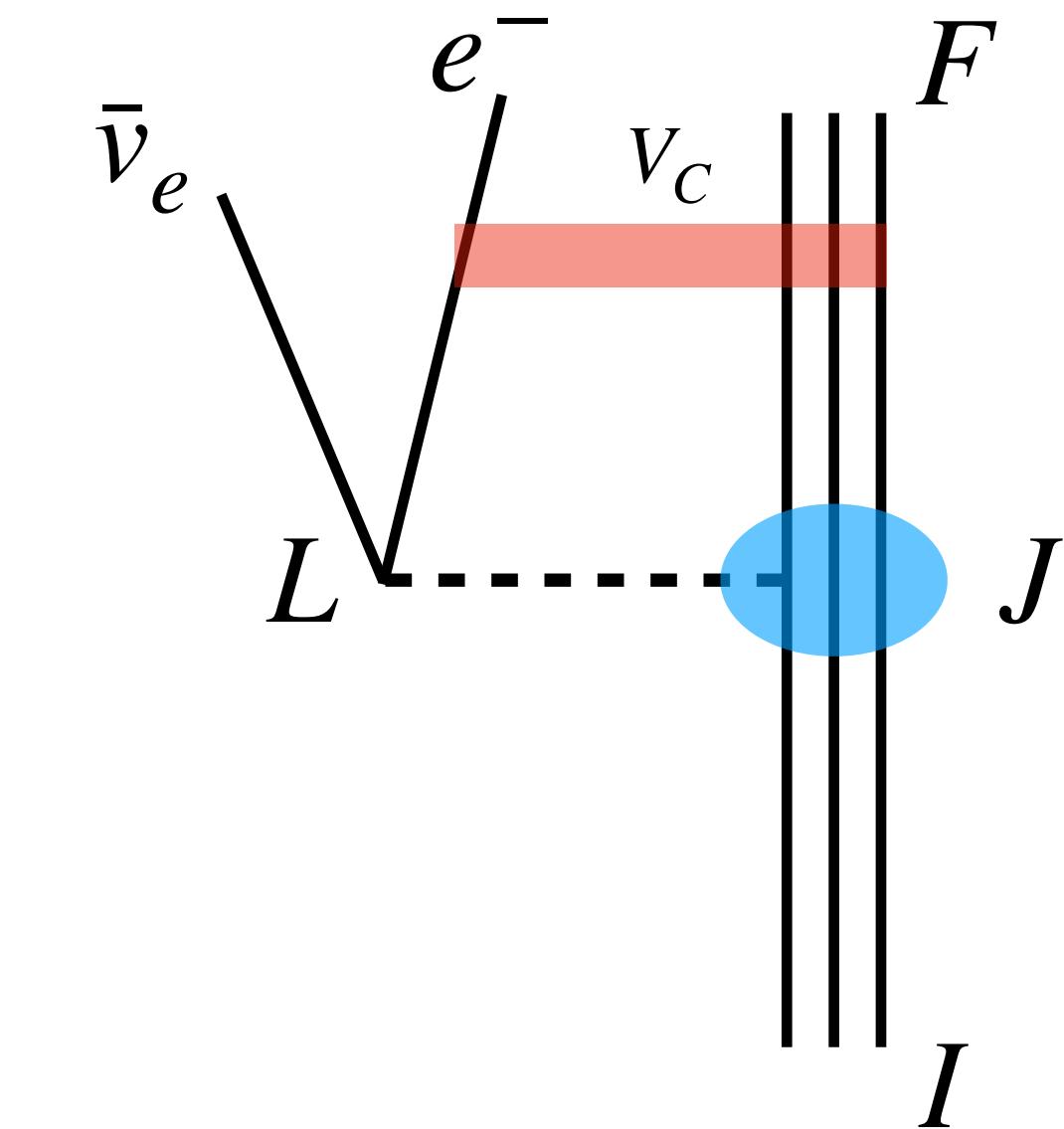
$$G_F = 1.166 \times 10^{-5} \text{ GeV}^{-2}$$

$$V_{ud} = 0.9737$$

# Nuclear beta decay

transition matrix element:

$$T_{fi} = \frac{G_F V_{ud}}{\sqrt{2}} \int dx \bar{\psi}_{e^-}(x) \gamma^\mu (1 - \gamma_5) \psi_\nu(x) \langle F | \underline{J_\mu(x)} | I \rangle$$



Nuclear currents involving not only the nuclear many-body wave functions but the form factors and momentum transfer

$$J^\mu(x) = \mathcal{V}^\mu(x) - \mathcal{A}^\mu(x)$$

vector currents  $\mathcal{V}^\mu = (V^0, V)$       axial-vector currents  $\mathcal{A}^\mu = (A^0, A)$

decay rate: 
$$\Gamma = \frac{(G_F V_{ud})^2}{\pi^2} \int_{m_e}^{E_0} dE_e p_e E_e (E_0 - E_e)^2 \sum_{J, \kappa_e, \kappa_\nu} \frac{1}{2J_i + 1} \left| \sum_L \langle f | \underline{\Xi_{JL}(\kappa_e, \kappa_\nu)} | i \rangle \right|^2$$

multipole operator

# One-body charged-current operators: Impulse Approx.

Gamow–Teller type  
spatial component

$$A(\mathbf{r}) = \sum_{j=1}^A \delta(\mathbf{r} - \mathbf{r}_j) g_A \boldsymbol{\sigma}_j \tau_j^\pm$$

momentum transfer:

$$\mathbf{q} = \mathbf{p}'_j - \mathbf{p}_j$$

$$\langle f || \sum_L \Xi_{JL}(\kappa_e, \kappa_\nu) || i \rangle = \text{sign}(\kappa_e) \int_0^\infty dr r^2 \left[ \underline{\rho_{J-1J}^\sigma(r)} \underline{\phi_a(r)} + \underline{\rho_{J+1J}^\sigma(r)} \underline{\phi_b(r)} \right]$$

leptons wfs

nuclear transition density:  $\rho_{LJ}^\sigma(r) = \langle f || \sum_{j=1}^A \int d\Omega_r \delta(\mathbf{r} - \mathbf{r}_j) \tau_j^\pm [Y_L(\hat{r}) \otimes \boldsymbol{\sigma}_j]_J || i \rangle$

usually  $J = 1, L = 0$  is only considered

"GT"

Fermi type  
time component

$$V^0(\mathbf{r}) = \sum_{j=1}^A \delta(\mathbf{r} - \mathbf{r}_j) g_V \tau_j^\pm$$

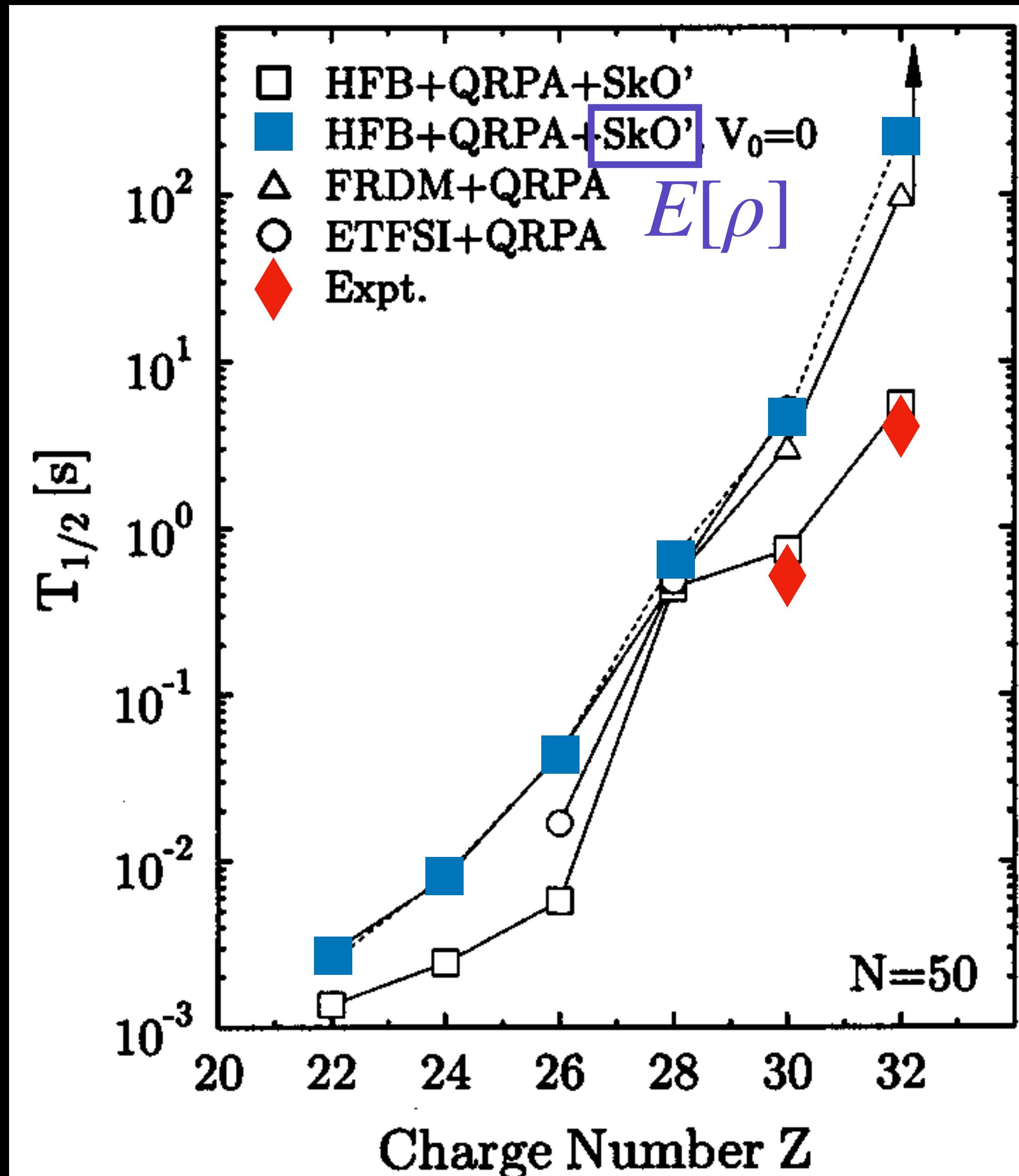
$$\langle f || \sum_L \Xi_{JL}(\kappa_e, \kappa_\nu) || i \rangle = \text{sign}(\kappa_e) \int_0^\infty dr r^2 \underline{\rho_J(r)} \underline{\phi_A(r)}$$

$$\rho_J(r) = \langle f || \sum_{j=1}^A \int d\Omega_r \delta(\mathbf{r} - \mathbf{r}_j) \tau_j^\pm Y_J(\hat{r}) || i \rangle$$

usually  $J = L = 0$  is only considered

# Pioneering microscopic work for $\beta$ -decay based on DFT

J. Engel *et al.*, PRC60(1999)014302



Hadronic current

$$J_\mu(x) = \bar{\psi}_p(x)[V_\mu - A_\mu]\psi_n(x)$$

$$V_\mu = g_V(q^2)\gamma_\mu + \frac{i g_M(q^2)}{2m_n} \frac{\sigma^{\mu\nu} q^\nu}{q^2}$$

$$A_\mu = g_A(q^2)\gamma_\mu\gamma_5 + \frac{i g_P(q^2)}{q_\mu\gamma_5} q_\mu\gamma_5$$

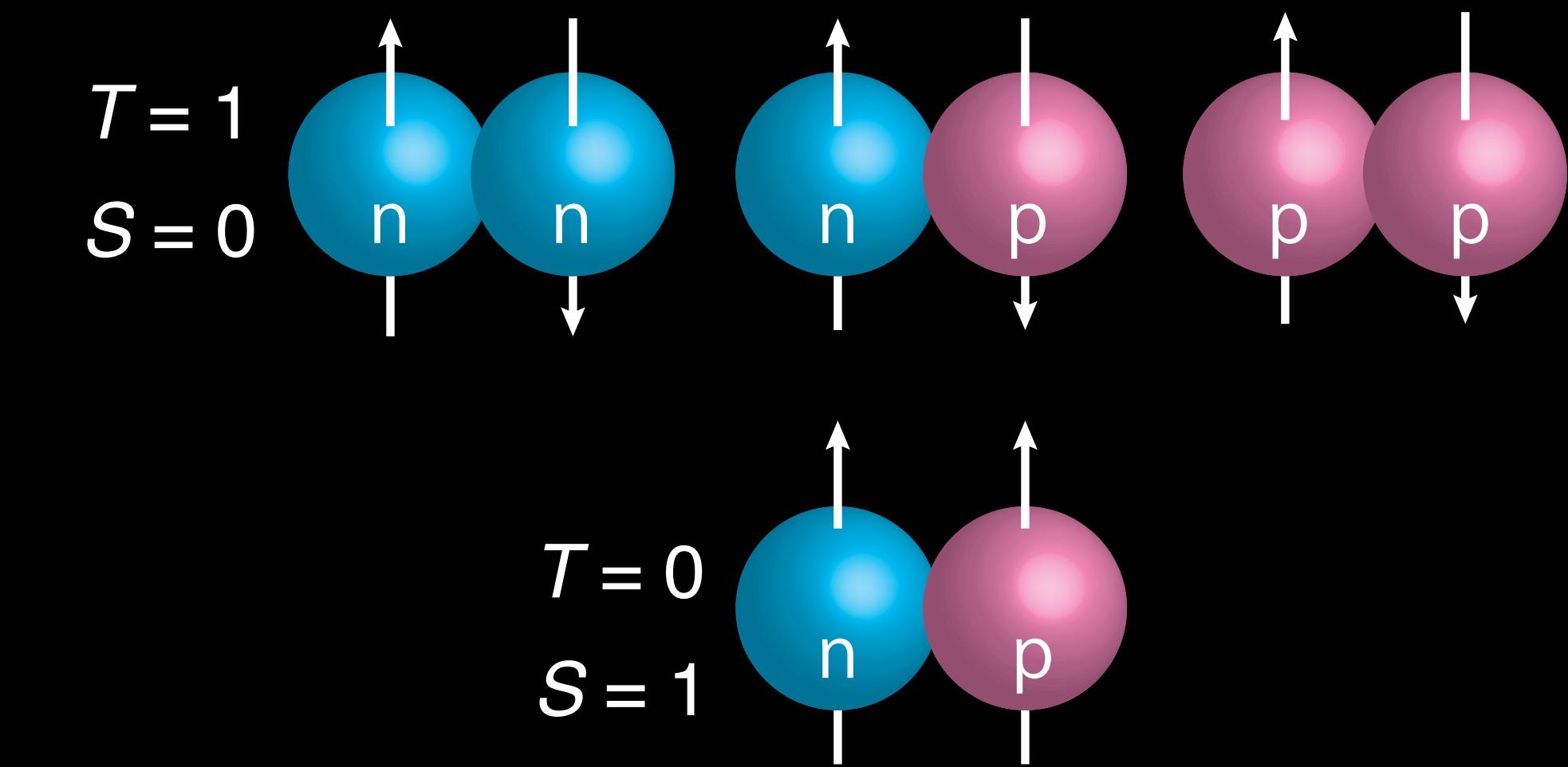
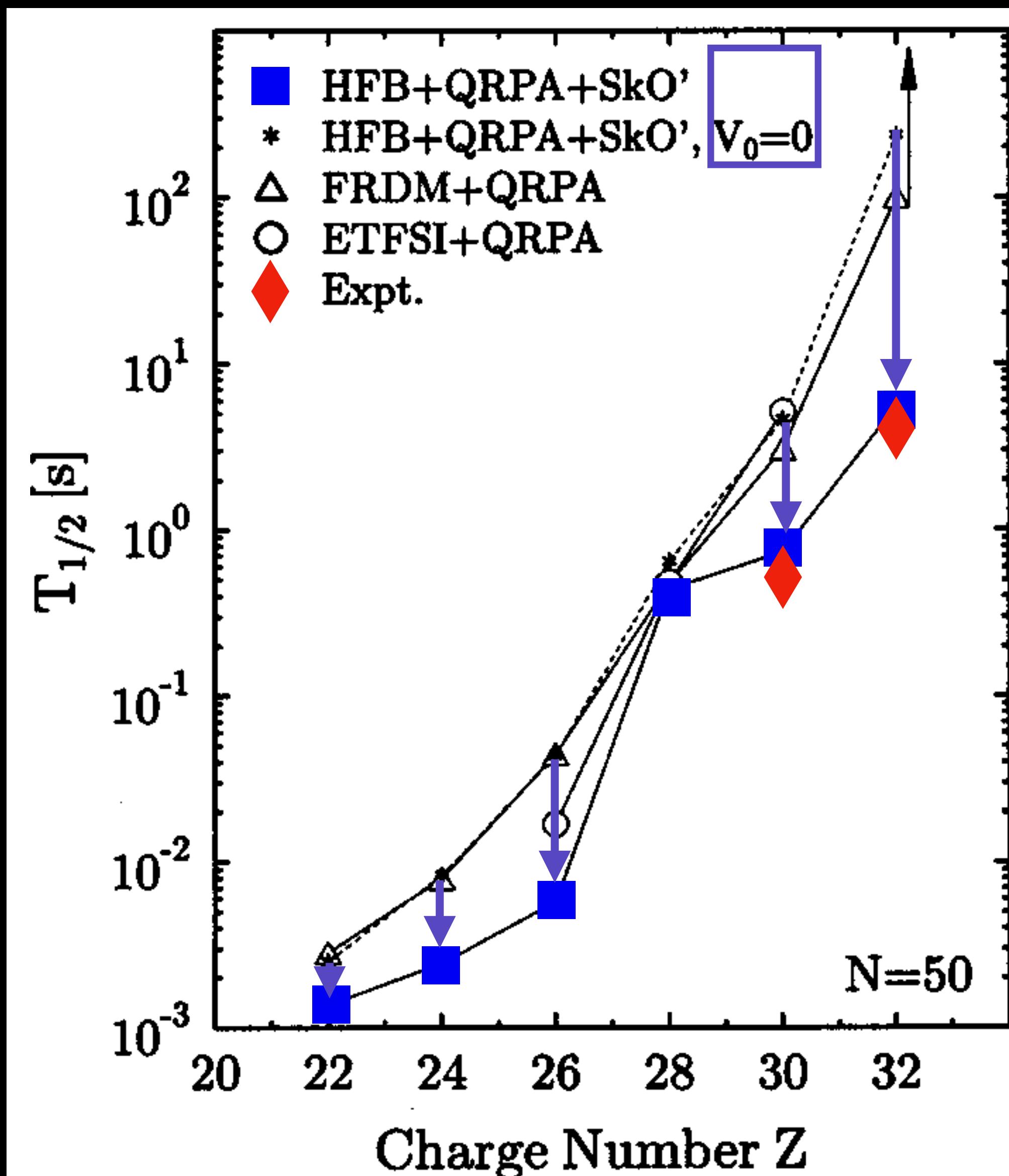
quenching

$$g_A^{\text{eff}} = q g_A$$
$$q \sim 0.78$$

non-nucleonic d.o.f.  
two-body currents  
short-range correlation  
truncation of many-body space

# Important role of the spin-triplet pairing revealed by the microscopic cal.

J. Engel *et al.*, PRC60(1999)014302

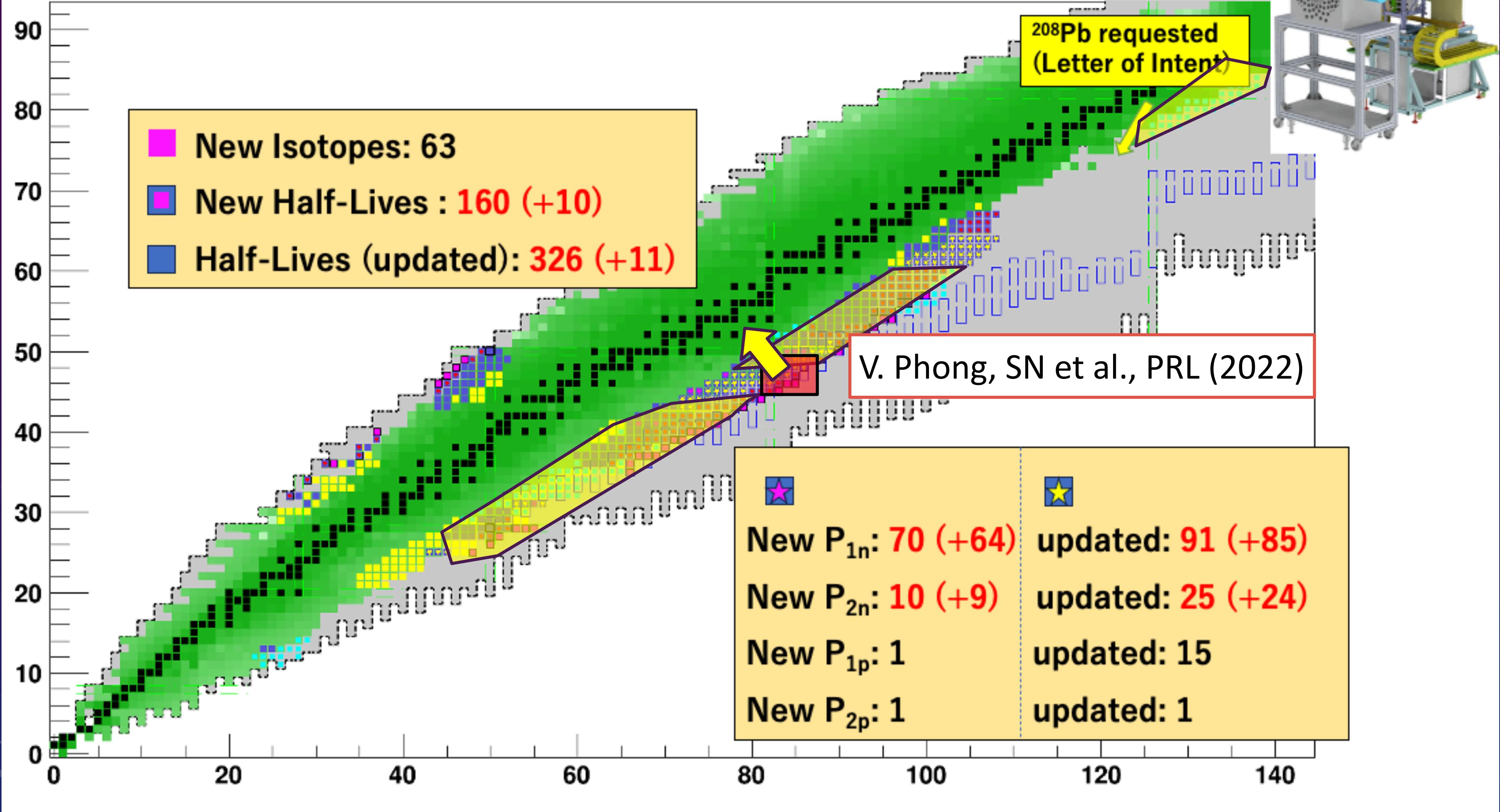


- ✓ being not included in FRDM
- ✓ shortens the half-lives
- ✓ sensitive to the shell structure

# Decay Properties Surveyed

courtesy of S. Nishimura (RIKEN)  
**EURICA**

+ BRIKEN (2019 ~ 2023)

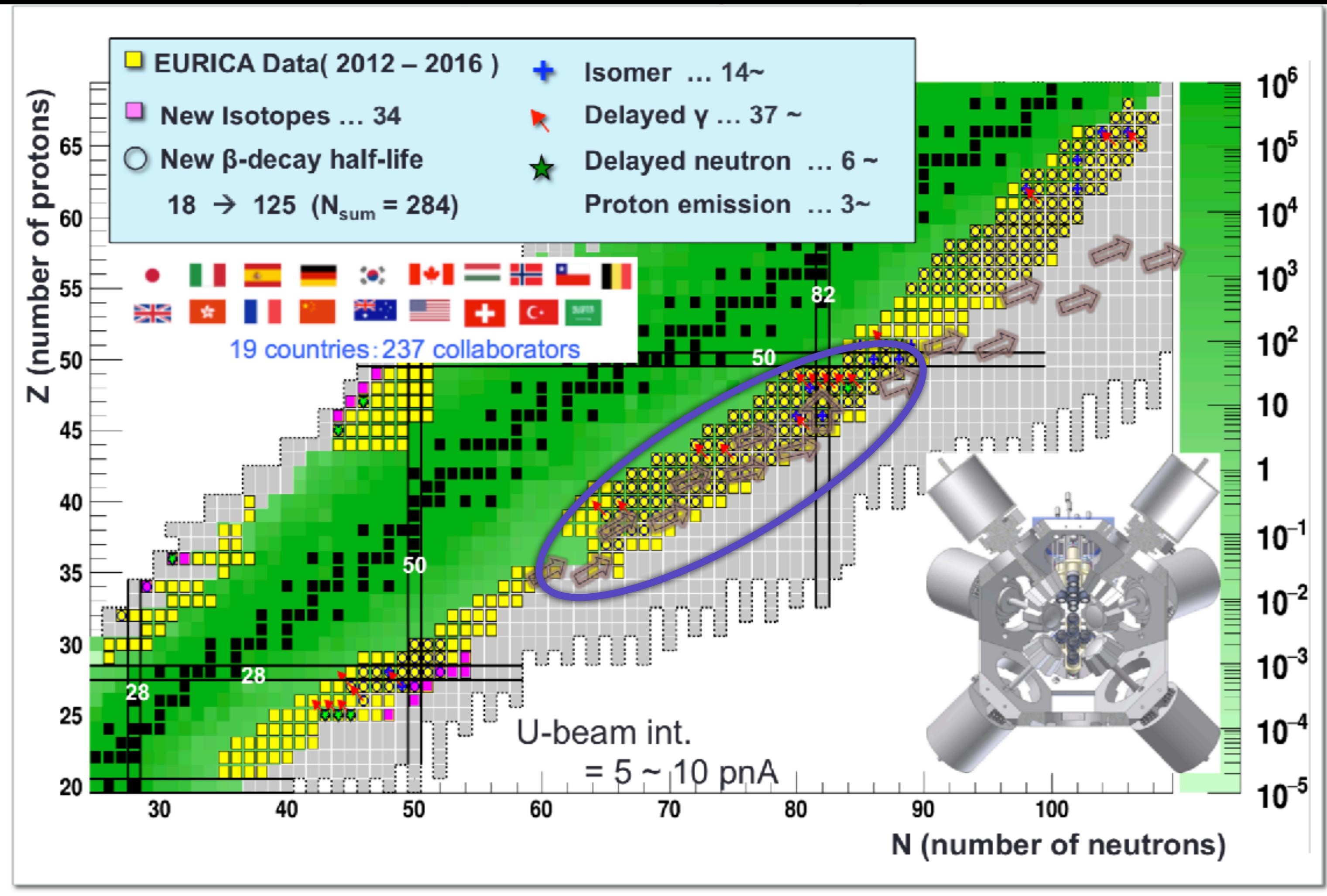


More Decay Data ( $T_{1/2}$ ,  $P_{xn}$ ) ... + ~ 200 Isotopes expected from BRIKEN

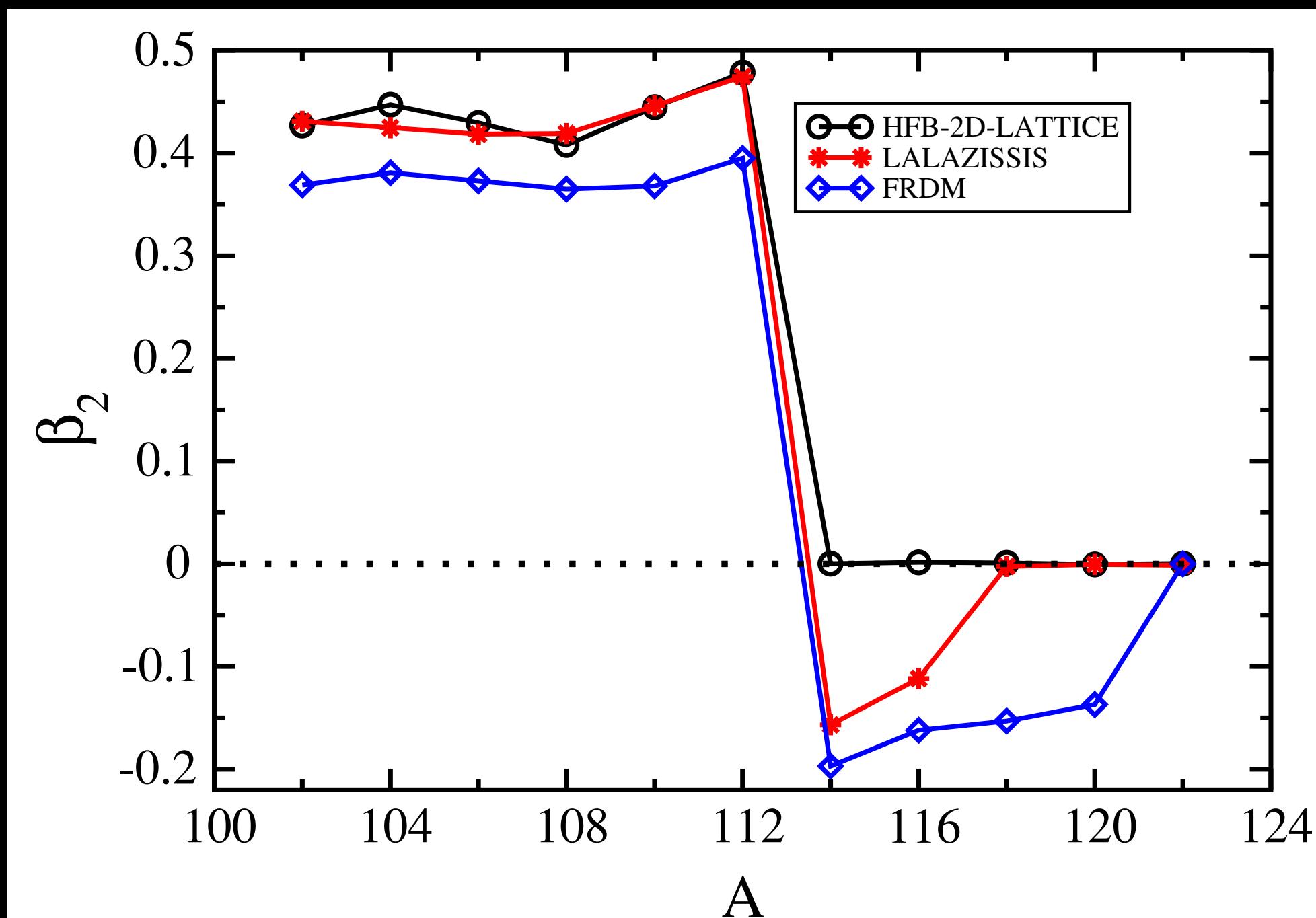
# Systematic measurement of $\beta$ -decay@RIBF

S. Nishimura *et al.*

## $\beta$ -decay half-lives of r-process nuclei

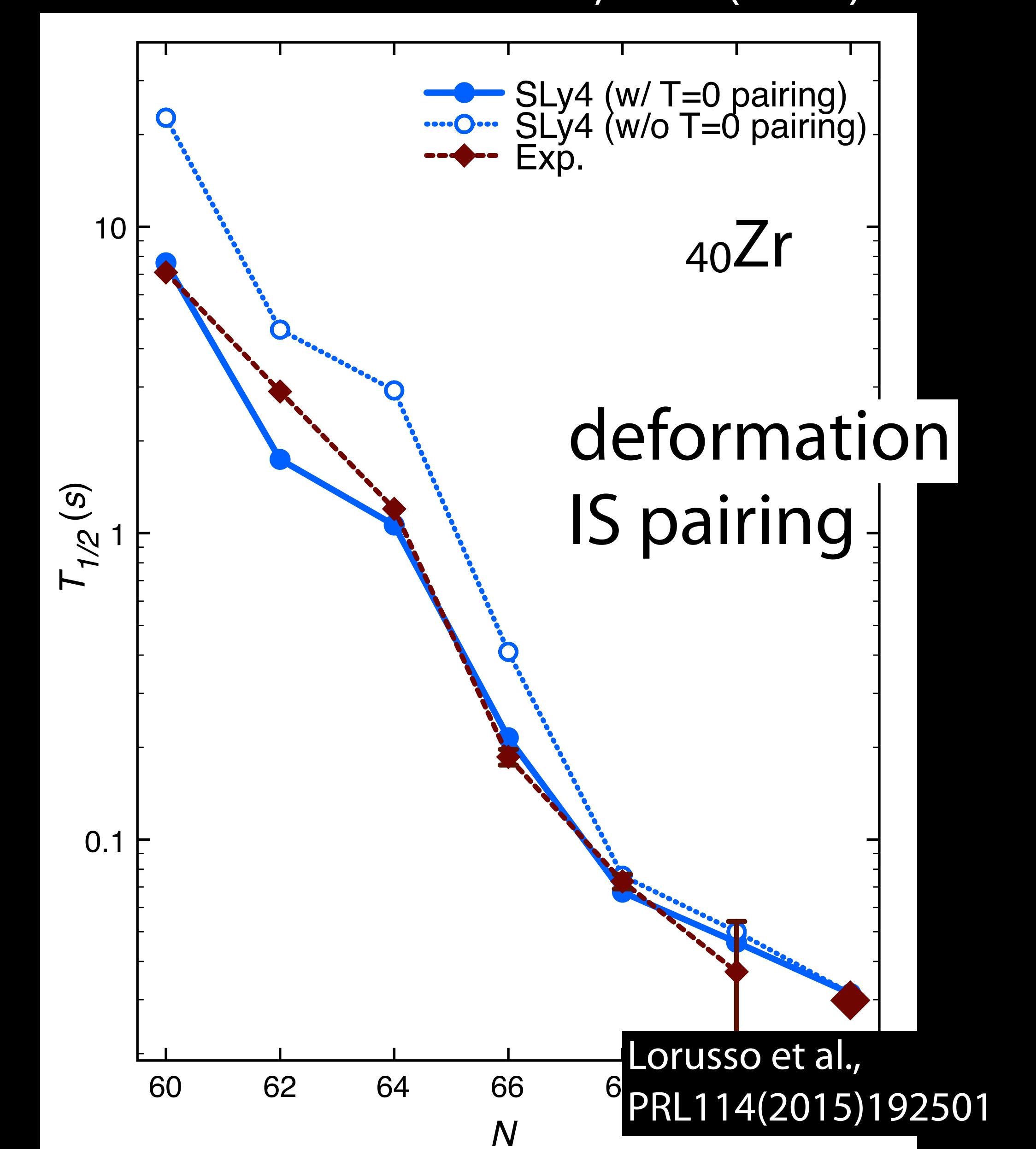
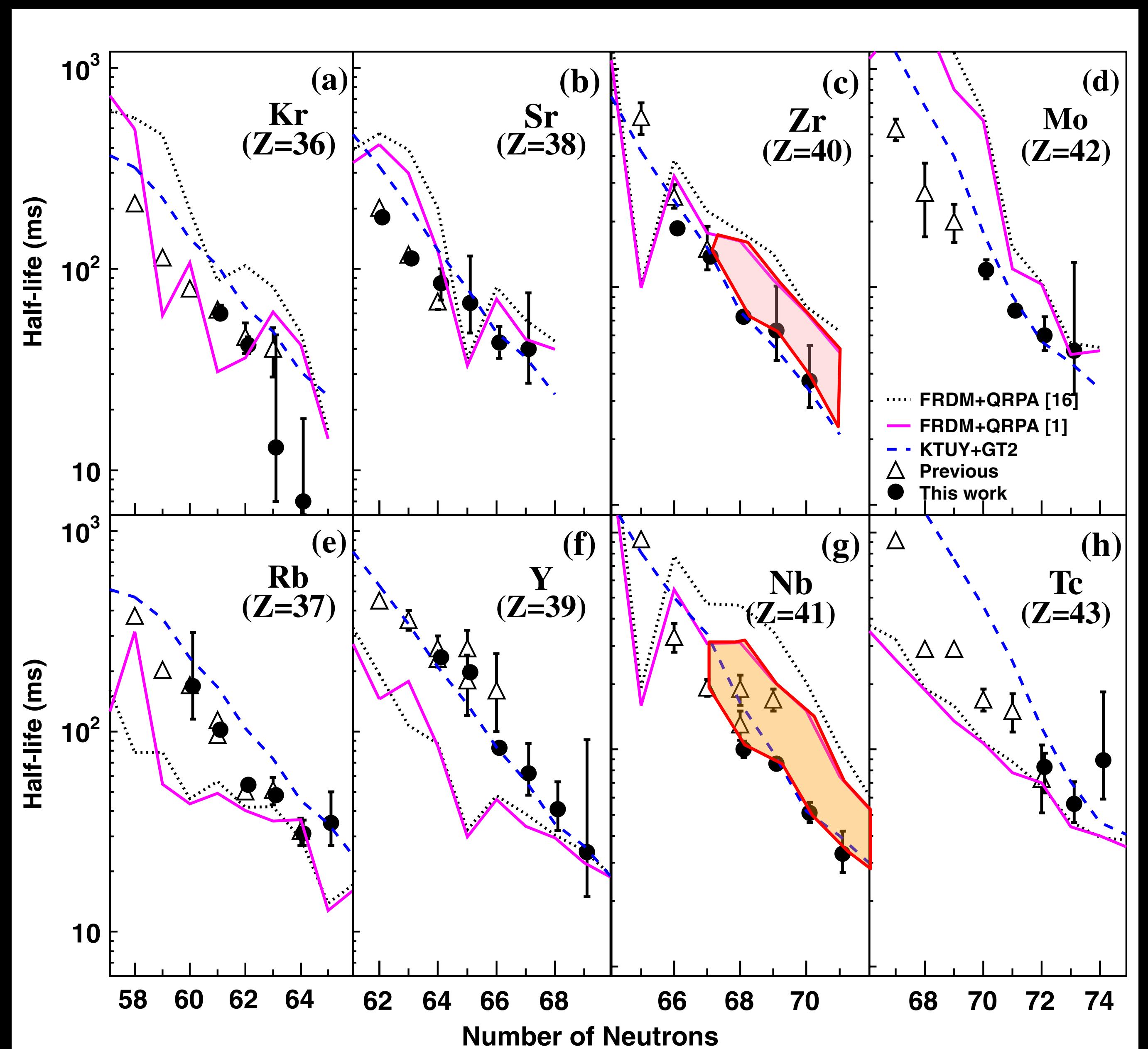


neutron-rich Zr isotopes:  
predicted to be well deformed  
by DFT cal.



# Short half-lives in the Zr region

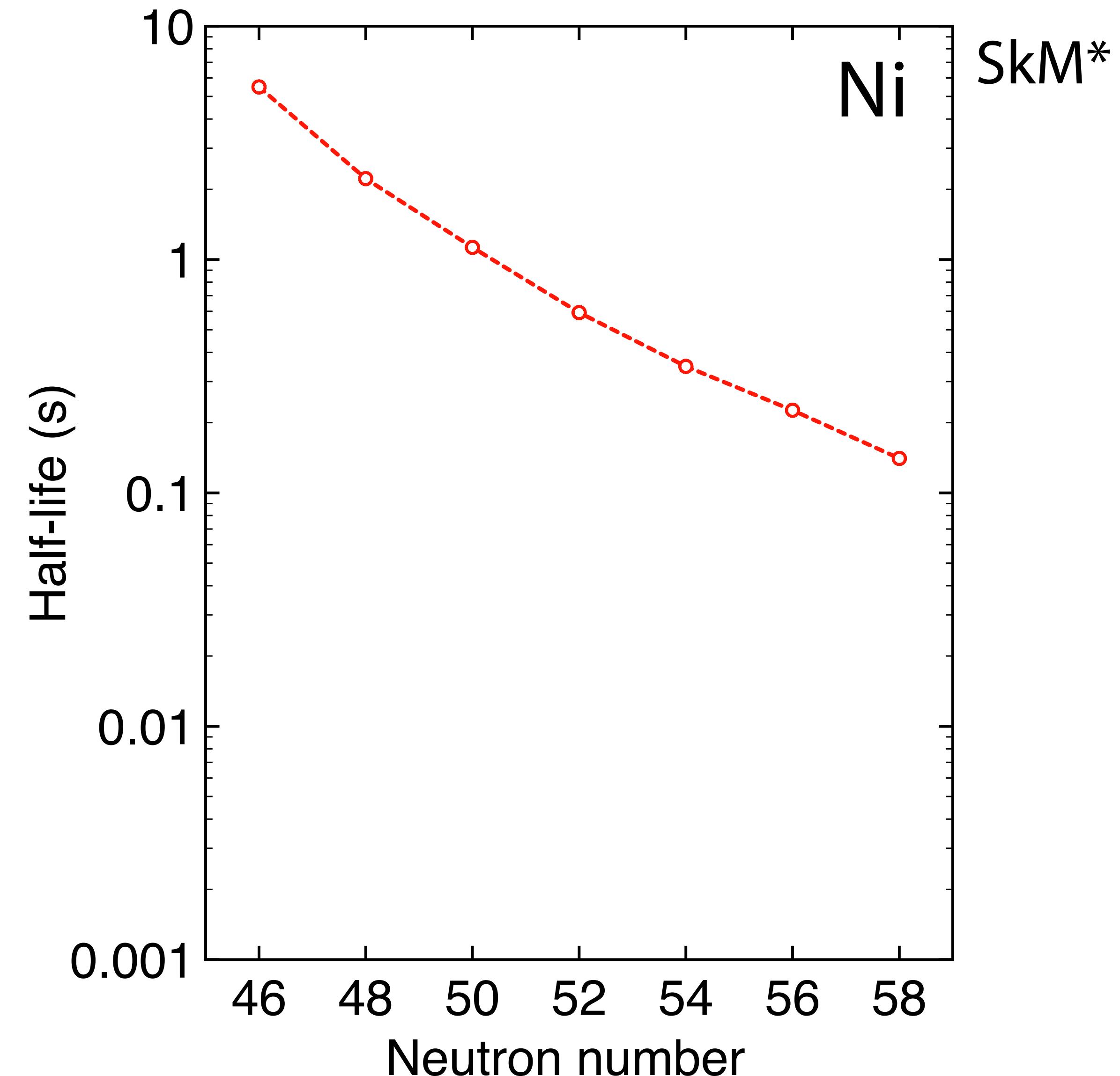
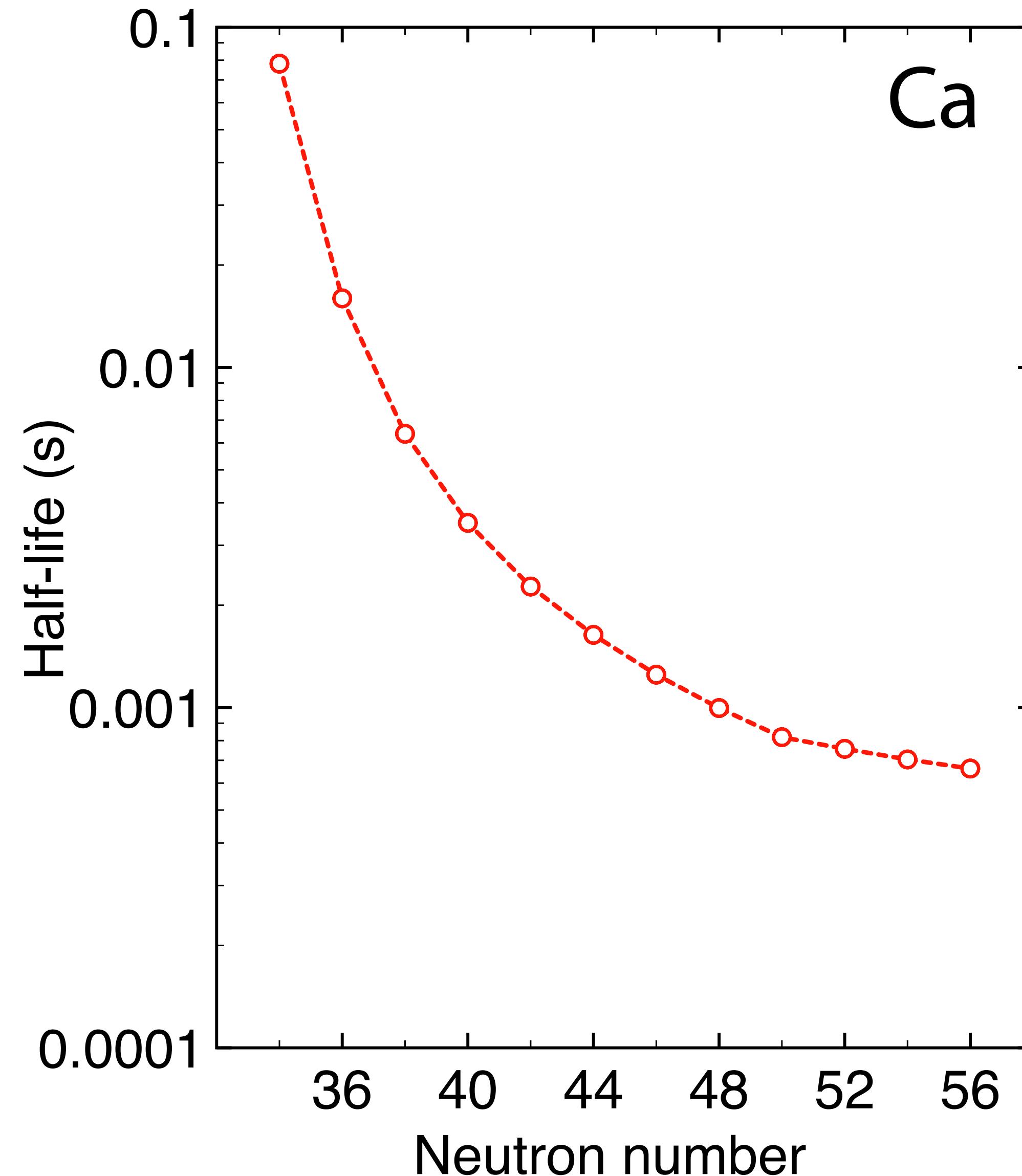
KY, PTEP(2013)113D02



# Cross-shell – $1\hbar\omega_0$ excitation: impact on $\beta$ -decay rate

allowed transitions only

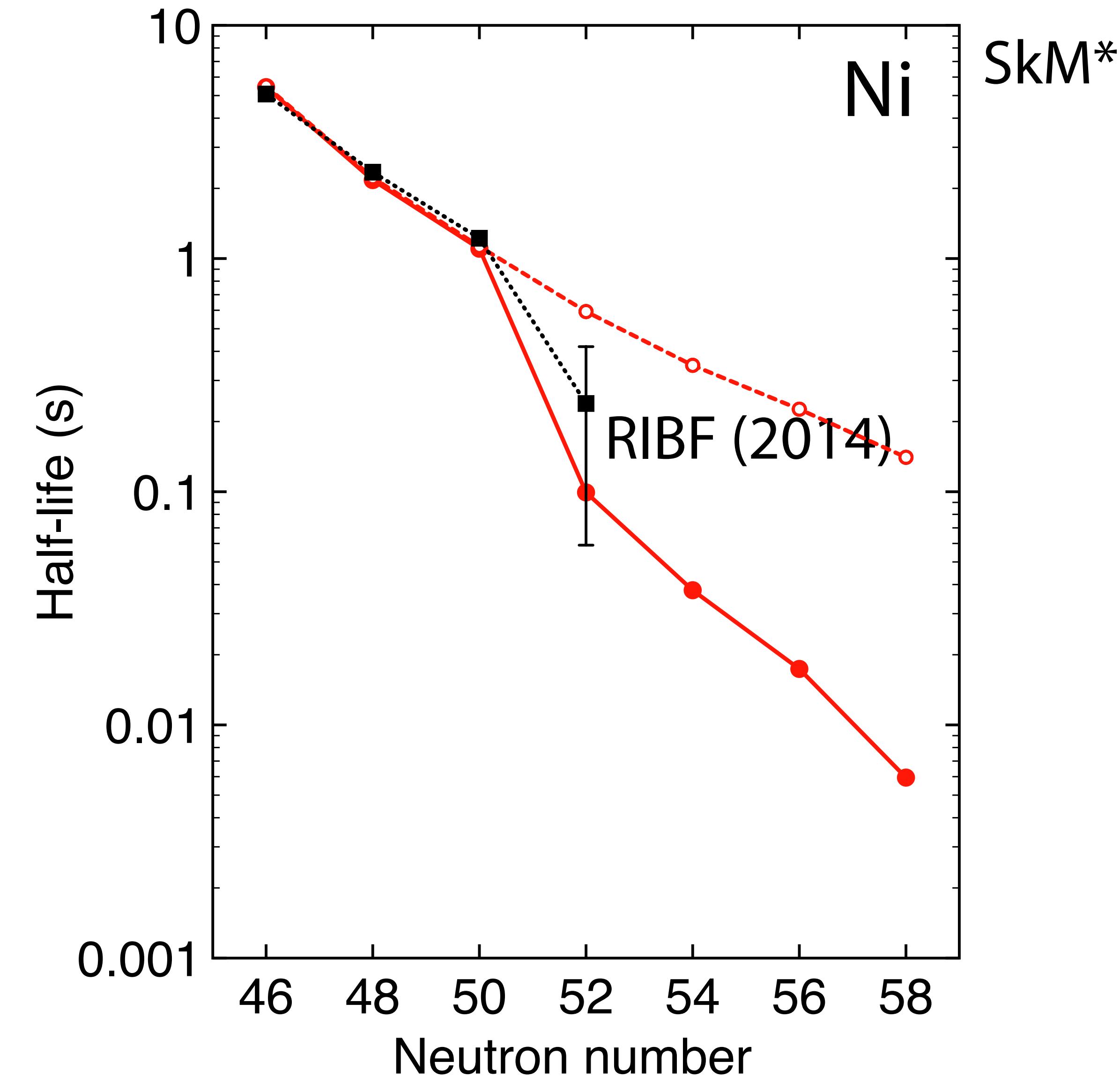
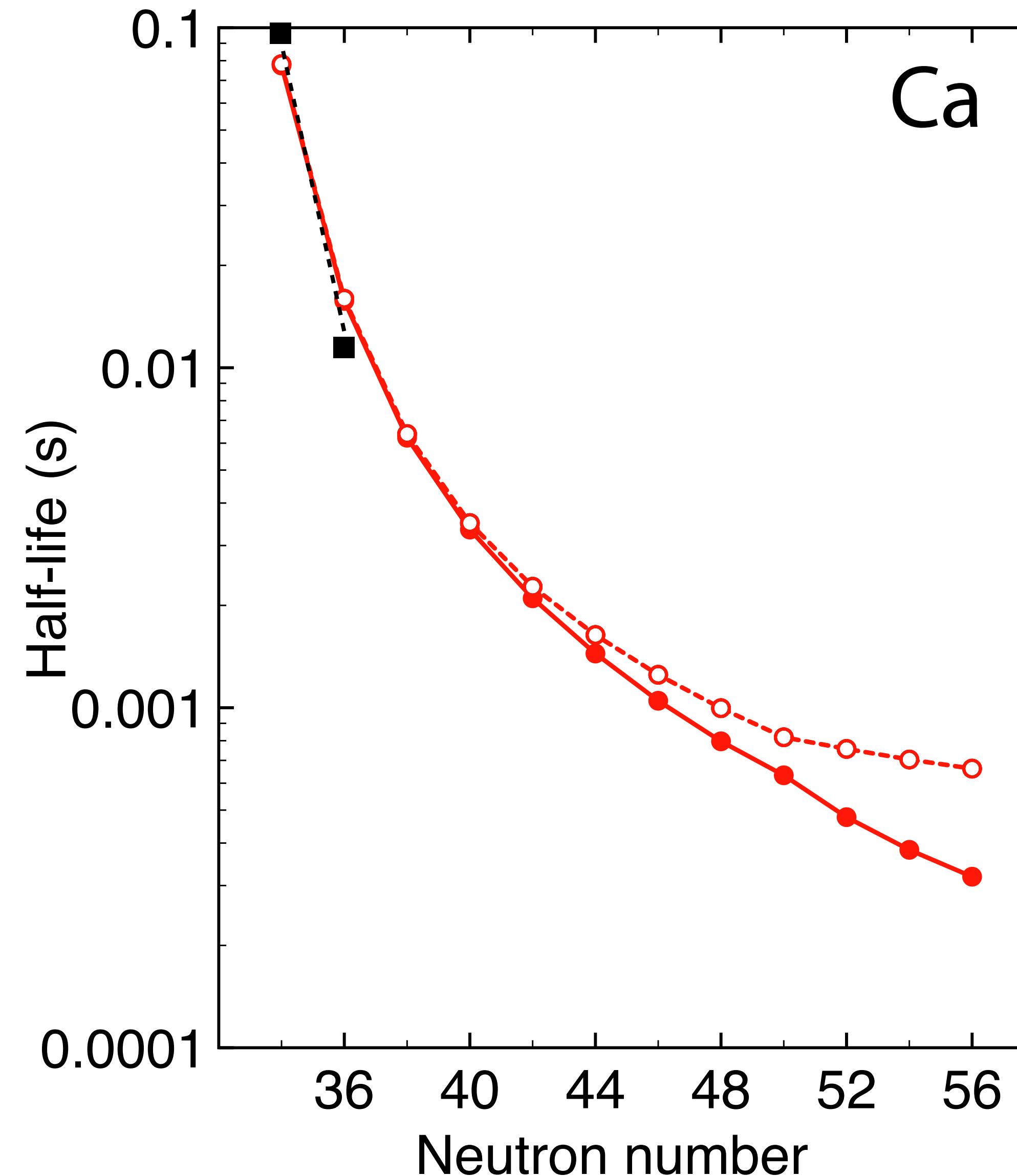
KY, PRC100(2019)



# Cross-shell – $1\hbar\omega_0$ excitation: impact on $\beta$ -decay rate

first-forbidden transitions (dipole+SD) included

KY, PRC100(2019)



## Aim of this lecture

to understand the mean-field (MF) theory as an approximation to the quantum theory of many-body system

to understand the similarity and difference between MF theory and Density-Functional theory

to obtain physical picture characterizing the system from experimental data with the help of mean field

to understand physics behind the recent experiments